Rebecca L. Hill, USB # 06246 Rebecca.Hill@chrisjen.com CHRISTENSEN & JENSEN, P.C. 257 East 200 South, Suite 1100 Salt Lake City, Utah 84111 Telephone: (801) 323-5000

Attorneys for Defendant Viad Corp. f/k/a The Dial Corporation

FOR THE DISTRICT OF UTAH, CENTRAL DIVISION						
HOWARD WADE AND DEANNA LYNN WADE,	DECLARATION OF REBECCA L. HILL IN SUPPORT OF DEFENDANT					
Plaintiffs,	VIAD CORP.'S NOTICE OF REMOVAL PURSUANT					
v.	TO 28 U.S.C. § 1442					
INDUSTRIAL SUPPLY COMPANY, INC. et al.,	Case No.					
Defendants.	0450 1101					

Pursuant to 28 U.S.C. § 1746, I, Rebecca L. Hill, states as follows:

- I am a resident of Salt Lake City, Utah and I am over eighteen years of age. 1.
- I am counsel of record representing Defendant Viad Corp. f/k/a The Dial 2. Corporation ("Viad") sued erroneously herein as successor-in-interest to Griscom Russell Co. ("Griscom-Russell") in the above-captioned suit. As counsel, I have personal knowledge of all of the matters set forth herein, except as to those matters stated upon information belief, which I in good faith believe to be true.
 - Attached hereto as Exhibit A is a true copy of the Summons and Original Complaint 3.

and Jury Demanded ("Complaint") in the matter of Howard Dean et al. v. Industrial Supply Company et al., filed in the Third Judicial District Court, in and for Salt Lake County, State of Utah on December 29, 2021, Case No. 210907011.

- 4. Attached hereto as Exhibit B is a true copy of the Declaration of Charles R. Cushing, Ph.D., P.E.
- 5. Attached hereto as Exhibit C is a true copy of the Declaration of Rear Admiral Ben J. Lehman, U.S. Navy, Ret., including attachments and affidavits thereto, that was submitted in the action styled *Genevieve Schroeder et al. v. A.W. Chesterton, et al.*, United States District Court for the Central District of California (Civil Docket No.: CV11-00738 (VBK).
- 6. Attached hereto as Exhibit D is true copy of the slip opinion issued in *Reaser v*. *Allis Chambers Corp.*, CV 08-1296-SVW (SSx (C.D. Cal. June 23, 2008).
- 7. Attached hereto as Exhibit E is a true copy of the Notice of Filing of Notice of Removal which I caused to be, or will cause to be, timely filed in the Third Judicial District Court in and for Salt Lake County, State of Utah.

DATED 14th day of February, 2022.

Rebecca L. Hill

Attorney for Defendant Viad Corp. f/k/a

The Dial Corporation

Case 2:22-cv-00097-HCN Document 2-1 Filed 02/14/22 PageID.13 Page 3 of 321



Service of Process Transmittal

01/13/2022

CT Log Number 540879436

TO: Jennie Kaleta VIAD CORP 7000 E 1ST AVE

SCOTTSDALE, AZ 85251-4304

RE: Process Served in Utah

FOR: Viad Corp (Domestic State: DE)

ENCLOSED ARE COPIES OF LEGAL PROCESS RECEIVED BY THE STATUTORY AGENT OF THE ABOVE COMPANY AS FOLLOWS:

TITLE OF ACTION: Re: HOWARD WADE and DEANA LYN WADE // To: Viad Corp

DOCUMENT(S) SERVED: --

ON WHOM PROCESS WAS SERVED:

COURT/AGENCY: None Specified

Case # 210907011

NATURE OF ACTION: Asbestos Litigation - Personal Injury

DATE AND HOUR OF SERVICE: By Certified Mail on 01/13/2022 postmarked: "Not Post Marked"

JURISDICTION SERVED: Utah

APPEARANCE OR ANSWER DUE: None Specified

ATTORNEY(S) / SENDER(S): None Specified

ACTION ITEMS: CT has retained the current log, Retain Date: 01/13/2022, Expected Purge Date:

C T Corporation System, Midvale, UT

01/18/2022

Image SOP

Email Notification, Jonathan Massimino jmassimino@viad.com

Email Notification, Jennie Kaleta jkaleta@ges.com
Email Notification, Lynne Kelly lkelly@viad.com

Email Notification, Kaitlyn Fleming kfleming@ges.com Email Notification, Carolyn Whyte cwhyte@viad.com

REGISTERED AGENT ADDRESS: C T Corporation System

1108 E. South Union Avenue

Midvale, UT 84047

866-665-5799

SouthTeam2@wolterskluwer.com

The information contained in this Transmittal is provided by CT for quick reference only. It does not constitute a legal opinion, and should not otherwise be relied on, as to the nature of action, the amount of damages, the answer date, or any other information contained in the included documents. The recipient(s) of this form is responsible for reviewing and interpreting the included documents and taking appropriate action, including consulting with its legal and other

Case 2:22-cv-00097-HCN Document 2-1 Filed 02/14/22 PageID.14 Page 4 of 321



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FOR: Viad Corp (Domestic State: DE)

advisors as necessary. CT disclaims all liability for the information contained in this form, including for any omissions or inaccuracies that may be contained therein.





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3355 West Alabama Street Suite 650 Houston, TX 77098

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VIAD CORP. f/k/a the DIAL CORPORATION, individually and as successor in interest to GRISCOMB-RUSSELL COMPANY c/o CT Corporation System 1108 East South Union Avenue Midvale, UT 84047

Richard I. Nemeroff, #13966 THE NEMEROFF LAW FIRM A PROFESSIONAL CORPORATION 5532 Lillehammer Lane, Suite 100 Park City, UT 84098

Tel: 435-602-4470 Fax: 435-602-4471

E-mail: ricknemeroff@nemerofflaw.com

Attorneys for Plaintiffs

IN THE THIRD JUDICIAL DISTRICT COURT

IN AND FOR SALT LAKE COUNTY, STATE OF UTAH

HOWARD WADE AND DEANA LYNN WADE,

Plaintiffs,

٧.

INDUSTRIAL SUPPLY COMPANY, INC., et al.,

Defendants.

SUMMONS

Case No. 210907011

Judge Randall Skanchy

THE STATE OF UTAH TO DEFENDANT:

VIAD CORP. f/k/a the DIAL CORPORATION, individually and as successor in interest to GRISCOMB-RUSSELL COMPANY c/o CT Corporation System 1108 East South Union Avenue Midvale, UT 84047

You are hereby summoned and required to file an answer in writing to the attached Complaint with the clerk of the above-entitled Court at 450 South State Street, Salt Lake City, Utah 84101, and to serve upon or mail to Plaintiffs' attorney, Richard I. Nemeroff, The Nemeroff Law Firm, 3355 West Alabama Street, Suite 650, Houston, Texas 77098, a copy of said answer, pursuant to §1 Paragraph 4 of In Re: Asbestos Litigation Case Management Order No. 1 within forty five (45) days after service of this Summons upon you.

If you fail to do so, judgment by default will be taken against you for the relief demanded in said Complaint, which has been filed with the clerk of said Court and a copy of which is hereby annexed and herewith served upon you.

I declare under criminal penalty under the law of Utah that everything stated in this document is true.

DATED this 6th day of January 2022.

THE NEMEROFF LAW FIRM A PROFESSIONAL CORPORATION

Richard I. Nemeroff, Asquire Attorney for the Plaintiffs

Richard I. Nemeroff, #13966 THE NEMEROFF LAW FIRM 5532 Lillehammer Lane, Ste. 100

Park City, UT 84098 Tel: 435-602-4470 Fax: 435-602-4471

E-mail: ricknemeroff@nemerofflaw.com

Attorneys for Plaintiffs

IN THE THIRD JUDICIAL DISTRICT COURT IN AND FOR SALT LAKE COUNTY, STATE OF UTAH

HOWARD WADE and DEANA LYN WADE,

Plaintiffs,

ORIGINAL COMPLAINT AND JURY DEMANDED

 $\mathbf{v}.$

INDUSTRIAL SUPPLY COMPANY, INC., et al.

Defendants,

Case No. 210907011

Judge Randall Skanchy

CIVIL ACTION COMPLAINT

PLAINTIFFS, Howard Wade and Deana Lyn Wade, his wife, by and through their attorneys, the Nemeroff Law Firm, A Professional Corporation, hereby bring this Civil Action Complaint, whereof the following is a statement:

JURISDICTION AND VENUE

- 1. This Court has personal jurisdiction over the Defendants because the Defendants are duly licensed to do business in the State of Utah and/or at all material times are or have been engaged in business in the State of Utah.
- 2. Each defendant identified on Exhibit A is amenable to suit in the State of Utah by reason of having sold, distributed, and/or installed the aforementioned asbestos-containing products in Utah or by reason of having placed the same into the stream of commerce for use in Utah, and by reason of having committed tortious acts against the Plaintiff in this state in addition to Defendants other general construction product business sales.
- 3. Venue is proper in Salt Lake County, Utah in that one or more Defendants maintain its principal office or principal place of business in Salt Lake County under Section 78-13-7, U.C.A., 1953.

BACKGROUND

- 4. Plaintiffs, Howard Wade and Deana Lyn Wade, his wife, (hereinafter "Plaintiffs), are citizens and residents of Washington County in the State of Utah.
- 5. Plaintiffs bring this action for monetary damages as a result of Plaintiff, Howard Wade, contracting an incurable asbestos cancer that Mr. Wade was diagnosed with as a result of breathing asbestos dust. Plaintiff Howard Wade was diagnosed with Malignant Mesothelioma, a signal tumor for exposure to asbestos, on or about October 5, 2021.
- 6. Mr. Wade was exposed to asbestos through his work with and around asbestoscontaining products while working at the following locations:

1964-1968: St. George Wastewater Treatment plant; St. George, UT

11/1968-08/1973: US Navy -San Diego, CA; Great Lakes, IL; Long Beach, CA

1977-1989: St. George Waste Water Plant; St. George, UT

The activities of cutting, chipping, mixing, sanding, sawing, scraping, grinding, and sweeping of asbestos-containing products that occurred in association with the work performed by Mr. Wade and other workers working around Mr. Wade with asbestos-containing products exposed him to great quantities of asbestos. These asbestos exposures continued as asbestos-containing dust accumulated on his work clothes and was transported to his cars and home.

- 7. Asbestos dust released from construction and commercial and/or industrial equipment related work activities is generally invisible to the naked eye. During the time period that Mr. Wade was exposed to asbestos, the manufacturers of asbestos products prohibited warnings of the lethal hazards of breathing asbestos dust, often affirmatively choosing not to issue a warning at all, despite the fact that these asbestos companies knew that breathing asbestos dust could be fatal. When the asbestos dust is breathed in, it can cause asbestos cancer decades later. The scientific and regulatory communities around the world are in unanimous agreement that all types of asbestos released from asbestos products, including chrysotile asbestos, cause cancer, and that there is no safe level of exposure to asbestos.
- 8. Each of the Defendants knew or should have known through industry, epidemiological, and medical studies, the existence of which were unknown to Plaintiffs, of the health hazards inherent in the asbestos-containing products they were specifying, using, selling, supplying, and/or manufacturing.
- 9. All of the named defendants listed on the attached list, which is incorporated by reference herein, are amenable to jurisdiction in the courts of Utah by virtue of their respective

conduct of substantial and/or systematic business in Utah which subjects them to the jurisdiction of the Utah courts pursuant to the Utah Long-Arm Statute. Each defendant corporation does or in the past mined, manufactured, processed, imported, converted, compounded, supplied, installed, replaced, repaired, used, and/or retailed substantial amounts of asbestos and/or asbestos-containing products, materials, or equipment, which are or in the past were sold, distributed, and used in Utah.

CAUSES OF ACTION

- 10. Plaintiffs incorporate by reference the preceding paragraphs as if fully set forth herein.
- 11. At all material times, Defendants are or were miners, manufacturers, distributors, processors, importers, converters, compounders, and/or retailers of asbestos and/or asbestos-containing products, materials or equipment.
- 12. Each of the Defendants named in Exhibit A conducted business in the State of Utah, has produced, manufactured or distributed asbestos and/or asbestos products with the reasonable expectation that such products were so used or consumed, and/or has committed the tortuous acts set forth below.
- 13. The Defendants, acting through their agents, servants, and/or employees caused, and have caused in the past, certain asbestos and asbestos-containing materials, products or equipment to be placed in the stream of commerce with the result that said asbestos and asbestos-containing materials, products or equipment came into use by the Plaintiff.
- 14. The dangers of breathing asbestos were first published in the medical literature in the 1890s. By the late 1950s, there were hundreds of medical articles highlighting the dangers of being around asbestos dust. Confidential corporate documents from the named defendant

companies reveal that (a) the dangers of asbestos were well understood; (b) asbestos was cheaper to use in the products than replacement substances such as clay; (c) the product manufacturing industry actively fought governmental regulation and the banning of asbestos. To this day industry has been successful in their lobbying efforts to keep asbestos legal in the United States.

- 15. Throughout the course of his employment, Plaintiff worked with and/or was exposed to the asbestos and asbestos-containing materials, products or equipment mined, manufactured, processed, imported, converted, distributed, compounded, and/or sold by the Defendants. Investigation is ongoing, but upon information and belief, most of Plaintiff's exposure to asbestos occurred within the state of Utah.
- 16. During the course and scope of his employment, Plaintiff was exposed to Defendants' asbestos and asbestos-containing materials, products or equipment, which exposure directly and proximately caused him to develop an illness known and designated as Mesothelioma.
- 17. Defendants, acting by and through its servants, agents and employees, duly authorized and acting within the scope and authority of their employment, had a duty to use, specify, design, manufacture and sell products that were not unreasonably dangerous or defective and/or a duty to warn the Plaintiff and foreseeable users of said products of the dangers and defects which the Defendants created, knew, or, within the exercise of reasonable care, should have known. Defendants knew or should have known that these asbestos-containing materials would be used or handled by Plaintiff and others working in close proximity to him in a way that resulted in the release of airborne asbestos fibers, and that without the exercise of reasonable care in establishing and enforcing safe work practices, Plaintiff would be exposed to these asbestos fibers.
 - 18. Plaintiff, worked with and around asbestos and/or asbestos-containing products,

materials or equipment that were manufactured, processed, distributed, supplied and/or sold by Defendants during his employment at various locations identified in paragraph 6. Defendants knew or should have known that persons in the position of Plaintiff would come into contact with and would work in close proximity to said products.

- 19. Plaintiffs sustained injuries caused by no fault of their own and which could not be avoided through the use of his reasonable care largely because Defendants affirmatively chose not to warn of asbestos dangers or advise of safe work practices. Plaintiff's development of an asbestos-related disease was directly and proximately caused by the negligence and carelessness of Defendants in that they manufactured, processed, sold, supplied or otherwise put said asbestos or asbestos-containing products, materials or equipment, into the market and into the stream of commerce, while they knew, or in the exercise of ordinary care should have known, that said products were deleterious, poisonous, cancer-causing and/or inherently dangerous and harmful to Plaintiff's body, lungs, respiratory system, skin, health, and general well-being. Further, defendants knew or in the exercise of reasonable care should have known that Plaintiff would not know of such danger to his health.
- 20. Plaintiff's illness and disability are the direct and proximate result of the negligence and carelessness of defendants, in that, the defendants knew, or in the exercise of ordinary care should have known, that the asbestos and asbestos-containing materials, products or equipment were deleterious, poisonous, and highly harmful to Plaintiff's body, lungs, respiratory system, skin, and health.
- 21. The actions of the defendants described and alleged above were wrongful under Utah Products Liability Act in one or more of the following ways:

- (a) Said asbestos-containing products were unreasonably defective in one or more of the following ways:
 - 1. in that said products were and are unavoidably unsafe, and defendants affirmatively chose how to warn, selecting to carry improper, inadequate and incorrect warnings about their asbestos dust hazards about which the defendants knew or should have known;
 - 2. in that said products were and are unreasonably dangerous, in that they were and are dangerous to an extent beyond that which the ordinary worker or bystander in the position of the plaintiff would contemplate;
 - 3. in that any warnings, information and/or safety instruction said products may have carried, were improper and inadequate in that they affirmatively determined the information told users and/or others, including the plaintiff, leading to inadequate and unreasonable communication of the hazards and dangers of coming in contact with said products, including the risk of cancer and death.
- (b) The defendants knew or should have known that said asbestos-containing products were inherently dangerous to those who used them, yet the defendants affirmatively chose not to use reasonable and/or ordinary care in seeing to it that said products carried proper, adequate and correct warnings of the dangers of said products, and the exposure of the plaintiff and others like the plaintiff to these products was reasonably foreseeable to the defendants;
- (c) The defendants breached warranties, either implied or expressed, in that these products were not fit and/or safe for their known and intended purposes and uses.
 - 1. The Defendants impliedly warranted that said asbestos materials were of good and merchantable quality, safe, and fit for their intended use.
 - 2. The implied warranty made by the Defendants that the asbestos and asbestos-containing materials, products, or equipment were of good and merchantable quality and for the particular intended use was breached and that certain harmful, poisonous, and deleterious matter was given off into the atmosphere wherein the plaintiff carried out his duties while working with or in the vicinity of asbestos and asbestos-containing materials, products, or equipment.
 - 3. As a direct and proximate result of the implied warranty of good and merchantable quality and fitness for the particular intended use, plaintiff developed an illness, to-wit: Malignant Mesothelioma.

- 22. Defendants, at the time of designing, manufacturing, distributing, selling, or otherwise placing asbestos and/or asbestos-containing products, materials or equipment into the stream of commerce, knew, or in the exercise of reasonable care, should have known about the insurable risks associated with their products. The products in question were defective at the time they left the control of the Defendants.
- 23. Defendants were negligent and breached their duty of due care to Plaintiff by taking the affirmative actions as previously alleged to avoid harm to the Plaintiff and other foreseeable users, in light of the reasonably foreseeable and insurable dangers caused by the design, manufacture, sale, distribution of the asbestos and/or asbestos-containing products, materials or equipment at issue in the stream of commerce.
- 24. The hazards posed by exposure to asbestos and/or asbestos-containing products, materials or equipment and the resulting injuries and damages to Plaintiff were reasonably foreseeable, or should have been reasonably foreseen by Defendants.
- 25. As a direct and proximate result of the aforesaid negligent acts and/or omissions by the Defendants, Plaintiff Howard Wade developed Malignant Mesothelioma, as a consequence of which, through no fault of his own, he is severely injured, disabled and damaged.
- During, before, and after Plaintiff's exposure to asbestos products manufactured, installed or otherwise used by Defendants, the Defendants falsely represented facts, including the dangers of asbestos exposure, to Plaintiff in the particulars alleged in the paragraphs above, while Defendants each had actual knowledge of said dangers of asbestos exposure to persons such as Plaintiff, and while Defendants each knew of the falsity of their representations and/or made the representations in reckless disregard of their truth or falsity.

- 27. The foregoing affirmative representations were material conditions precedent to Plaintiff's continued exposure to asbestos-containing products, and defendants each intended that Plaintiff act upon the representations by continuing his exposure to the asbestos products. Plaintiff was ignorant of the falsity of Defendants' representations and rightfully relied upon the representations.
 - 28. As a direct and proximate result of Plaintiff's reliance upon Defendants' false representations, plaintiff has suffered injury and damages hereinafter described.
- 29. The Defendants were all miners, manufacturers, assemblers, sellers, users, distributors and/or suppliers of asbestos products and were engaged in the business of using, manufacturing or facilitating the manufacture of asbestos products, or representing themselves as manufacturers of asbestos products, or were professional vendors of asbestos or asbestos-containing products, which were expected to and did reach, including but not limited to, each of the locations where Mr. Wade was exposed.
- 30. At all times material hereto, the Defendants knew or should have known of the harmful effects and/or harmful dangers of working with asbestos and/or asbestos-containing products, materials, or equipment and exposures to inhalable asbestos.
- 31. Defendants had a duty to warn individuals working at the Plaintiff's jobsites, including but not limited to Plaintiff, of the dangers associated with the use and/or inhalation of asbestos dust and fibers.
- 32. Despite Defendants' knowledge of the insurable harm and/or potential harm associated with the use and/or inhalation of dust and fibers from asbestos and/or asbestoscontaining products, materials, or equipment, the Defendants affirmatively chose the level of

warning by either not warning and/or inadequately warning Plaintiff of the dangers of asbestos and asbestos dust.

- 33. The products mined, manufactured, sold, distributed, supplied and/or used by these defendants were defective, unreasonably dangerous, insurable and unreasonably dangerous per se, to Plaintiff who was an intended and foreseeable user and bystander who was exposed to these products. These defects include, without limitation, the following:
 - (a) The mining, manufacture, assemble, sale, supply, distribution and use of products that are unreasonably dangerous, or unreasonably dangerous per se;
 - (b) The mining, manufacture, sale, supply, distribution and use of products that possess inherent and known properties that make them unreasonably dangerous by presenting high potential for causing serious injury, such as respiratory disease, cancer, and other health problems to the Plaintiff who would be foreseeably exposed to them in as a result of their intended use;
 - (c) The affirmative act of not warning or insufficiently warning of the hazards these products would present in the course of their normal foreseeable use or intended use;
 - (d) Providing inadequate cautions, warnings, and/or hazard statements and/or explanations with its products which should have been designed to provide to the Plaintiff knowledge about the hazards caused by exposure to their products and how to eliminate such hazards;
 - (e) Providing inadequate product inserts, informative brochures, employee training literature, posters, safety instructions and/or other written materials with their products which should have been designed to provide to the Plaintiff knowledge about the hazards caused by exposure to its products and how to eliminate such hazards;
 - (f) Conducting inadequate on-site personnel training sessions with exposed workers which should have been designed to provide to the workers' knowledge about the hazards caused by exposure to the products, and how to eliminate the hazards;
 - (g) Inadequately testing and researching their products as to the hazards created during their use and providing incomplete results of such tests and research to the intended or foreseeable users of exposed individuals such as Plaintiff;

- (h) Inadequately inspecting workplaces in which their products were being used to determine whether the products being used were deleterious to the health of exposed workers or individuals;
- (i) Inadequately inspecting their products to assure sufficiency and adequacy of warnings and safety cautions;
- (j) Inadequately designing, processing and transporting their products in a manner intended to minimize exposure during normal working conditions;
- (k) Inadequately designing their products when the nature of the product did not require use of asbestos mineral or where alternate, equally suitable substances were readily available;
- (l) Defects in the composition and construction of these products;
- (m) Affirmatively specifying and marketing their products without the express agreement that necessary engineering controls, work practices, and other industrial hygiene controls would be implemented in conjunction with use of the products after it was known or should have been known that adequate protective measures were not being implemented;
- (n) Issuing inadequate recalls of their defective product or manufacturing a reasonably safer alternative;
- (o) Inadequately packaging their products so that they could be safely transported, handled, stored or disposed of;
- (p) Implementing inadequate precautions and industrial hygiene measures to protect Plaintiff and exposed workers when installing, repairing, or tearing out asbestos and/or asbestos-containing products, materials, or equipment including, but not limited to, providing protection from dust and fibers emanating from the installation, repair, and/or removal process; using or implementing inadequate local ventilation, warnings, cleaning procedures and other appropriate safety and industrial hygiene measures;
- (q) Affirmatively acting unreasonably under the totality of the circumstances.
- 34. Defendants manufactured, processed and/or sold asbestos and/or asbestos-containing products and materials, and these products were used by Plaintiff and others working around Plaintiff at Plaintiff's worksites. It was foreseeable that Plaintiff would be exposed to these asbestos-containing products and materials manufactured, processed, sold, specified and/or used

by Defendants. Thus, Defendants had a duty to warn individuals, including but not limited to the Plaintiff, of the dangers associated with the use and/or inhalation of dust and fibers from asbestos and/or asbestos-containing products, materials, or equipment.

- 35. Despite Defendants' knowledge of the insurable harm and/or potential harm associated with the use and/or inhalation of dust and fibers from asbestos and/or asbestos-containing products, materials, or equipment, the Defendants acted unreasonably in providing inadequate warnings and/or instructions as to the hazards associated with exposure to asbestos and/or asbestos-containing products, materials, or equipment.
- 36. At the time the asbestos and/or asbestos-containing products, materials, or equipment left Defendants' control without adequate warning or instruction, Defendants created an unreasonably dangerous condition that it knew or should have known would pose a substantial risk of harm to a reasonably foreseeable claimant, such as the Plaintiff. In the alternative, after the asbestos-containing products left Defendants' control, Defendant became aware of or in the exercise of ordinary care should have known that their product posed a substantial risk of harm to a reasonably foreseeable user or bystander, such as the Plaintiff, and failed to take reasonable steps to give adequate warning or instruction or to take any other reasonable action under the circumstances.
- 37. It was the continuing duty of the defendants to advise and warn purchasers, consumers, and users, and all prior purchasers, consumers, and users, of all dangers, characteristics, potentialities and/or defects discovered subsequent to their initial marketing or sale of said asbestos and asbestos products.
 - 38. The defendants breached these duties by:

- (a) Choosing to inadequately warn the plaintiff of the dangers, characteristics, and/or potentialities of the product or products when they knew or should have known that the exposure to the product(s) would cause disease and injury;
- (b) Choosing not to warn the plaintiff of the dangers to which the plaintiff was exposed when they knew or should have known of the dangers;
- (c) Exercising unreasonable care to warn the plaintiff of what would be safe, sufficient, and properly protective clothing, equipment, and appliances when working with, near or during exposure to asbestos and asbestos products;
- (d) supplying asbestos or asbestos products that were packaged, bagged, boxed and/or supplied to the plaintiff in packaging, bagging, boxes or other containers that were inadequate and/or improper;
- (e) supplying asbestos or asbestos products that were delivered to and reached the plaintiff without adequate or proper handling instructions, face masks and/or respirators;
- (f) Choosing to inadequately test or choosing not to test the asbestos and asbestos products in order to ascertain the extent of dangers involved upon exposure;
- (g) Conducting inadequate research or exercising reasonable care in order to ascertain the dangers involved upon exposure;
- (h) Choosing not to remove the product or products from the market when the defendant corporations knew or should have known of the hazards of exposure to asbestos and asbestos products;
- (i) Once the dangers, hazards, and potentialities of exposure to asbestos were discovered, choosing not to adequately to warn and apprise plaintiff of the dangers, hazards, and potentialities discovered;
- (j) generally using unreasonable, careless, and negligent conduct in the contracting for, mining, milling processing, manufacturing, designing, testing, assembling, fashioning, fabricating, packaging, supplying, distributing, delivering, marketing, and/or selling of their asbestos and asbestos products.
- 39. Defendants affirmatively chose not provide adequate warnings as to the hazards associated with exposure to asbestos and/or asbestos-containing products, materials, or equipment or to provide proper instructions on the use, handling, and storage of asbestos and/or asbestos-

containing products, materials, or equipment. Defendants' affirmative acts caused Mr. Wade to develop Malignant Mesothelioma as a consequence of which Plaintiffs have been injured and damaged and claims damages of the Defendants in negligence and strict liability.

- 40. The defective conditions of Defendants' products and fault, as noted above, are a proximate cause of Plaintiff's injuries complained of herein.
- 41. As a result of the Defendants' failure to warn, the Plaintiffs suffered and will continue to suffer the following injuries and damages hereinafter alleged.
- 42. Plaintiff and others in his position worked in close proximity to the asbestos and asbestos-related materials used or manufactured by the Defendants, and the exposure and hazard to each of them, in Plaintiff's presence, as well as others in his position, was known, or in the exercise of reasonable care should have been anticipated by the Defendants.
- 43. The Defendants have known or should have known since at least 1929, and possibly as early as 1890, of medical and scientific data which clearly indicates that asbestos and asbestos-containing products were hazardous to the health and safety of the Plaintiff and others in the Plaintiff's position. Prompted by pecuniary motives, the Defendants, individually and collectively, ignored and chose not to act upon said medical and scientific data and conspired to deprive the public, and particularly the users, of said medical and scientific data, depriving them, therefore, of the opportunity of free choice as to whether or not to expose themselves to the asbestos products of said defendants. As a result, the Plaintiff has been severely damaged as is set forth below.
- 44. The Defendants fraudulently misrepresented or chose not to disclose the dangers of asbestos exposure from 1929 through the 1980s, thus denying Plaintiff the knowledge with which to take necessary safety precautions such as periodic x-rays and medical examinations and

avoiding further dust exposure. Specifically, Defendants' affirmative and fraudulent conduct included the following acts and failures to act:

- (a) Inadequately warning prior users when the Defendants had knowledge of the need for monitoring due to prior exposure;
- (b) Choosing not to issue recall type letters to prior users;
- frustrating the publication of articles and literature from the 1930s through at least 1979;
- (d) rejection by top management of advice of corporate officials to warn of the hazards of their asbestos products; such rejection being motivated by the possibility of adverse effects on sales and profits; and
- (e) The intentional inadequacy of (and delay in the use of) the warnings on asbestos products.
- 45. The acts of the Defendants, and each of them, as hereinabove set forth were fraudulent and done with willful disregard of the safety of Plaintiff and others similarly situated at a time when Defendants, had knowledge, or should have had knowledge of the dangerous effect of asbestos and asbestos-containing materials, products or equipment upon the body of human beings, including Plaintiff and others similarly situated, and even though forewarned by tests, standards, promulgations of rules and regulations, statutes, and ordinances recognized by the Defendants and subscribed to by them, nevertheless placed into the stream of commerce, for their own profit, this dangerous asbestos material with full knowledge that it was being used and would be used in the future to the detriment of the health of Plaintiff and others similarly situated, and Plaintiff is thereby entitled to punitive damages.
- 46. The affirmative acts of Defendants constituted fraudulent misrepresentation in that a false representation was made as a statement of fact, the statement was untrue and known to be

so by its maker, the statement was made with the intent of inducing a reliance thereon, and the Plaintiff relied on the statement to his detriment. In the alternative, the affirmative acts of Defendants constituted fraudulent non-disclosure in that Defendants intentionally withheld information to induce individuals such as Plaintiff to continue to purchase or use their asbestos containing products. Defendants hid known facts with the intent or expectation to cause a mistake by another to exist or to continue, or in order to induce the latter to enter into a transaction.

47. Accordingly, as a result of the Defendants' conduct in which they acted in willful, wanton, gross negligence and in total disregard for the health and safety of the user or consumer, such as Plaintiff, Plaintiffs therefore seek exemplary and punitive damages against Defendants to punish the defendants for their actions, which were willful, wanton, gross, and in total disregard of the health and safety of the users and consumers of their products.

LOSS OF CONSORTIUM

- 48. Plaintiffs incorporate by reference all other relevant allegations in this complaint.
- 49. Plaintiff Deana Lyn Wade is, and at all times since February 1, 1974, has been the lawful spouse of Plaintiff Howard Wade. At the time that Howard Wade was diagnosed with mesothelioma, Deana Lyn Wade was cohabitating with Howard Wade and enjoying his companionship and care.
 - 50. As a direct and proximate result of the conduct described in the above allegations of this Complaint, Plaintiff Deana Lyn Wade has suffered, and will suffer, loss of consortium and damage to the marital and social relationship including, but not limited to, the loss of Howard Wade's services, comfort, affection, and the effects of Howard Wade's disease upon Plaintiff

Deana Lyn Wade and their relationship and daily activities, due to his injuries and disabilities.

They have further incurred expenses for medical attention rendered to Howard Wade and will continue to incur such expenses.

51. WHEREFORE, Plaintiffs demand compensatory damages and trial by jury on all issues so triable in this cause.

PUNITIVE DAMAGES

- 52. Plaintiffs incorporate by reference the preceding paragraphs as if fully set forth herein.
- 53. As a result of the willful, wanton and gross misconduct and gross negligence of the Defendants as alleged herein, the Plaintiffs seek and request punitive or exemplary damages. Defendants malicious and outrageous disregard for the safety of users of asbestos products, including but not limited to their intentional concealment of the dangers of asbestos that they knew. Their conscious refusal to warn users of those dangers evidences a conscious indifference to the safety and health of users and bystanders of the products they profited from selling. Defendants' internal documents reveal that they knew of the hazards of asbestos by at least the mid-1960s, yet Defendants concealed the hazards of asbestos from consumers and bystanders to maintain their bottom line. Plaintiffs' injuries are the result of Defendants willful and malicious or intentionally fraudulent conduct, or conduct that manifests a knowing and reckless indifference toward, and a disregard of, the rights of others. Defendants knew that a high degree of probability existed that Defendants' conduct would result in substantial harm, that Defendants' conduct is highly unreasonable or an extreme departure from ordinary care and that a high degree of danger was apparent due to Defendants' actions. Plaintiffs, therefore, for the sake of example and by way of punishing Defendants, seek punitive damages, according to proof. Defendants' acts and omissions constitute misconduct that is grossly negligent, willful, wanton, malicious and/or outrageous.
- 54. As a result of the willful, wanton and gross misconduct and gross negligence of the Defendants as alleged herein, the Plaintiffs seek and request statutory punitive damages and reasonable attorney's fees.

DAMAGES

- 55. Plaintiffs incorporate by reference the preceding paragraphs as if fully set for herein.
- 56 As a result of the development of asbestos related diseases, Plaintiff has suffered and sustained very serious injuries to his person, to wit: Malignant Mesothelioma, a terminal asbestos cancer.
- 57 Plaintiff has further suffered and will suffer great pain, disfigurement, physical impairment, extreme nervousness, and mental anguish as a direct result of the aforesaid injuries.
- 58. Plaintiffs verily believe that Howard Wade's injuries and illnesses are recurrent in nature and that he will be forced to suffer same for the remainder of his life; that his enjoyment of life has been greatly impaired; that he has suffered substantial lost wages and loss of earning capacity; and further, that his expected life span has been greatly shortened.
- 59. Plaintiffs allege that as a result of the aforesaid illnesses, they have been forced to incur large amounts of medical expenses by way of doctor and drug bills and verily believes that they will be forced to incur additional expenses in an effort to treat Mr. Wade's illnesses as aforesaid alleged.
- 60. Plaintiffs require or will require domestic help and nursing care due to his disabilities and have been or will be required to pay for such domestic help and nursing services.
- 61. Prior to the onset of his symptoms, Plaintiff was extremely active and participated in numerous hobbies and activities, and as a result of his illnesses, Plaintiff has been and will be prevented from engaging in some of said activities that were normal to him prior to developing

symptoms from asbestos-related lung disease. Plaintiff has been and will otherwise be prevented

from participating in and enjoying the benefits of a full and complete life.

WHEREFORE, the Plaintiffs verily believe they are entitled to actual damages against the

Defendants by reason of said negligence, strict liability, gross negligence, breach of warranty,

fraudulent misrepresentation, fraudulent non-disclosure, failure to warn and other breaches of duty

as alleged herein proximately caused by the fault of the Defendants, and claim lost wages, special

damages, punitive and exemplary damages, including attorney's fees, statutory punitive damages

and reasonable attorney's fees.

WHEREFORE, the Plaintiffs pray for judgment against all Defendants for actual damages,

lost wages, special damages, punitive and exemplary damages, including attorney's fees, statutory

and punitive damages and reasonable attorney's fees, in amounts to be determined by statute or by

the trier of fact, plus the costs of this action.

PLAINTIFFS REQUEST TRIAL BY JURY ON ALL ISSUES SO TRIABLE.

THE NEMEROFF LAW FIRM

/s/ Richard I. Nemeroff

Richard I. Nemeroff, #13966

5532 Lillehammer Lane, Ste. 100

Park City, UT 84098

Tel: 435-602-4470

Fax: 435-602-4471

E-mail: ricknemeroff@nemerofflaw.com

Attorney for Plaintiffs

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EXHIBIT A

DEFENDANT SERVICE LIST

INDUSTRIAL SUPPLY COMPANY, INC. c/o Chris Bateman 1635 S 300 W Salt Lake City, UT 84115

AIR & LIQUID SYSTEMS CORPORATION AS SUCCESSOR BY MERGER TO BUFFALO PUMPS, INC
Air & Liquid Systems Corp., as successor by merger to Buffalo Pumps, Inc.
874 Oliver Street
North Tonawanda, NY 14120-3298

ALDER CONSTRUCTION COMPANY c/o Bruce C. Alder 3939 S 500 W Salt Lake City, UT 84123

AMERON INTERNATIONAL CORPORATION c/o CT Corporation System 1108 E. South Union Ave.
Midvale, UT 84047

ARMSTRONG INTERNATIONAL, INC. 900 Maple Street
Three Rivers, MI 49093

AURORA PUMP COMPANY c/o Corporation Service Company 15 West South Temple, Suite 600 Salt Lake City, UT 84101

BLAKE ELECTRIC CO. c/o Teddy Jay Lewis 625 South 1000 West Richfield, UT 84701

BW/IP, INC. 5215 N. O'Conner Boulevard, Suite 2300 Irving, TX 75039 CARRIER CORPORATION INDIVIDUALLY AND AS SUCCESSOR-IN-INTEREST TO BRYANT HEATING & COOLING SYSTEMS c/o United Agent Group, Inc.
2825 East Cottonwood Parkway, #500
Salt Lake City, UT 84121

CBS CORPORATION, A DELAWARE CORPORATION F/K/A VIACOM INC. SUCCESSOR-BY-MERGER TO CBS CORPORATION, A PENNSYLVANIA CORPORATION F/K/A WESTINGHOUSE ELECTRIC CORPORATION Westinghouse Electric Corporation and as successor-in-interest to BF Sturtevant c/o Eckert Seamans Cherin & Mellott, LLC Case Management & Technology Center 600 Grant Street, 5th Floor Pittsburgh, PA 15219

CHICAGO PNEUMATIC TOOL COMPANY LLC c/o C T Corporation System 208 South LaSalle Street, Suite 814 Chicago, IL. 60604

CLARK-RELIANCE CORPORATION, INDIVIDUALLY AND AS SUCCESSOR IN INTEREST TO JERGUSON GAGE & VALVE COMPANY c/o The Corporation Trust Company 1209 Orange Street Wilmington, DE. 19801

CRANE CO. c/o CT Corporation System 1108 E. South Union Ave. Midvale, UT 84047

ELLIOTT COMPANY c/o CT Corporation System 1108 E. South Union Ave. Midvale, UT 84047

FLOWSERVE CORPORATION AS SUCCESSOR TO DURIRON INC. AND DURCO INTERNATIONAL INC. 5215 N. O'Conner Boulevard, Suite 2300 Irving, TX 75039

FLOWSERVE US INC., SOLELY AS SUCCESSOR TO EDWARD VALVES INC., ROCKWELL MANUFACTURING COMPANY, NORDSTROM VALVES INC. AND MCCANNA CORPORATION c/o CT Corporation System 1108 E. South Union Ave. Midvale, UT 84047

FMC CORPORATION, ON BEHALF OF ITS FORMER NORTHERN PUMP COMPANY c/o CT Corporation System 1108 E. South Union Ave. Midvale, UT 84047

GENERAL ELECTRIC COMPANY c/o CT Corporation System 1108 E. South Union Ave. Midvale, UT 84047

GORMAN-RUPP COMPANY c/o Corporation Service Company 50 West Broad Street Suite 1300 Columbus, OH 43215

VIAD CORP. f/k/a the DIAL CORPORATION, individually and as successor in interest to GRISCOMB-RUSSELL COMPANY c/o CT Corporation System
1108 East South Union Avenue
Midvale, UT 84047

The Gorman-Rupp Company, individually and as successor-in-interest to Griscomb-Russell Company c/o Corporation Service Company 50 West Broad Street Suite 1300 Columbus, OH 43215

GOULDS PUMPS, INCORPORATED c/o CT Corporation System 1108 E. South Union Ave. Midvale, UT 84047

GREENE TWEED & COMPANY, INC. 2075 Detwiler Road, Kulpsville, Pennsylvania 19443

GRINNELL LLC c/o CT Corporation System 1108 E. South Union Ave. Midvale, UT 84047

HERCULES, LLC c/o The Corporation Trust Company 1209 Orange Street Wilmington, DE 19801

IMO INDUSTRIES, INC. c/o CT Corporation System 1108 E. South Union Ave. Midvale, UT 84047

ITT LLC c/o The Corporation Trust Company 1209 Orange Street Wilmington, DE 19801

MILWAUKEE VALVE COMPANY INC. c/o CT Corporation 301 S. Bedford Street, Suite 1 Madison, WI. 53703

MW CUSTOM PAPERS, LLC c/o The Corporation Trust Company 1209 Orange Street Wilmington, DE. 19801

ROBERTSHAW CONTROLS COMPANY c/o The Corporation Trust Company 1209 Orange Street Wilmington, DE. 19801

STERLING FLUID SYSTEMS (USA) LLC 2005 Dr. Martin Luther King Jr. Street Indianapolis, IN. 46202-1165

VELAN VALVE CORPORATION 94 Avenue C Williston, VT. 05495-9732

WARREN PUMPS, LLC CT Corporation - Los Angeles 818 West 7th Street, 2nd Floor Los Angeles, CA 90017-3407 Rebecca L. Hill, USB # 06246
Rebecca.Hill@chrisjen.com
CHRISTENSEN & JENSEN, P.C.
257 East 200 South, Suite 1100
Salt Lake City, Utah 84111
Telephone: (801) 323-5000

Attorneys for Defendant Viad Corp. f/k/a
The Dial Corporation

UNITED STATES DISTRICT COURT FOR THE DISTRICT OF UTAH, CENTRAL DIVISION

HOWARD WADE AND DEANNA LYNN WADE,	DECLARATION OF CHARLES E. CUSHING, Ph.D., P.E.
Plaintiffs,	
v.	Case No.
INDUSTRIAL SUPPLY COMPANY, INC. et al.,	
Defendants.	

Pursuant to 28 U.S.C. § 1746, I, Charles E. Cushing, Ph.D., P.E., under penalty of perjury and of my own knowledge, declare and states as follows:

I am the president of C.R. Cushing & Co., Inc., Naval Architects, Marine Engineers and Transportation Consultants. Attached as Exhibit 1 is a true, complete and correct copy of my curriculum vitae. I respectfully submit this Affidavit in support of any assertion that Viad Corp ("Viad") has been sued in its capacity as a person acting under an officer or agency of the United States within the meaning of 28 U.S.C. § 1442(a)(1). This Declaration is based upon my experience, education and training as a naval architect and marine engineer, which includes

my involvement in the design, construction, and/or conversion of more than 250 ocean-going vessels. It is also based upon my knowledge, experience, research and familiarity of and with the history of the design, construction and operation of the United States Naval vessels during World War II. This includes knowledge and familiarity with the contracting practices and requirements utilized by the U.S. Navy in constructing these vessels and as applied to its suppliers and contractors.

- 2. Plaintiffs allege in this case that Viad is the successor in interest to Griscom-Russell Company ("Griscom-Russell"). Plaintiffs further allege that Plaintiff Howard Wade was exposed to asbestos while serving in the United States Navy from November of 1968 to August of 1973 in the locations of San Diego, CA, Great Lakes IL, and Long Beach, CA.
- 3. Griscom-Russell is a defunct company that manufactured evaporators and fuel oil heaters used on some U.S. Navy vessels in the 1940s and 1950s.
- 4. The United States Navy was intimately involved in the manufacture of any Griscom-Russell equipment used on U.S. Navy vessels, as the equipment manufactured for those vessels was designed and built to meet precise and exacting specifications of the U.S. Navy. Moreover, pursuant to the U.S. Navy's specifications, Griscom-Russell would not have been able to affix to its products any type of warning or cautionary statements concerning alleged health hazards from the installation, use or maintenance of the products. Whether certain equipment used aboard U.S. Naval vessels should have warnings, and the content and format of any such warnings, was determined solely by the U.S. Navy. Griscom-Russell would have had no discretion whatsoever to affix any warnings of its own to products it delivered for installation on Navy ships.

- 5. Moreover, the U.S. Navy had precise specifications for any informational manuals delivered with Griscom-Russell equipment. Again, Griscom-Russell would have had no discretion to deviate from such specification, and U.S. Navy participated intimately in the preparation of this kind of information and exercised specific direction and control over contents.
- 6. The Griscom-Russell equipment manufactured for use on U.S. Navy vessels would have been manufactured without any insulation and shipped to the shipyards without insulation. The equipment would have been totally insulated at the shipyard, or after installation on the vessels, by others using insulation purchased from others. The U.S. government specified, designed and approved very precise specifications governing how the shipyard should insulate the equipment and the type of materials the shipyard should use to insulate the equipment.

I declare under penalty of perjury that the foregoing is true and accurate. Executed this // day of February, 2022.

Charles K. Cushing

Curriculum Vitae of Charles R. Cushing

EXHIBIT 1

TO DECLARATION OF CHARLES R. CUSHING

CHARLES R. CUSHING, Ph.D., P.E.

Employer:

C. R. Cushing & Co., Inc.

Naval Architects, Marine Engineers & Transportation Consultants

30 Vesey Street, 7th Floor New York, New York 10007

Position:

President

U, S. Merchant Marine Academy, B.S. (Marine Transportation) 1956 Education:

Massachusetts Institute of Technology, B.S. (Naval Architecture and Marine

Bugineering) 1960

State University of New York, M.S., (Ocean Transportation) 1972 University of Wales, Cardiff University, Ph.D. (Marithme Studies), 1997

Experience:

C. R. Cushing & Co., Inc., is a firm of naval architects, marine engineers and transportation consultants founded in 1968 by Charles R. Cushing. Dr. Cushing has been responsible for the design, construction, conversion, repair and/or refurbishment of over 250 ocean-going vessels in most major shipyards in the U.S., Europe and the Far East.

Dr. Cushing has personally directed and/or executed the concept, contract design, strategic planning, plan approval, supervision and construction of: tankers, tank barges, containerships, LNG ships, tugs, bulk carriers, roll-on/roll-off vessels, offshore pipe laying vessels, lacket delivery barges, passenger ships, and other types of vessels.

Risk analyses, safety audits, energy audits, corrosion studies, vessel maintenance, manning, collision avoidance, pollution prevention, navigation, coatings, automation, pumping, noise, vibration, hydrodynamics, and air quality monitoring typify the fields of Dr. Cushing's expertise.

Assignments ranging from port and terminal projects, economic analyses, material handling studies, marine operation and maintenance studies, automation studies, planned maintenance and repair systems all fall under his realm of expertise. He has been responsible for the design of numerous types of intermodal shipping containers; the purchase, inspection, and testing of containers, container refrigeration equipment, container chassis, and container handling equipment. He authored the United States Coast Guard Tankerman's Manual.

Dr, Cushing served as Chief Naval Architect at Sea-Land Service, Inc. from 1961 to 1968 where his accomplishments include the design and conversion of 45 containerships, the development of cranes and cargo handling systems. He holds a number of patents in maritime and intermodal technology.

Prior to his graduation from MIT, he sailed as a cadet and a licensed deck officer on a number of U.S.-flag general cargo and passenger vessels. He has been involved in cargo handling operations in the United States, South America, Southeast Asia, Australia, New Zealand, the Far East, Middle East, Africa, and Europe.

Professional Associations:

American Bureau of Shipping, Naval Architecture Committee, Past Member,

American Bureau of Shipping, Committee on Cargo Containers, Past Member

American National Standards Institute MH5 Committee, Member

American Society of Heating, Refrigeration and Air Conditioning Engineers, Member, No. 3031973

American Society of Mechanical Engineers, Fellow, No. 261040

American Society of Naval Engineers, Naval Member, No. 00549

Charter Engineer, U.K., Engineering Council No. 152957

Chemical Transportation Advisory Board, Past Member

Chemical Transportation Advisory Board Subcommittee on Bulk Terminals/Tank Vessels, Past Member

EuroBugineer, European Union

Global Maritime and Transportation School (GMATS), Member, Board of Directors to 2012

Institute of Marine Englneering Science and Technology, Fellow

Instituto Pan Americano de Ingenieria Naval, Member IM-605

International Cargo Handling and Coordination Association, Member

International Standards Organization, TC-104, Past U.S. Delegate

Japan Society of Naval Architects and Ocean Engineers, Member

Korean Society of Naval Architects, Member

Professional Engineer, State of Mississippi, Reg. No. 03537

Lloyds Register of Shipping, U.S. Committee, Past Chairman; Technical Committee, Past Chairman

Marine Board, Member, 2004 to 2010

Maritime Resource Center, Past Chairman

MIT Club of New York, Member

National Academy of Engineering - Blected 2004

National Academy of Sciences - NRC, Ship Structures Committee, Past Member

National Fire Protection Association, Member No. 105205

National Shipbuilding Research Program, Blue Ribbon Panel Member

National Safety Council, Member

New York City Port Council, Past Member

New York Yacht Club, Member

North East Coast Institution of Engineers and Shipbuilders, Past Member

Nautical Institute, Member No. 98 12550

Royal Institute of Naval Architects, Fellow

Royal Institute of Navigation, Member

Society of Maritime Arbitrators, Member

Society of Naval Architects and Marine Rugineers, Life Member, Fellow, No. 1080010

SNAME Fellows Committee, past Chairman

SNAME Finance Committee, Member

SNAME Ship Technical Operating Committee, Member

Sperry Board of Awards, Chairman 1991/1992

State University of New York (Maritime College), Engineering Advisory Committee, Member

U.S.C.G., SOLAS Working Group on Container Safety - Member and past U.S. Delegate

U.S. Merchant Marine Academy, Trustee. 2005 to 2007.

U.S. Merchant Marine Academy Alumni Association. President, 1986-1990

U.S. Merchant Marine Academy, Engineering Advisory Board, Member

U.S. Merchant Marine Academy Foundation, Chairman, 1982-1986

Webb Institute (Naval Architecture), Board Member; Fellow; Executive Committee; Finance Committee;

Chaliman; Audit Committee; Planning Committee to 2010

Naval Studies Board 2008 to date

Awards:

The Admiral E.S. Land Medal for Excellence in Naval Architecture, USMMA, 1956

The Marine Man-of-the-Year, 1970, USMMA/SNAME

The Alumnus-of-the-Year, 1991, USMMA

The International Maritime Hall of Fame, 2000

The Admiral E.S. Land Medal for Outstanding Contributions in the Marine Field, SNAME, 2000

Other Professional Activities:

- Authored numerous publications for professional societies, trade publications and industry conferences. Contributed chapters in the Society of Naval Architecture and Marine Engineers' Ship Design and 1993 Historical Transactions.
- Adjunct Professor at World Maritime University in Malmo, Sweden and Dallan, China teaching The Ship_Acquisition Process and Maritime Accident Investigation, 1987 to date.
- Lecturer at Massachusetts Institute of Technology, Webb Institute, University of Michigan, United States Merchant Marine Academy, Industrial College of the Armed Forces, Marine Engineers Beneficial Association, GMATS, and elsewhere.
- Serves as a director, officer or committee member of numerous educational, professional and industry organizations.
- · Chairman, founder and principal shareholder in Oiltest, Inc.
- U.S. Naval Reserve, 30 years, retired 1982.
- Member National Academy of Engineering, elected 2004.

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7	VIAD CORP		-
8		D TOWN TOWN	Gozza
9	UNITED STATES	DISTRICT	COURT
	CENTRAL DISTRI	CT OF CAI	LIFORNIA
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11	GENEVIEVE SCHROEDER, KEITH	•	CV11-00738 R (VBKx)
12	SCHROEDER, CRAIG SCHROEDER,	•	ATION OF REAR
13	1	•	L (USN, RETIRED) BEN J.
	i	•	IN SUPPORT TO
14	vs.	•	ANT VIAD CORP'S
15		OPPOSIT	ION TO PLAINTIFFS'
16) MOTION	TO REMAND
	FMC CORPORATION as successor in)	
17	interest to NORTHERN PUMP)) Date.	Manah 21, 2011
18	COMPANY; GENERAL ELECTRIC COMPANY; GOULDS PUMPS (IPG),) DATE:) TIME:	March 21, 2011 10:00 a.m.
19) DEPT.:	8
1	individually and as successor in interest	,	
20	to DE LAVAL TURBINE, INC., and)	
21	WARREN PUMPS, INC.;)	
22	INGERSOLL-RAND COMPANY,)	
23	individually and as successor in interest to TERRY STEAM TURBINE)	
	COMPANY; METALCLAD) }	
24	INSULATION CORPORATION;))	
25	RAPID AMERICAN CORPORATION;)	
26	VIACOM, INC., individually and as)	
1	successor by merger to CBS)	j
27	CORPORATION f/k/a)	
28	WESTINGHOUSE ELECTRIC)	1
		1	
	DECLARATION OF REAR ADMIRAL (US	-	
	TO DEFENDANT VIAD CORP'S OPPOSITI	ON TO PLAII	NTIFFS' MOTION TO REMAND
•			·

1 CORPORATION successor-in-interest) to BF STURTEVANT CO.; VIAD 2 Corp, f/k/a THE DIAL 3 CORPORATION, individually and as successor-in-interest to GRISCOM-RUSSELL COMPANY; YARWAY CORPORATION; FLOWSERVE dba BYRON JACKSON PUMPS and DOES 1 through 100, Inclusive, 7 Defendants. 8 9

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DECLARATION OF REAR ADMIRAL (USN, RETIRED) BEN J. LEHMAN

- I, Ben J. Lehman, under penalty of perjury and of my own personal knowledge, state the following:
- 1. I am a retired Rear Admiral of the United States Navy. Before joining the Navy in 1942, I received a Bachelor of Mechanical Engineering degree from the College of the City of New York. After joining the Navy, I was ordered to study naval architecture and marine engineering at Massachusetts Institute of Technology (MIT). Later, I completed the United States Post-Graduate School program in Naval Engineering Design at the Naval Academy in Annapolis. The curriculum was primarily in electrical and mechanical engineering. I received a Master of Science in Mechanical Engineering from Harvard University in 1949. I have also studied Design Philosophy and Advanced Stress Analysis at Stanford University.

I joined the United States Navy in 1942 and remained on active duty until 1946. While on active duty in the United States Navy, I served as Ship Superintendent and Dry Docking Officer at the Brooklyn Navy Yard between 1942 and 1944.

In 1946, I left active duty and joined the Naval Reserve. In 1950, I returned to active duty and was assigned as a Ship Superintendent at the San Francisco Naval Shipyard from 1950 to 1952. I was then transferred to the Assistant Industrial Manager Office in San Francisco from 1952 to 1954 as a Planning Officer.

In 1954, I returned to the Naval Reserve where I was a member and then Commanding Officer of Naval Reserve Engineering Companies.

I was promoted to Rear Admiral in 1977 in the Naval Reserve.

I worked as an engineer at General Electric Company between 1946 and 1948. I held the positions of Director of Engineering and Vice-President of Engineering at two major ship building companies between 1969 and 1975. During all these periods, I have maintained close contact with the U.S. Navy, including periods of active duty in the Department of Defense and the Naval Sea Systems Command in Washington, D.C. I have been an independent consultant since 1975 providing engineering consultation services to various industries including the shipbuilding industry. During my Naval service, I have personally been responsible for the creation of Navy specifications for the procurement of materials and machinery for use on Navy ships. A true, complete and accurate copy of my curriculum vitae is attached as Exhibit 1.

2. Based on my experience, professional training and education, I am familiar with the plans, designs and specifications used in the construction and repair of commercial and Navy ships. In addition, I am familiar with Navy specifications, equipment manuals and qualified products lists which are used in the construction and repair of Navy and commercial ships. I am also familiar with the Navy regulations regarding the use, placement and repairs or maintenance of asbestos products generally during the periods in which they were used and the Navy regulations regarding such maintenance, technical manuals and warnings permitted by the Navy.

3. I submit this Declaration to attest to the level of supervision and control by the United States Navy and its officers over every aspect of the design, manufacture and use of equipment intended for installation on Navy vessels.

- 4. During my service in the Navy as a Ship Superintendent, I was personally involved with the supervision or oversight of ship alterations and equipment overhauls at the New York Naval Shipyard (formerly the Brooklyn Navy Yard) and at the San Francisco Naval Shipyard (Hunter's Point).
- 5. During the 1940s and 1950s, the Navy generally utilized a system of ship design and construction that established and set the designs of ships, which designs were known to the Navy to meet particular performance capabilities. The Navy then restricted any deviations from such designs by any suppliers and/or contractors. When a change in the design and/or construction of a ship was required, the Navy would oversee, control and approve all aspects of the change. Design drawings were prepared by the design agent for the Navy or by the Navy itself. The Navy reviewed and approved the drawings and then submitted them to the individual suppliers and contractors to use in the manufacturing, supply and/or installation of the equipment and the construction of the ship. These pertained to the original designs, as well as changes initiated and controlled by the Navy.
- 6. I have reviewed various documents submitted by Buffalo Pumps in connection with its removal and related briefing in William A. O'Connell v. Foster Wheeler Energy Corp., et al., Civil Action No. 08-10078-RGS (D. Mass). As an aid to the Court, I submit herewith as Exhibit 2 an affidavit of Navy Rear Admiral David Sargent, Jr., and also the documents attached as Exhibit L to the Sargent affidavit; and I submit herewith as Exhibit 3 an affidavit of Buffalo Pumps' production manager Martin Kraft, and also the documents attached as Exhibit C to the Kraft affidavit. I have read both affidavits, including these exhibits, and am familiar with their content. Based upon my personal experience,

these documents attached to both affidavits are typical of the Navy's detailed attention to and control over the content of submissions, and the type of correspondence that the Navy used to reject submissions of, and to require corrections and resubmissions by, its various contractors. In my experience, such preliminary drafts and responsive comments were more typically discarded than retained, which may serve to explain why more such documents have not turned up.

- 7. Any deviation from military specifications of equipment to be installed on ships would have resulted in significant problems and probable rejection of the equipment. The Navy could not, and did not, permit its contractors to implement any changes because every aspect of every item of equipment had to be: (1) functionally compatible with every other item of equipment and with available materials from the Navy Supply System; (2) compatible with shipyard practices, training, tools and capabilities; and (3) consistent with the ability of the crew in maintaining the ship during its service using materials carried on board when shipyard help was not available.
- 8. In the 1940s, 1950s and afterward, the Navy had complete control over every aspect of each piece of equipment. Military specifications governed every characteristic of the equipment used on Navy ships, including the instructions and warnings. Drawings for nameplates, texts of instruction manuals, and every other document relating to construction, maintenance, and operation of the vessel were approved by the Navy. This control included the decision of what warnings should or should not be included. Thus, the Navy controlled the decision making with respect to instructions and warnings on every piece of equipment.
- 9. The Navy had specifications as to the nature and content of all written material that was delivered with each piece of equipment. The Navy was intimately involved with and had final approval of all technical and engineering drawings, operating manuals, safety or hazard information and any other written

information that accompanied a piece of equipment. The Navy determined the nature of hazards to be subject to any precautionary labeling and the content of any such labeling. In short, the Navy dictated every aspect of the design, manufacture, installation, overhaul, written documentation and warnings associated with its ships and did not permit deviation by any of its contractors.

10. The Navy specified the use and placement of insulation, including asbestos insulation, on Navy ships during World War II and the 1950s. Mechanical equipment for use aboard Navy ships was delivered without insulation. This was to prevent damage to the insulation during shipment and installation, and to allow the equipment to be effectively connected to other equipment and systems on board, which connections as well as the equipment could then be effectively insulated. If mechanical equipment was to be insulated, it was not insulated by the manufacturers, but rather by shipyard personnel.

Shipyards and shipyard personnel were solely responsible for installing and insulating the equipment. Insulation was installed in accordance with plans, specifications, and schedules developed for and controlled by the Navy. Based upon my experience, professional training, education and research, it is my opinion that the United States Navy was aware of the dangers of asbestos by the 1940s. Despite such knowledge, the Navy did not provide any warnings. The research by LCdr S. A. Forman, MC, U.S. Navy [Par. 13g, below] supports my opinion.

11. Based upon my experience, professional training, education and research, it is my opinion that equipment suppliers were prohibited from providing any warnings to be placed on or to accompany equipment supplied to the Navy without the consent and approval of the Navy. Moreover, certain types of warnings would not have been approved by the Navy given the necessary performance needs and capabilities of the shipboard equipment, the ships and Navy personnel. This would have included, but not been limited to, any potential

warnings associated with asbestos including, but not limited to, recommendations regarding respiratory protection, and repair and maintenance practices. This was due to the inability to effectively and comprehensively observe, implement, and comply with such recommendations under the multitude of varying conditions likely to be encountered by Navy ships at sea, and especially at war.

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All equipment and procedures had to be standardized to ensure that personnel were familiar with the procedures for operating, repairing and maintaining the equipment, and that the tools and equipment aboard ship or at ports world-wide were available to perform such procedures. Thus, a contractor or supplier could not provide warnings or recommendations without the consent and authorization of the Navy.

12. During the 1940s and early 1950s, the Navy did not have the tools, equipment and/or personnel capabilities to meet or comply with any potential warnings or recommendations pertaining to the health hazards of asbestos aboard ship, especially under the exigencies of war. Further, the Navy limited the areas of interest of each manufacturer to the equipment supplied by that manufacturer. Because equipment was required by the Navy to be supplied without insulation, it would have been improper and unauthorized for the manufacturer or supplier of such equipment to supply warnings or other recommendations with respect to insulation, which would not have been within its particular area of interest. As the manufacturer and/or supplier would not have been responsible for the insulation, it likely would not have been aware of any hazard associated with such insulation or required to determine whether any existed, and thus it had no ability or obligation to supply warnings about insulation. As the Navy would have been the entity that required the insulation, designated the type and placement of the insulation, and directed a different entity to supply, install it, or both e.g., the shipyard, the Navy's knowledge of any potential hazards associated with the insulation would have been equal or superior to that of the

- 13. Based upon my review of many documents regarding the Navy's hazard communication program, my career experience in the Navy, and personal knowledge of the Navy's hazard communication program and naval practices generally, I can state as follows:
 - a. Uniformity and standardization of any communication, and in particular safety information, are crucial to the operation of the Navy. The Navy could simply not operate if various personnel were trained differently and received additional inconsistent information from different manufacturers.
 - b. Asbestos insulation products began containing hazard warning labels from the insulation manufacturers in the mid-1960s. Prior to that time, beginning more than two decades earlier, the Navy's own occupational health program provided training, engineering and administrative controls, personal protective equipment, and medical surveillance to prevent the hazards of asbestos to shipyard workers.
 - c. Any additional warning about the hazards of asbestos by an equipment manufacturer would be only partial in scope as well as inherently redundant, eventually obsolete, and almost certainly inconsistent with the Navy's own training. The Navy could not permit unauthorized hazard labels which might interfere with the abilities of sailors to perform their duties in the heat of battle.
 - d. At most, it is possible that manufacturers of equipment delivered to the Navy without insulation could merely have told personnel to follow the Navy's own mandates for handling asbestos. This redundant information is not informative, diverts attention from hazards inherent in the equipment, and would certainly become obsolete. Equipment aboard Navy vessels last many years and the Navy's asbestos hazard communication

program evolved over the years to keep pace with scientific developments and changes in materials.

- e. If each equipment manufacturer (and conceivably even the pipe and structural steel manufacturers) provided its own warning about asbestos insulation that might be used on or around its product, inconsistent warnings would certainly have resulted. Many other hazardous substances (for example boiler feed water chemicals, fuels, solvents, heavy metals) are used in conjunction with the multitudes of equipment on a ship. If each was to warn about all the possible substances that might be used on or around its equipment, sailors would quickly become inundated with inconsistent information on myriad substances.
- f. Some types of insulation used by the Navy on equipment were non-asbestos (e.g., fiberglass blankets) and any general warning about asbestos on such equipment would simply be wrong. In fact, asbestos was designated as a "critical material" by the Army and Navy Munitions Board on or about January 30, 1940. See Exhibit 4. The Navy directed that substitutes for asbestos, including fiberglass, cotton duck lagging and hair felt, should be used where possible, including on low temperature pieces of equipment in order to conserve available asbestos. See Exhibit 5.
- g. Based on my experience, the United States Navy, as the biggest user of asbestos in World War II, and thereafter in shipbuilding, was more knowledgeable about any hazard of asbestos than any of the vendors who supplied it and upon whom plaintiff seeks to impose a duty not consistent with or imposed by the above naval specification. In Par. 10, I mentioned the extent of the Navy's knowledge with regard to asbestos. As an aid to the Court, I submit herewith as Exhibit 6 an affidavit of Samuel A. Forman, M.D., with attached exhibits, with which I am thoroughly familiar from various other litigations involving the U.S. Navy. Dr. Forman compiled the

documents attached to his affidavit while detailed by the Navy and under Navy orders to perform an investigation into the state of Naval hygiene and asbestos. I agree with the conclusion of Dr. Forman that the state of knowledge of the United States Navy regarding hazards of asbestos was quite complete when compared to available knowledge at the time of World War II, and that by 1940, the United States Navy was a leader in the field of occupational medicine relating to, among other things, asbestos exposure. I myself, was exposed to asbestos in inspecting the work of insulating shops under my supervision during my tenure at the Brooklyn Navy Yard and also in San Francisco. Accordingly, my interest in the Navy's knowledge in this field was both personal and professional, and continuing to this day.

I have reviewed all of the exhibits attached to the affidavit of Dr. Forman including the article attached as Exhibit C thereto, as well as the documents listed above in sub-paragraph (f), which I saw in the course of my duties as a naval officer, as either official United States Navy Documents or articles reproduced from recognized and reputable magazines and reviews of the kind relied upon by experts.

14. Based on my experience, the United States Navy is bound by its own regulations and would not permit any vendor gratuitously to do anything not provided for in its own regulations. The Navy would not allow any warnings to be placed on any product without specific authority by way of an order or a regulation.

Therefore, I conclude:

The information possessed by the Navy with respect to the specification and use of asbestos, and the health hazards associated with its use aboard Navy vessels, represented the state-of-the-art and far exceeded any information that possibly could have been provided by manufacturers of Naval equipment. Based upon the knowledge at a given period in time, the Navy was

fully aware of the recognized health hazards of asbestos and had a robust program 1 to control exposure of personnel and monitor their health. There was no information concerning any asbestos hazard or danger 3 posed by any asbestos-containing product applied to any equipment on a United States Navy ship known to a manufacturer of equipment that was not previously 5 known to the United States and the United States Navy. 6 7 It would be unreasonable to assume that the Navy would have accepted gratuitous comments from equipment manufacturers about hazards associated with 8 a product they neither made nor sold and about which the Navy was already aware. 10 I declare under penalty of perjury that the foregoing is true and correct. 1 I Executed this 2 day of March 2011. 12 13 **I**4 Ben J. Lehman, Rear Admiral (USN, Ret.) 15 16 17 18 19 20 **2**I 22 23 24 25 26 27 28

Curriculum Vitae of Rear Admiral Ben J. Lehman (Ret.)

EXHIBIT 1

TO DECLARATION
OF REAR ADMIRAL BEN J. LEHMAN (RET.)

Ben J. Lehman

Rear Admiral U.S. Navy (Engineering) Retired

Professional Engineer, Safety Professional President - Mech Elex Tex, Inc.

Education:

College of the City of New York [CCNY], Bach. of Mechanical Engineering	(1942)
Massachusetts Institute of Tech. [M.I.T.], Naval Architecture [4 months]	(1942)
U.S. Navy Post-Graduate School, Electrical and Mechanical Engineering	(1945)
Harvard University, M.S. in Mechanical Engineering	(1949)
Stanford University, Design Philosophy and Advanced Stress Analysis	(1957-59)

Forensic Experience:

Testified in court over 50 times regarding;

Ship Design, Construction and Repair, Product Defects

Safety Engineering including Warnings and Training;

Construction Practices and Equipment; Electrical Equipment;

Professional Recognition:

Registered Professional Englneer: New York (1949), California (1953), [Emeritus: Alabama (1976), Louisiana (1976)] Florida (1976: lapsed)

Certified Safety Professional (1979-2004: discontinued in 2004)

Career Experience:

Ship superintendent and planning officer, [U.S. Navy-Ensign to Lcdr] (19	42-46 + 1950-54)			
(Promotions in the Naval Reserve: Cdr-1957, Captain-1962, Rear Admiral-1977; retired 1982)				
Engineer, General Electric Co. (1946-48) & Bethlehem Steel Shipbuilding Div.	(1949-50)			
Engineer, Bechtel Corp. (1954-55) & Sylvania Electric Microwave Laboratory	(1955)			
Project Engineer, Kaiser Aircraft and Electronics (1956-57) & Beckman Instrument	is (1957-59)			
Engineering Manager, Lockheed Missiles and Space Co.	(1959-69)			
Director of Engineering, Lockheed Shipbuilding and Construction Co.	(1969-72)			
Vice-President Engineering, Litton Industries & Ingalls Shipbuilding	(1972-75)			
Independent Consultant	(1975 -present)			

Affiliations:

Systems Safety Society
Society of Naval Architects & Marine Engineers
Institute of Electrical & Electronic Engineers (IEEE)

American Society of Naval Engineers Society of Automotive Engineers Risk Analysis Society

P.O. Box 3480, 169 Juniper Drive, Lake Tahoe-Stateline, NV 89449
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Rev.5/09

Affidavit of Navy Rear Admiral David Sargent, Jr.

EXHIBIT 2

TO DECLARATION
OF REAR ADMIRAL BEN J. LEHMAN (RET.)

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William A. O'Connell v. Buffalo Pumps, Inc. et al., Civil Action 1:08-cv-10078-RGS, United States District Court, District of Massachusetts

AFFIDAVIT OF DAVID P. SARGENT, JR.

STATE OF HAWAII)	
)	SS.
CITY AND COUNTY OF HONOLULU)	

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DAVID P. SARGENT, JR., being duly swom, deposes and states under the penalties of perjury, as follows:

Background and Experience

- 1. I am a retired Rear Admiral of the United States Navy, in which I served between 1967 and 1999. I began my active naval career in 1967 after receiving a Bachelor of Science degree in Mechanical Engineering from Cornell University and receiving a commission in the Navy through the Naval ROTC program. Upon commissioning in the Navy, I attended the Cruiser-Destroyer Forces Pacific Fleet Engineering Officer's School in a course focused on the operation and maintenance of engineering plants of World War II era warships. In 1974, I received a Master of Mechanical Engineering degree from the Naval Postgraduate School, Monterey, California. In addition, I am a licensed Professional Engineer (Mechanical) with extensive operational experience in ship engineering, ship maintenance and at-sea operations.
- 2. My assignments from 1967 until 1988 were primarily involved with the operation and maintenance of Navy warships. Thereafter, I held a variety of program and technical management positions in the Naval Sea Systems Command program offices

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where I was responsible for the design, construction, fleet introduction, in-service support, and modernization of various classes of warships. Upon selection to Rear Admiral in 1994, I was assigned as Commander, Naval Surface Warfare Center, a diverse organization of research laboratories and engineering stations responsible for research and development of all technical aspects of Navy surface ships and submarines. My final assignment before retirement in 1999 was as Program Executive Officer for Aircraft Carriers, Expeditionary Warfare and Auxiliary ships. In that position, I had overall responsibility for all matters relating to both the technical and programmatic details of design, construction, delivery and support of both new and in-service aircraft carriers, expeditionary warfare and auxiliary ships of the Navy.

- 3. I am now President of Sargent Enterprises, Inc., which includes two companies serving the maritime industries. SEI Associates, an engineering services business, provides technical and management support to maritime industries. SEI Marine Technologies is a company that operates and maintains various test and demonstration craft for research and development companies involved in developing new equipments and hull forms for future high performance ships. I have served for many years in active leadership of the American Society of Naval Engineers, and in 2001 and again in 2003 was elected to serve two consecutive two-year terms as president of that organization. I am also a member of the Sigma Xi Engineering Honorary Society, the American Society of Mechanical Engineers and the Cornell Engineering Alumni. Association.
- 4. As a Navy engineering officer and program manager, I was often called upon to assist in determining conformance of shipbuilders and equipment vendors to drawings and specifications prior to acceptance by the Navy. The chain of command within the

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Navy concerning ship design and construction involves several layers of authority, particularly in the lines of command for technical and contractual control over Navy ship design, construction, maintenance and repair. Ultimately, the Secretary of the Navy has authority over the Navy including Navy shipbuilding design, construction and operation. During the 1940s, 1950s and 1960s, the Navy Bureau of Ships (BUSHIPS) (later known as NAVSHIPS and currently as NAVSEA) controlled all Navy ship design and construction.

5. I am knowledgeable from my own Navy service, and also from my education, training, research and experience with the historical practices and procedures employed by the Navy in the design and construction of vessels and the operation of its vessels and facilities.

Navy Warships are Unique and Complex

- 6. Warships must be designed to meet very demanding performance requirements such as high speed and firing of weapons, the ability to safely carry and employ a vast array of explosives and ammunition, the ability to operate for long periods at sea without support or replenishment, and do all these missions both in peacetime and in combat.
- 7. Navy warships are some of the most complex machines ever designed and constructed. They are high-speed, floating, heavily armed communities that must support hundreds of crew members and a vast array of complex systems for months at sea. Ships are the only machines sufficiently large, complex and mobile that the operators must live inside the machines they operate. Thus, warships of all sizes and types contain all the facilities of a community plus multiple the armaments and ammunition. Major characteristics and capabilities include a sturdy and survivable hull

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form, high performance propulsion systems, electrical power generation to support all needs, fresh water distilling systems, food storage, preparation, and cating spaces as well as clean up, living spaces, laundry services, medical spaces, library, firefighting and damage control capabilities, and many other services.

- 8. An example will help to illustrate the immense task faced by the Navy in designing warships. Among the vessels constructed by the Navy during the general period in question were the so-called *Forrestal* class aircraft carriers. These ships were designed and constructed during the 1950s and served the Navy into the 1990s.
- 9. The Forrestal class carriers were 1,063 feet long, with an extreme width of 252 feet. They displaced about 80,000 tons. Their draft, or depth below the waterline, was approximately 37 feet (about the height of a 4 story building). The overall height of the ships was greater than the beight of a 25 story building, and they had 19 different "levels" or floors. The flight deck from which aircraft took off and landed was approximately four acres in size, and the hangar bay consumed an additional two acres. The vessels bad approximately 3,000 separate compartments or rooms, ranging in size from small offices to engineering spaces the size of gymnasiums. The onboard storerooms were equal in size to a six-story building. It took about 300,000 gallons of paint to paint the entire ship. There were multiple large food preparation and serving areas to feed the crew around the clock.
- 10. The Forrestal class carriers were capable of speeds in excess of 30 knots (about 36 mph), produced more than 200,000 gallons of fresh water a day by distilling salt water, and carried several hundred-thousand gallons of ship and aviation fuel. Each had eight large turbo-generators that produced enough electricity to power a good sized

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city. The Navy estimates that the ships had more than 10,000 miles of electrical cable installed and many miles of piping. The ships carried more than 80 aircraft each, and they had crews of more than 5,500.

- 11. Navy warships must be designed to operate effectively in very harsh and hostile environments, to survive battle damage and fight again, and to meet demanding speed and maneuvering requirements. Over time, the specific types of enemies, weapons and combat which Navy ships must face has changed, from a focus on surface-to-surface combat involving heavy guns to greater use of aircraft and missiles. These changes have created fundamental changes in the design and construction of Navy vessels.
- 12. Beginning in and following World War II, the aircraft carrier became the most significant type of surface ship. An aircraft carrier must use high speed to create wind over the deck to launch and recover aircraft. The result was an overall increase in the speed demanded of Navy vessels of all types, whether carriers or the support and escort vessels that accompany them. To meet these demands, Navy designers had to develop significantly higher horsepower propulsion plants. It was also imperative that this increased power be achieved without significant increase in either the size or the weight of the propulsion plant, since increased size and weight would require even more horsepower.
- 13. The unique aspects of Navy warship design and development placed other requirements on the Navy establishment. Since there was no U.S. industry that either designed or assembled these high performance propulsion plants, the Navy had to undertake itself the design of these complex and state-of-the-art warships, and had to develop ways to verify the performance and reliability of these new designs. To

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accomplish this, the Navy maintained an engineering establishment with many different engineering specialties. The Navy bad the most diverse and advanced engineering workforce in the nation. Additionally, verifying the performance of these new propulsion designs required that the Navy engineering organization build large shore-based laboratories in which they assembled and operated prototypes of these propulsion plants. These prototypes served many uses including verifying performance, validating reliability, and developing optimum operating procedures.

Navy Vessels - Concept to Operational - The Process

Cost and Feasibility Studies

- 14. Prior to the 1940s through the 1970s and even today, the design of a Navy warship started with the establishment of naval war fighting requirements at the national level. Examples included requirements such as the need to ensure that sea lanes in international waters could not be denied by an enemy, the need to detect and neutralize hostile ships, submarines, and aircraft that might threaten U.S. or allied coasts, the need to transport and operate aircraft near enemy territory, and the need to transport and debark Marines anywhere in the world. From requirements such as these, various ship concepts were formulated.
- 15. Rigorous feasibility studies were done on these concepts by both seasoned naval operators and by experienced ship engineers and designers to validate and mature the concepts, and to develop initial cost estimates for budgeting and congressional funding requests. A final ship concept design emerged, describing such parameters as approximate physical size and displacement of the ship, what weapons and sensors would be used aboard, what speed it was required to achieve, what range it must be able to

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achieve without refueling, and how long it must operate at sea without replenishment.

Typically, it took a year or more to progress from a defined new warship requirement set to an agreed to concept design to meet those requirements.

Preliminary Design

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- question was the conversion of the concept design into a preliminary design package that contained sufficient details of the structure and all ships systems to allow engineers to verify that the ship would meet established requirements. During preliminary design Navy engineers determined all equipment arrangements, the weight and stability of the ship, a detailed understanding of the ship's displacement and powering requirements, and a much better cost estimate. Work included investigation of details such as identification of what materials and technologies existed or could be developed in time to achieve the performance of each system, and ensuring that these technologies and design details could in fact be manufactured and integrated into a completed warship were considered and addressed.
- 17. The preliminary design phase was accomplished by dividing the very complex ship into many groupings and sub-groupings such as hull design, propulsion, electrical, deck equipment, messing and berthing, medical, navigation, weapons, sensors, and auxiliary systems to name just a few. During this preliminary design phase, engineers had to develop and document the performance, configuration, and location of each system and piece of equipment that is required to meet the overall ship performance requirements,
 - 18. The preliminary design also had to comply fully with extensive Navy warship

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design General Specifications and other design guidance developed over many decades of experience. Examples include aspects such as how much damage the ship must be able to experience and still remain operable, what levels of shock from battle damage equipment must withstand and remain operational, and what fire fighting and damage control capabilities must be included in the design. At the completion of the preliminary design and related documentation, the Navy was confident that the ship and all included systems and equipments would function as designed and would meet the war fighting requirements.

19. Although the time to develop a preliminary design varied greatly depending on the size and complexity of the warship, typically for a destroyer-type warship, the preliminary design required six months to a year and thousands of man-years of engineering work.

Development of the Contract Design package:

- 20. The next phase in progressing from a ship design to an operational warship was the contract design process, in which the preliminary designs were converted into documentation of proper format and sufficient details for use in the government acquisition contracting process. In essence, this effort was to "design" the procurement contract.
- 21. The complex ship systems and subsystems described in the preliminary design were typically comprised of a myriad of individual mechanical and electrical components connected together in intricate ways. During the contract design phase, Navy engineers had to confirm that sources existed from which the specified materials, equipments, and consumables could be obtained. However, usually there was no one

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source from which the Navy could obtain these complex warship systems and subsystems. Rather, sources had to be identified for individual components that could later be assembled into the Navy's complete systems. Thus, the Navy typically had to procure, for each vessel, countless individual components from dozens of individual suppliers and sources. Examples of components associated with just the propulsion systems on Navy warships include specific types of steel and fasteners, pipe and fittings; pumps, valves, turbines, condensers; electrical motors, generators, and switchboards; gauges, meters, alarms; boilers, condensers and reduction gears. During World War II and well into the 1960s, virtually all equipment that was to be installed in warships was procured by the Navy and provided to the building shipyard as government-furnished equipment.

- 22. This detailed design of all equipment, subsystems, systems, and the entire ship also was required to comply fully with a plethora of Navy design guidance developed from previous experience. For example, the Navy sets and follows internal standards and requirements regarding such matters as levels of redundancy necessary to preclude single points of failure, standardization of consumables and spare parts amongst different equipments, systems and with other warship classes, crew operating environmental requirements such as temperature, noise, lighting, equipment labeling, standard Navy identification and labeling of decks, doorways, compartments, and equipment, and housekeeping matters such as heating and ventilation, food storage preparation and serving, and laundry requirements.
- 23. The contract design package when complete included the entire set of Ship Specifications with detailed design information, the contract plan for procuring all

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equipment as well as contracting for ship construction, and the multitude of individual requests for proposals that were required to describe every piece of material, equipment and subsystem that had to be procured to allow construction of the warship. The development of the contract design package involved multiple government decisions.

Examples include decisions which were subject to various Navy and other federal guidance and regulations, such as Federal Specifications, Federal Acquisition Regulations and Defense Federal Acquisition Regulations.

- 24. The Navy developed specifications called, since the 1950s, Military Specifications (MILSPECs) for use in the contract design package. Thousands of MILSPECs were developed for various specific materials, equipment, components, books, manuals, label plates, etc. These MILSPECs presented very detailed descriptions of what the government required when procuring the items covered by the MILSPECs, including requirements such as chemical composition, dimensions, required testing and performance demonstrations, required labeling, packaging and shipping requirements, and similar content. These specifications typically cross-referenced and invoked other specifications.
- 25. The Navy maintained the responsibility to develop the MILSPECs and other standards for the manufacture and supply of equipment used in the construction, maintenance and repair of Navy ships. Specifications for any equipment intended for use aboard Navy ships were drafted, approved and maintained by the Navy. Once promulgated, only the Navy could make changes or modifications to those specifications. MILSPECs were prepared by hundreds of Navy engineers highly qualified in specialty areas such as, among many other things, pumps, steam turbines, gas turbines, reduction

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gears, ship propulsion, and auxiliary equipment.

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- 26. This specification system was initiated in the 1930s and was expanded in both scope and detail for use in the procurement of the large number of complex warships procured in the World War II timeframe and since. The technical specifications system always included a disciplined revision and change process to ensure technical specifications were kept current and reflected changing requirements, technology, materials, and other related updates. Manufacturers of components, such as pumps, procured by the Navy for use in warships were required to comply with technical specifications in all details in order for the Navy to accept the equipments being manufactured, tested, and shipped.
- 27. Examples of MILSPECs issued by the Navy for centrifugal pumps of the type manufactured by Buffalo Pumps are attached as Exhibits A and B. Notably, among numerous other detailed requirements, both required that internal gaskets in the pumps be "asbestos sheet gaskets."
- 28. Navy specifications were communicated to vendors such as Buffalo Pumps when the Navy (or private entities, such as shipyards or professional design firms) issued Requests for Proposal (formerly called Invitations for Bid) for the manufacture or supply of certain equipment. Compliance with the standards and specifications issued for equipment supplied for ultimate use aboard Navy ships was directly monitored by Naval Machinery Inspectors under both of the following divisions: (a) Machinery Inspectors under the Bureau of Supplies and Accounts worked on-site at vendor facilities, such as Buffalo Pumps' manufacturing facility; and (b) Machinery Inspectors under BUSHIPS carried out their responsibilities at the shipbuilding yards. The Machinery Inspectors

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Inspectors exercised primary, front line control and direction over the work performed for the Navy by original equipment manufacturers, regardless of whether the equipment was being constructed or supplied pursuant to a Navy or private contract. Buffalo Pumps equipment could not have been installed aboard Navy vessels unless that equipment was first determined by the Navy to be in conformity with all applicable Navy specifications.

- 29. The incredible level of detail contained in these specifications is necessary to ensure complete and common understanding between the government and vendors of what it is the government requires and is committing to pay for, to ensure commonality across systems with similar components, and to ensure that replacement parts, equipment and consumable materials, some provided by different manufacturers, will all perform as desired. An acquisition contract typically invokes many different MILSPECs and various technical documents such as drawings prepared by the Navy's Bureau of Ships. Taken together, the contract and the incorporated materials present all details of what the Navy requires. It is through this detailed acquisition process that misunderstanding, or rejection at the time of government acceptance inspection, is avoided. This process also minimizes contract disputes between the government and industry vendors.
- 30. Developing the contract design package is comparable to the effort required if a team was to simultaneously develop the detailed designs and contracts to construct a small city including all the required services such as utilities, hospitals, restaurants, and the like. Because of the complexity and thoroughness required, development of the contract design package for a warship such as a destroyer typically took two years or more to complete, with thousands of man-years or effort from engineers, logisticians,

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contract and legal specialists.

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Detailed Design

31. From the 1940s through the 1970s, and even tosay, the next step in the creation of a new warship was the conversion of the contract design into detailed design package that contains sufficient details of the structure and all ships systems to allow the building shippard to build the ship and integrate all specified equipment in accordance with Navy requirements and specifications. The detailed design was typically accomplished by the construction shippard – whether a Navy yard or a private yard – after the construction contract was awarded. During this detailed design phase, engineers had to develop and document in detail the exact location, mounting details, and interface details of each system and piece of equipment in the total ship. Even where not performed by Navy personnel, the detailed design was also overseen by Navy representatives.

Warship Construction

32. The final phases in getting the warship operational included the construction, testing and trials, and acceptance by the Navy. During World War II and up until the mid1960s, some Navy warships were constructed at Naval Shipyards and others were constructed at private shipyards under Navy contract and supervision. Once the Navy selected a construction shipyard, that shipyard was required to comply with all details of the contract in the procurement of material and equipment, the construction of the ship, the testing of equipment, subsystems, and systems and the demonstration to the government that all systems functioned properly. All construction and testing was overseen on a daily basis by the on-site Navy Supervisor of Shipbuilding team. Formal

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acceptance of the completed warship was recommended by the Navy Board of Inspection and Survey only after the members of the Board had witnessed successful sea trials of all systems.

33. Construction of even a relatively small warship such as a destroyer typically took three to five years, with larger ships requiring somewhat longer. During World War II, the construction time for warships was dramatically reduced through the concerted efforts of both the Navy and the industries involved. The Navy, working with the War Production Board, instituted standardization of warship designs, central procurement of ships' major equipment, propulsion machinery, and ordnance, and allocation of key materials. Industry went to twenty-four hour workdays with multiple shifts, prefabrication and automation of many processes, and multiple other time saving methodologies. The Navy and the U.S. Maritime Commission worked closely with the shipbuilding industries and increased the number of shipyards capable of constructing destroyers and larger ships from approximately a dozen in 1940 to around 70 in about two years.

Asbestos and Insulation in the Navy

- 34. As described above, the Navy requirements for aircraft carriers and other warships of World War II and later included the need for significantly higher speeds than previously. This required high speed was achieved by the design and development of sophisticated high-pressure steam propulsion systems. Steam pressures of 600 pounds per square inch and the ability to superheat the steam to 850° F became the norm.
- 35. The key to meeting this high horsepower demand was the development by the Navy of much higher pressure, superheated steam propulsion plants. With the increased

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pressures came greatly increased temperatures and thus the need for much improved insulation technologies, both for plant efficiency and for operator comfort and safety. These "high power density" propulsion plants increased the operating temperatures of machinery and piping, and they created a need for greatly improved thermal insulating and lagging materials. The Navy maintained significant expertise in the important areas of heat transfer and insulation. As a consequence, the thermal insulation needs associated with various equipment and systems was a significant issue in the design of Navy vessels from a number of perspectives. Thermal insulation served a number of important functions, as set forth, for example, by the 1947 version of the Navy's BUSHIPS Manual, a technical reference for Navy engineers, where Chapter 39 was devoted entirely to "Thermal Insulation":

39-2. REASONS FOR INSULATING

- (1) In every power plant there is a heat loss from all heated surfaces and a heat flow to all cooled surfaces. Heat flow may occur in three ways; by conduction, by convection, and by radiation.
- (2) Conduction is the heat flow from one part of a body to another part of the same body, or from one body to another with which it is in physical contact, without displacement of the particles of the body. This manner of heat flow is most important in insulation as it is the low conduction which results in the greatest temperature differential between a hot insulated surface and the atmosphere (as in steam piping insulation), or the relatively warm atmosphere and a cold surface (as in refrigerating plant insulation). Heat transfer from insulated pipes or large blanketed or cemented surfaces (turbines, evaporators, etc.) to the outer surface of their lagging is included in this mode. Conduction is associated with solids and comparison of materials in this respect is measured by a factor called the "thermal conductivity" which expresses rate of conductivity in British thermal units (B.t.u.) per inch of thickness per hour per square foot of area per degree Fahrenheit temperature differential.
- (3) Convection is the transfer of heat from one point to another within a fluid, gas or liquid, by circulating or mixing of one portion of the fluid with another. These currents are produced by warm fluid being displaced by heavier cold fluid. It is of interest to note that convection reduces the effectiveness of air

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space insulation unless such space is very small.

- (4) Radiation is the method of heat transfer by which a hot body gives off energy in the form of radiant heat which is emitted in all directions. Radiant heat, like light, travels in straight lines and with the speed of light. The surface condition greatly affects the ability of a body to radiate heat. Dull, dark, rough finished surfaces are the best radiators. Conversely, bright, shiny, smooth surfaces are good heat reflectors.
- (5) In order to minimize the transfer of heat from or to a body or surface which is hotter or colder, respectively, than the surrounding atmosphere, thermal insulation is applied. This thermal insulation is a material or materials of low thermal conductivity. (See par. 39-2 (2).) While increasing the economy of the plant, thermal insulation also reduces the quantity of air necessary for ventilating and cooling requirements and prevents injury of personnel due to burns from contact with hot parts of apparatus. It also insures more uniform heat distribution within equipment. Another function of thermal insulation is to prevent "sweating" of cold surfaces on which atmospheric moisture condenses thus causing undesirable dripping as well as accelerated corrosion of the metal. Insulation must be sufficiently effective to reduce heat losses and lower surface temperatures to a degree which will permit habitable conditions in a specific space or compartment.

(Exhibit C, 39-2).

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- 36. Due to the importance of heat transfer and insulation in Navy propulsion plants and aboard Navy vessels more generally, the Navy maintained significant expertise in these areas. The BUSHIPS manual and other documents issued and continuously updated by the Navy contained detailed instructions for the insulation by Navy shipyards or private contractors of various systems and equipment, including, primarily, the miles of piping associated with thermal systems aboard vessels. The Navy's specifications provided detailed instructions as to the specific insulating materials to be used, and also as to the amounts of those materials and the manner in which they were to be applied.
- 37. A 1946 article entitled "A Health Survey of Pipe Covering Operations in Constructing Naval Vessels" summarized the extent of and reasons for the Navy's use of ashestos-containing insulation during World War II:

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The chief reasons for the wide use of amosite felt and pipe covering in naval work are its low thermal conductivity, light weight, strength, and refractoriness. When the felt and pipe cover were first developed, we were still building vessels under the Washington Treaty of Limitations

in Tonnage, and every pound saved meant that much more armor, guns or ammunition for a given displacement, to say nothing of more economic operation for the weight involved in insulation.

Amosite pipe covering weighs about 14 pounds per cubic foot, with a temperature limit of 750 degrees F, as compared to magnesia with a weight of 16 pounds per cubic foot[....]

The development of amosite felt started in 1934 when a need existed to secure a thermal insulation lighter in weight and thermally more efficient than the materials (blocks and cement or asbestos blankets) which were then being used in destroyer turbines. ... Originally amosite was used only for turbine insulation, but it proved so satisfactory that its field of application enlarged to include insulation of valves, fittings, flanges, etc. From the initial destroyer, it has been used on almost all the destroyers built since that time and on all other combat vessels built since before the War.

Pipe covering was a later development in late 1935 and early 1936. Due to the manufacturing problems involved, it took a longer time to evolve into a satisfactory shape, and its first use on naval vessels was in 1937. Since that time its use has spread markedly and it was used on the great majority of naval combat vessels built during World War II.

(Exhibit D, p. 9).

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38. The Navy's dictation of the methods and materials for insulation of thermal systems took various forms. As noted above, these included serial iterations of the BUSHIPS Manual's Chapter 39 on "Thermal Insulation." See Exhibits C (1947) and B (1960). The Navy also prepared and imposed upon Navy design engineers General Specifications for Machinery for Vessels of the United States Navy. Those specifications included an entire section — Section S39 — governing "Thermal Insulation for Machinery and Piping." The 1951 version of this document is attached as Exhibit F. Beginning in 1962, the Navy began issuing a Military Standard intended "to amplify the general requirements for insulation of piping, machinery, uptakes, and mechanical equipment

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covered in the General Specifications for Ships of the U.S. Navy or in ships specifications. (Exhibit G).

- The Navy and/or its design agents prepared for the builders of Navy vessels detailed drawings and plans showing the precise methods and materials for insulation of various systems and equipment. Those documents - referred to as "Insulation and Lagging Schedules" - implemented the overall requirements of the General Specifications, and they provided the actual instructions to the personnel applying insulation as part of an integrated system of temperature control and energy conservation consistent with the Navy's needs in the operation of its vessels. These "Insulation and Lagging Schedules" were typically developed for each class of warship. Examples of such plans for the USS Fletcher and USS Sumner/Gearing class destroyers and the USS Essex-class aircraft carriers are attached as Exhibits H, I and J. The Insulation and Lagging Schedules included details on the materials to be used, the thickness, installation procedures, and finishing details for tens or even hundreds of tons of thermal insulation materials to be applied by Navy and private shipyards. Once the Navy selected a construction shippard, that shippard was required to comply strictly with all Navy specifications, plans and drawings in the application of insulation and lagging to systems and equipment aboard Navy vessels.
- 40. As the attached documents demonstrate, throughout the World War II and post- World War II era, the vast majority of thermal insulating materials used aboard Navy vessels contained asbestos. Asbestos-containing materials offered many advantages over previous or alternative materials in meeting these needs. They were relatively light compared with previous materials, had better insuating properties, did not

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require excessive thicknesses in application, were more durable and were resistant to dissolving in or absorbing salt water. The materials also served as fire protection in an environment in which fires were an ever-present danger.

- 41. Thus, the use of asbestos in thermal insulation allowed the Navy to design and field propulsion systems that met the demanding war fighting requirements of World War II and later. The importance of asbestos to Navy warships is attested to by the fact that it was assigned a high priority in the U.S. government's critical materials allocation process. Asbestos was in short supply during World War II, and its use was controlled through the War Production Board process. A very large percentage of asbestos was allocated to the needs of the Navy and U.S. Maritime Commission for use in insulation for ship construction.
- 42. The Navy's demands for asbestos-containing insulation were extraordinary.

 For example, the Insulation and Lagging schedules for destroyers of the Navy's Summer and Gearing classes relatively small vessels of which the Navy constructed approximately 200 during World War II specified nearly 24 tons of asbestos containing thermal insulation be installed. A 1979 Department of the Navy letter (Exhibit K) recites the following estimates of the quantities of thermal insulation aboard different types of Navy vessels of the 1950s and 1960s:

Destroyer - DD 87,634 lbs
Guided Missile Cruiser - CGN 123,770 lbs
Submarine - SSN 62,465 lbs
Replenishment Oiler -- AOR 78,515 lbs
Large Harbor Tug - YTB 6,858 lbs

Larger vessels, such as aircraft carriers and battleships - required multiples of those amounts. Taken as a whole, in both new construction and overhaul, the Navy applied

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thousands of tons of asbestos materials aboard its vessels from the 1930s through the 1970s.

- 43. Due to the complexities of the ship design and construction process, and the global nature of the Navy's approach to selection and procurement of insulation and lagging materials, manufactuers of components such Buffalo Pumps were not consulted by the Navy with respect to insulation of their equipment. Moreover, they had no control over the types and quantities of insulation products to be used in conjunction with their equipment, nor could they even be certain whether or not any insulation would, in fact, be applied to their equipment due to the variety of circumstances and potential uses of the original equipment once aboard a Navy vessel.
- 44. Above and beyond the tens or hundreds of tons of thermal insulation used, other asbestos materials were ubiquitous aboard Navy vessels. These materials included electrical insulating materials, flooring, refractories and sealing materials.

Written Materials Regarding Equipment Supplied to the Navy

- 45. Technical specifications referenced in the procurement documents for components such as pumps have, since at least the 1940s, included detailed requirements regarding all written materials supplied with pumps. Manufacturerers were required to supply drawings and plans, as well as technical manuals for equipment. The applicable specifications included strict instructions regarding the labeling of and packaging of the components themselves, and for all technical documentation that was procured with them. Examples of MILSPECs for pumps during this period are attached as Exhibits A and B.
 - 46. The Navy had precise specifications as to the nature of any markings.

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communications or directions affixed to or made a part of any equipment supplied by manufacturers such as Buffalo Pumps for ultimate use aboard Navy ships. Such manufacturers would not have been permitted, under the specifications, associated regulations and procedures, nor under the actual practice as it evolved in the field, to vary or to deviate in any respect from the Navy specifications in supplying equipment, including affixing any type of warning or caution statement to equipment intended for installation in a Navy ship, beyond those specifically required by the Navy without prior discussion and expressed approval by the Navy.

- 47. The Navy also had precise specifications as to the nature of written materials to be delivered with equipment supplied to the Navy, which included engineering reference materials to assist the naval operators and maintaining establishment in servicing and maintaining such equipment and to assist the Navy training establishment to develop instructional materials and courses. These written materials are and were generically known as "instruction books" or "technical manuals." Through specifications, the Navy required that certain equipment be supplied with a defined number of copies of one or more instruction books or technical manuals.
- 48. Navy personnel participated intimately in the preparation and review of these instruction books and technical manuals in a standardized format used by the Navy.

 These manuals included safety information to the extent and only to the extent directed by the Navy. Manufacturers of components and equipment were not permitted, under the specifications, associated regulations and procedures, nor under the actual practice as it evolved in the field, to include any type of warning or caution statement in instruction books or technical manuals, beyond those required and approved by the Navy

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without prior discussion and approval by the Navy. The Navy dictated, reviewed and approved the contents of all technical manuals, including any cautionary language or emphasis. The Navy approached this process for review and approval of technical manuals in an exacting manner. It often created lengthy memoranda detailing word-byword line edits to the content of technical manuals submitted for approval, including the wording of instructional material and warnings. Examples of such correspondence are attached as Exhibit L.

- 49. The reasons for the Navy's detailed control over and review and approval of all written communication regarding equipment it procured was to ensure consistency of that information with the overall goals and priorities of the Navy in its operations. The Navy employed millions of uniformed and civilian personnel aboard thousands of vessels and at hundreds of land-based facilities around the world. The information provided with regard to equipment had to be consistent with the Navy's overall evaluation of the appropriate types and level of information its personnel required to efficiently perform their job responsibilities under a variety of circumstances. In addition, written communications regarding work practices, including safety precautions and equipment, had to be coordinated with the training of Navy personnel, the physical circumstances in which they performed their work, and the tools, protective devices and equipment and other materials available aboard Navy vessels and at Navy installations.
- 50. Based upon my knowledge of and experience in the design, inspection and procurement of components for use on Navy vessels, the Navy would not have permitted Buffalo Pumps or other equipment manufactuers to place asbestos-related warnings in technical manuals supplied with pumps for Navy ships during the 1940s, 1950s and

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1960s.

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Navy Organization

- 51. Consistent with the sweeping scope of its mission and responsibilities, the
 Navy is comprised of many different organizations, each of which is specialized in focus,
 talent and experience. These organizations work together in accomplishing the very
 complex and unique sequential efforts from the defining of naval war fighting
 requirements, to designing ships and weapon systems that will meet these requirements,
 and then contracting with industry and other government agencies to procure the vast
 array of required equipment and materials and to construct and test warships. This diverse
 Navy organization can be described in four major groupings:
 - Secretary of the Navy (SECNAV) and the Chief of Naval Operations (CNO) headquarters staffs (CNO staff is referred to as OPNAV)
 - -- Operational Fleets
 - Technical Bureaus (now called Systems Commands)
 - Staff Corps (Medical, Dental, Legal, etc.)

SECNAV and CNO Staffs

Operations (CNO) are involved in the analysis of national naval war fighting needs, and the development of specific war fighting requirements that must be met. At a top level for warships, these requirements include such things as the types and numbers of ships needed; the capabilities for these ships such as speed, weapons to be installed; types and numbers of aircraft to be embarked; the range and duration at which these ships must be able to operate independently at sea without replenishment; and the reliability of systems that must be guaranteed in order for the Navy to meets its war fighting mission. These staffs are manned by a combination of experienced uniformed Navy personnel with

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extensive Fleet experience and career civil servants.

Operational Fleets

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53. The Operational Fleets are the Navy's war fighters who control and operate the various ships, aircraft, and other equipment in the Navy and Marine Corps. There are several numbered Fleets (e.g., Sixth Fleet, Seventh Fleet) with regional geographic responsibilities around the world. These Operational Fleets have always worked closely with the headquarters staffs in the development of naval warship required capabilities.

Technical Bureaus

- 54. The Bureau System was established in 1842 to provide the Navy with necessary technical and management control. By the early 1940s, there were six bureaus:
 - -- Bureau of Naval Yards and Docks
 - -- Bureau of Ships (BUSHIPS)
 - -- Bureau of Supplies and Accounts (BUSANDA)
 - -- Bureau of Ordnance and Hydrography
 - -- Bureau of Medicine and Surgery
 - -- Bureau of Aeronautics

In the 1950s, a Bureau of Weapons (BUWBPS) was formed by merging the Bureau of Ordnance and the Bureau of Aeronautics. In the 1960s the bureau system evolved into what are now called the Systems Commands where BUWEPS became the Naval Air Systems Command, BUSHIPS became the Naval Sea Systems Command (NAVSEA), and BUSANDA became the Naval Supply Systems Command.

Navy Staff Corps

- 55. The various staff corps of the Navy are comprised of professionals such as doctors, dentists, and lawyers who support all aspects of the Navy in their respective specialties.
 - 56. The Bureau of Medicine (BUMED) has always had a very significant role in

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both the design and operation of Navy warships, in addition to its fundamental role in the overall health and well-being of Navy personnel. All ships have medical facilities integrated into the design, both for normal medical support of the large crews, and for treatment of battle injuries. Small ships such as destroyers have a modest infirmary space and other spaces that can be converted for medical use while at battle stations. Larger ships have much greater medical capability, with aircraft carriers being fully equipped with several operating rooms for surgery and large hospital wards for sick and wounded personnel.

57. BUMED also plays a very significant role in the operation of Navy ships.

BUMED establishes the medical policies and procedures, both preventive and curative, which are utilized on all Navy warships. Additionally, the crew of each warship includes medical personnel who are involved in preventive medicine, crew training, health inspections, and treatment of ailments and injuries. Small ships such as destroyers typically have one highly trained enlisted hospital corpsman assigned, and large ships have both physicians and hospital corpsmen. Aircraft carriers have numerous medical doctors and surgeons with various specialties.

Responsibilities in Warship Design and Construction

58. Responsibilities for the various functions associated with warship design and construction in from the World War II period to the 1970s were as follows:

SECNAV and OPNAV Staffs

59. Working closely with the Operational Fleets and Bureaus, these staffs had the responsibility for defining naval war fighting requirements, developing concepts of operations and ship concepts, and requesting congressional authority and funding to build

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war ships.

BUSHIPS

60. The Bureau of Ships was comprised of a broad assortment of engineers and technical personnel, and was responsible for all technical aspects of Navy warships. Included were the preliminary designs of ships, the detailed design of equipment and subsystems, and development of the contract design package. BUSHIPS, aided by BUSANDA, had the responsibility to develop the contract design package and the myriad invitation for bids required to actually procure and construct the ships. All U.S. Naval Shipyards were under the direct command of BUSHIPS, as were the resident Supervisors of Shipbuilding who performed the same government supervisory functions at civilian shipyards. Thus, BUSHIPS was responsible for both the new construction and future repair and overhaul of ships at both naval and private shipyards. BUSHIPS and BUSANDA each had on-site Navy inspectors at various vendors' plants that were responsible for verifying that the vendor complied exactly with all provisions of that vendor's procurement contracts. BUSHIPS was also responsible for the design and development of equipment repair and maintenance standards and procedures, and for the development of Navy Specs/MILSPECs that related to ships and ship equipment.

BUSANDA

61. The Bureau of Supplies and Accounts was comprised of a variety of professionals with specialties in areas such as government contracting, logistics planning, financial and business management, warehousing and parts distribution management, etc. BUSANDA, in addition to on-site and continuous support of BUSHIPS and other technical bureaus, also provided all Supply Corps officers to the Operational Fleet. The

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Supply Corps officers were assigned to both ships and Fleet staffs and were responsible for planning and managing all shipboard messing, berthing and spare parts management.

BUSANDA was responsible for maintaining and managing the vast inventory of spare parts, consumables, documentation, and replacement equipment for the Navy.

David P. Sargent, Jr.

Swom to and subscribed before me this <u>5</u> th day of March, 2008.

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NOTARY PUBLIC FVELYN B. STAFKEY

MY COMMISSION EXPIRES: 12/29/2010

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PORTSMOUTH NAVAL SHIP

PORTSMOUTH, N. H.

245P .x162-58884(x) (7/1)

JUN 8 1959

Commander, Portsmouth Naval Shipyard From: Chief, Bureau of Ships : o'P

55(N)593 Class Submarines, Technical Manual for Low Pressure Brine Pump for 8000 GPD Distillation Unit; forwarding preliminary copies for approval and as-signment of NAVSHIPS number Bub J:

Ref: (a) PISMH NAVSHIPYD Contract N102-58684(X) with Warren

Fumps Inc Warren Mass
(b) Detail Specs for Building Submarine SS(N)593
(c) Military Spec MIL-M-15071C (Ships) of 10 Sep 1957

(1) Preliminary copy of Technical Manual, Low Pressure Brine Pump for 8000 GPD Distillation Unit, PTSMH No. 8-9884 (2 copies) Incl:

1. Subject preliminary technical manual has been prepared under reference (a). As required by references (b) and (c), copies are forwarded for Bureau approval and assignment of a NAVSHIPS identification number. Approval is recommended subject to the following comments:

"a. Cover and "Title Page: After "Low Pressure Brine "Fump", add "for 8000 GPD distillation unit."

b. Approval and Procurement Record Page: the approved style of APR page as outlined in reference (c) shall be used in the final manuals.

c. Table of Contents: Add shead of listing "Part I Low Pressure Brine Pump", below listing of Part I add "Part II Electrical Motor."

- (1) Line 1; after "description" add word "installation".
- (2) Line 2; type "1 1/2-CVCC-5" is proper designatìon.

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2457 N102-58884(X) (7/1)

- (3) Line 3; after "suction," change to read "semi-open impeller, close-coupled type."
- (4) CAUTION note (bottom of page); second sentence should read "It is not to be dropped or jarred and should always be transported with the pump unit supported on resilient mounts or; if rightly supported on the distillation unit; the entire assembly should be supported on resilient counts during shipping."
 - e. Page 3:

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- (1) Alignment; delete this paragraph.
- (2) Check for Alignment; delete line 5 and substitute "aligned and balanced."
 - ·(3) Trouble Shooting Guide;

Low capacity - strike out causes "9, 10 and 29"
Low pressure developed - strike out cause "29"
Excessive power required - strike out cause "29"
Excessive leakage from stuffing hox - strike out cause "22"

- f. Pages 4 and 5: Lists of troubles; delete items 9, 10, 22, 29, 42, 44, 45 and 46.
- g. Page 5: Mechanical Troubles; item 41 delete words "or failure of a hydraulic balancing device, causes excessive thrust." Comment: There is no hydraulic balancing device.
- h. Page 5: Dismantling; paragraph 5, after "removing bolts . (20) add ", loosening Piece (18),"
 - i. Page 5: Reassembling;
- (1) Paragraph 5, after "Washers (30)" should read "on stude (13) and (14)."

- (2) Paragraph 9, should read "on stude (13) and (14)."
- (3) Paragraph 10, delete "...bolt together with bolts." and substitute "and secure with screws (20)".
 - (4) Paragraph 11, delete "along with the resilient mounts."

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245Р N102-68884(X) (7/1)

2. To meet scheduled date's, Bureau approval is requested within three weeks. Final printed copies will be distributed in accordance with reference (b) speroximately 120 days after receipt of Bureau approval. Twenty-five copies of the manual will be forwarded to Sbips Parts Control Center, Mechanicsburg, Pa., for stock.

3. V/OOLSTON ...

Copy to: BUSHIPS (Code 525) MARE WAVSHIPYD (W/2 copies encl (1))

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PÖRTSMOUTH NAVAL SHIPYARD PORTUMOUTH N. H.

> 245P N102-68648(X) (7/1)

JUN 8: 1969.

From: Commander, Portsmouth Naval Shipyard Chief, Bureau of Ships To:

SS(N)593 Class Submarines; Technical Manual for Type Subj: 31X De Laval - IMO Pump, Forwarding preliminary copies for approval and assignment of NAVSHIPS No.

(a) PISMH NAVSHIPYD Contract N102-68648(X) with Ref: De Laval Steam Turbine Co Trenton N J (b) Detail Specs for Building Submarine SS(N)593

(c) Military Spec MIL-M-15071C (Ships) of 10 Sep 1957

(1) Preliminary Copy of Technical Manual Type 31K Encl: De Laval - IMO Pump, PTSMH No. B-9901 (2 copies)

 Subject preliminary technical manual has been prepared under reference (a). As required by references (b) and (c), copies are forwarded for Bureau approval and assignment of a NAVSHIPS identification number. Approval is recommended subject to the following comments:

a. Complete approval and procurement record page.

b. Pages 1-1; 1-1-1 Introduction - Second paragraph should begin: "Each unit consists of a pump and motor. flexibly coupled, complete with mounting brackets. All pumps are identical. Motor drives are 100 HP or 50 HP. Arrangement of the 50 HP units, etc."

 To meet scheduled dates, Bureau approval is requested within three weeks. Final printed copies will be distributed in accordance with reference (b) approximately 120 days after receipt of Bureau approval. Twenty-five copies of the manual will be forwarded to Ships Parts Control Center, Mechanicsburg, Pa., for stock.

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BUSHIPS (Code 525) MARE NAVSHIPYD (w/2 copies encl (1)) a(

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se (H) 599 OL/O /847(649P)

Chief, Bureau of Ships Commander, Portamouth Naval Shippard Portamouth, New Mampailed Front Tot

29 JUN 1959

Contract N102-68884(I) - 25(N)593, Low Pressure Brids Pump for 8000 GPB Metillation Unit - Pro-liminary Mamual for Approval Subj \$

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- (a)
- NAVERIETD PROUBLET 1tr 2450 N102-68884(I)(7/1) of B June 1959 Veryon Pumps, Incorporated, technique manual, Low Provaules Brine Pumps NAVERIES 347-3378
- 1. Proliminary technical manual, reference (b), was for-warded to the Bureau for approval and assignment of MAVSHIPS number by reference (a).
- 2. Roforence (h) has been assigned the MAYSHIPS number op-pearing above and is approved subject to conformance with the community confrainted in reference (a) and additional com-ments as follower
- a, on page 1 of the text, under paragraph headed "denoral Bata", delete the present paragraph in its entirety and innert "the complete pump and notes characteristics, the Table of Weights, clearances, List of Reference Brewings, List of subpard Repair Farts, and any other particulat data". This data should be listed in tabular form as required by paragraph 3.3.1.2.1 of Specification MIL-M-150715".
- 3. All drawings and illustrations contained in the text shall be total reproductions of final approved and velidated drawings.
- 4. This letter in no way authorized say increase in the cost of the subject equipment being procured under the subject contract, or approval of any changes in commitments or delivery achedule.

copy to: 1500L Attn: Mr. Koeyman 626B4

WILLIAM A. BUDDING OR By direction

Prepared by A. Napolatano, Ext. 62217 Typed by Karilyn Janda, 6-24-59 6111062

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718(1)90501/147(60614)

Front Chief, Bureau of Ships for Cosmistery Fortsmenth Movel Shippers

Subji makerin (88293) class, IN hydraulia page, type 31k 156; 23 JUL 1953 approval of technical manual for

Part (a) Found He 245P, MICE-68648(x) (7/L) or 8 June 1959

- 1. A THERM humber 357-3377 is excipted to the technical revise forcarded by histories (a) for subject equipment manufactured by he lived Stress fortides Company.
- the subject moved is hereby approved by the Eurest subject to the following subjects, is addition to the Shippart coments:
 - a. Simple Cover, delate title "Type 31K he laugh-life hear" sobatilists "I'm Ymeg for Mile and Vivil hypelicist-species"
 - b. Sample approved page, delete title, "Type 31% in lamil-160 FROP substitute "Ist From for held and virth; historical approxi-
 - a. Page Ial, Section Intel Indicationing, arter first paragraph, add:a rote "the terms iThis and off, any used: grammarially and both rather to the power transactantes liquid in the hydraulic system"
 - d. Page I-I., Section I-I-2, (1) Ninth Security Sementary Sungery of dalate 70-120" substitute "70-160" (2) Finis Masserity Sange, 880, delate "270-1600" substitute "180-1600"
 - e. Page 1-2 Section 2 Unit Descripps also Page 1-4/b, Page 1-5/b, The tills, of the descripps, "Orline, Destripation Date; List of de-Board Regain Script, Inche and Characteristic Corves" does not/reflict.content of the translage rises the list of conbotrius regain parks, tools and characteristic curves are not shown. Litter the title of the drawings should be revised or the missing date filled in.
 - Pege 5-1, Martinu 5-1-1 (energy), lime R; "oil soluble" rust proportieting is not applicable sixes the system is designed to use phosphate enter hydraulis fluid.

Copy to f CINCLES SELECTE SOUR STIPPETT PLECEMENTS.A.

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PORTSMOUTH NAVAL SHIPYARD PORTSMOUTH, N. H.

245¥ W102-68605(X) (8/26)

5.36 - 17 1358

From: Community, Portsmouth Yaval Shippard To: Wash Engineering Co., South Norwalk, Coan.

Subj: 88 (8) 593 Class Submarines; Technical Manual for Sytur .
Vacuum Priming Pump for Trim and Drain Pump, HIVEHIPS
347-3374, approval of

Ref: (a) Contract NIO2-68605(X) Item 3 Technical Menuals (b) Wash Anginaering Co its of 17 bec 1958 (c) Hilitary Spec Mil-H-150716 (thips) of 10 Sep 1957

1. The subject technical menual, prepared under reference (a) and submitted for approval by reference (b), is approved subject to the following demonstra

- a. Figure 1-1 should be of our rent design showing now seal water tank of Mash drawing AA>626.
 - b. Include drawing Al-626, Soul Water Tank, with drawings.
 - o. Complete the Approval and Pronumement Record Page.
- d. Hake corrections to drawings in succedence with Portsmouth Wavel Shipperd letter 1344 M102-68605(X) of 13 December 1950 with examption noted in Fortsmouth Havel Shipperd speculation of 18 December 1958.
- a. Pages 1-4; let paragraph, 12th sentence "orifice bushing (16)". Also lith sentence-"(16)" instead "(26)". 17th sentence "fitting (30)" should be "olber (31)" and "pipe (21)" should be "pipe (22)".
- f. Pages 1.6. 3rd paragraph, 6th line after "vacuum" insert "during operation". 3rd paragraph, 7th line after "packing" insert "white primer is not operating". 4th paragraph, last line delate phrase in parentheses (Details under Repairs, etc.). Subtitle beginning with "Primer" after word "Volume" add "of Air".

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Case 1:10-cv-08819-TPG Document 1-5 Filed 11/22/10 Page 48 of 56

245P H102-68603(X) (8/26)

g. Pages 1-7 part (h), 2nd line replace senderloss after "bushing" with comma. 3rd line after "centrifugal" add "pump". Part 0 - delete "comma" and the words "not open".

H. Fages 1-7, Title line "Vetor Out Air Discharge" - add "Pipe". Hert paregraph, let line, delete "over", insert "out pith wir".

- 1. Pages 1-7, Title line "Repairs" reword directions to "Marker to Figures 1-6 and 1-7 for List of to Board Repair Parts."
- j. Fagus 1-7, Title line "Digmentiling" add "Fusp Only", 6th paragraph line 3 delete words "two of" and put constrolon after (2) line 6. Delete words "The resulting two" and insert words "and the tapaged". Line 7 delete words "are tapaged and" and insert "which".
- k. Pages 1-8, Let line (a) after "stude" delete "(22)" and desert "(21)". Subsection (g), line 1 after word "stude" change "(21)" to "(22)". Subsection (g), line 6 change word "Fornt" to "Faragraph".
- 1. Pages 1-10, Ind paragraph line 1 after "towe" insert "(2)". Subsect (b), line 1 after "stude" change "21" to "22". Add subweat "(2) Tighten all muts evenly."
- us. Pages 1-13, 4th paragraph after word "drilling" add "In there is no subling, dighter nume (26) on sauda (21), evenly and them drill for downla."
 - n. Page to Table of Contents and List of Illustrations, delects Chapter II Electrical Motor; elso, delete Chapter I handings. Notor Information shell not be bound into the panual. Instead, steple each motor insert in its upper left amount and elip the unbound inserts packaged with the pump manuals.
 - 2. Provision of reference (c) require that final menuals be delivered to this Shipperd within ninety days of receipt of this approval. Information is requested as to the anticipated chipping data.

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245P h102~68605(X) (8/26)

3. You are requested to comply with the requirements of paragraph 3.4.4.9 of reference (c) and forward a reproducible copy of subject manual directly to Naval Supply Depot, Rechanicaburg, Fennsylvania. Flores make reference to the Contract and MAYSHIPS numbers when forwarding reproducible copy of the manual. Also, please forward copy of transmittal to Fortunouth Naval Shipyard, Portsmouth, New Hampshire, attention Code 2437.

4. This latter in no way authorizes any changes in the conditions, funds, or extension of delivery times beyond those stated in the contract. If the contractor believes a change is necessary or that additional time or funds are required because of the above authorization or approval, he must so state immediately in raply to this letter and receive authorization from the Contracting Officer before proceeding.

J. WOOLSTON

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Case 1:10-cv-08819-TPG Document 1-5 Filed 11/22/10 Page 50 of 56

THE TRANSPORT

FORTSMOUTH NAVAL SHIPYARD

PORTEMOUTH R H

BI5

245P N102-68643(X) (9/3) - ·

AUG_I.3-1959;

From: Commander, Portsmouth Naval Shipyard

To: Chief, Bureau of Ships

Subj: SS(N)593 Class Submarines; Technical Manual for Low Presence Brins Pump for 2000 GPD Still, forwarding preliminary copies for comment and assignment of NAVSHIPS number

Ref: (a) PISMH MAVSHIPYD Contract N162-68643(I) with

- - Watren Pumps Inc Warren Mass (b) Detail Specs for Building Submarine SS(N)593

(c) Military Spec MD-H-15071c (Ships) of 10-Sep 1957

Encl: (1) Preliminary copy of Technical Manual, Low Pressure
- Brine Pump for 2000 GPD Still, PTSMH No. 8-9885
(2 copies)

- Subject preliminary technical manual has been prepared under reference (a). As required by references (b) and (c), copies are forwarded for Bureau comment and assignment of a NAVSHIPS identification number. Approval will be granted subject to
 the following comments:
 - a. Approval and Procurement Record Page The approved style of APR page shall be used in the final manual.
 - b. Page 1, Introduction: Line 1 after "operation" add "installation"; line 2, substitute "3/4-CVOC-4" for "1.1/4-CVOC-5"; line 3, substitute "semi-open" for "open".
 - c. Page 1, <u>Detailed Description</u>; Paragraph 3, line 4, substitute "drilled" for "tapped"; "Caution" Note, last sentence should read "It is not to be dropped or jarred and should always be supported on the reallient mounts."
 - d. Page 3 Alignment: Delete this paragraph.

e. Page J, Check for Alignment: Delete last line in paragraph and substitute "aligned and balanced."

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95(N)593 class/547

Case 1:10-cv-08819-TPG Document 1-5 Filed 11/22/10 Page 51 of 56

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245P H102-68643(X) (9/3)

- f. Page 4: Delete Troubles No. 9, 10, 22, 29.
- g. Page 5: Deleta Trouble No. 41.
- h. Page 5 Dismantling: Paragraph 5, substitute "screws (20)" for bolts (20).
- i. Page 6 Reassembling: Paragraph 8 add "and (14)"; paragraph 10 delete "and bolt together with bolts (20)".
- 2. To meet scheduled dates, Sureau approval is requested within three weeks. Final printed copies will be distributed in accordance with reference (b) approximately 120 days after receipt of Bureau approval. Twenty-five copies of the manual will be forwarded to Ships Parts Control Center, Mechanicsburg for stock.

J. WOOLSTON BY DIRECTION

Copy to: BUSHIPS. (Code 525) (3) MARE-NAVSHIPYD (2-copies encl (1))

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Case 1:10-cv-08819-TPG Document 1-5 Filed 11/22/10 Page 52 of 56

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17SEP 1959

Contract Micro-68649(T) with Mayren Pumps, Incorparated, and Micro-68649(T) with Mayren Pumps, Incorparated, and Micro-68649(T) with Mayren Pumps, Incorparated, and Micro-68649(T) with Pumps, Incorporated, and Pro-68649(T) with Pumps, Incorporated, Manual Pow Application, Mayren Pumps, Incorporated, Manual Pow Application, Mayren Pumps, Incorporated, Manual Pow Application, Mayren Pumps, Incorporated, and Incor

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(a) Havenered representation 160 2450, MIG2-68643(x),
(G/4) of 13 Happin 1859
(b) Markin Fugges, Indoppendent restrained Technical
Minist - 2000 and Hightiling Wils L. F. Heine
They - Navance 347-3456

1. Preliminary tembrical uniqual, reference (b), was for-principle to the direction of Milital for apprecial and assignment of a MAYRETT complete by reference (a).

2. Refrigation (b) his been excitated the MARKETS minder up-paracity above and is approved subject to entityments with the consisting ministrated in research (a) and additional eng-cents of follows:

6. On page I of the text, under pavagraph housed familial Pates, delicts the present paragraph in the detiraty and invest the designation prospends as the constant of the designation of the control of

3. All drawings and illustrations contained in the text mull be total reproductions of final spayoved and validated drawings.

4. This latter in no way sutherizes my intrease in the cost of the subject equipment being produced under the subject characte, or supplied of any changes in constituents or de-

Copy to: 6228 626B4 525 622.3

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Prepared by A. Napoletano, Ext. 62217 Typed by Marilyn Janda, 9-17-59 8200727-59

C. C. PETEOFE

Case 1:10-cv-08819-TPG Document 1-5 Filed 11/22/10 Page 53 of 56

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DAYAMOUTH NAVAL SHIPYARD PORTEMOUTH, N. H.

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2452 XL02~68895 (10/8)

SEP 21 1959

From Commander, Portsmouth Naval Bhipyard Chief, Bureau of Ships Tot

\$5.(8).593.01 now submarrines; technical namuals for air conditioning say water pump (lithium bromide plant), forwarding preliminary Sirb i: copies for comment and awaignment of NAVSUTPS number

(a) PASHE NAVSHIPPE Contract VIO2-68895 with Wortbington Corporation Harrison W J

(b) Detail Space for Building Submarine SS(N)593 Section S1-SC (c) Hilitary Space HIL-H-1507DC (SHIPS) of NO Sep 1957

(1) Freliminary copy of Technical Hanual, Air Conditioning Sea Water Pump (Lithium Bromide Flant), PISHH No. 8-9920--2 copies

 Subject preliminary technical manual has been prepared under reference
 As required under references (b) and (c), copies are forwarded for foreau comment and assignment of a NAVENTS identification number. Approval is to be granted subject to the following commenter

- Cover Add information shown on Figure 1 of reference (c).
- Title page . Add information as shown on Figure 2 of reference (c).
- Section 1, page 1-1-1, paragraph 1-1-4 Fill in veights for pump "spare parts" and motor "spare parts. ' . . .
- (d) Section 1, page 1-1-2, paragraph 1-1-5, line 3 After "and shaft", add "sleeve."
- (e) Section 1, page 1-1-2, paragraph 1-1-7 Add motor serial numbers when available.
- (f) Section 7, page 2-12, paragraph 2-4-(7)-(7) Add "Jacking screue ara provided. "

To meet spheduled dates, Eureau approval is requested within three weeks. Final printed copies will be distributed in accordance with reference (b) approximately 120 days after tereipt of Bureau approval.
Twenty-five (25) copies of the manual will be forwarded to Ships Parts Control Center, Mechanicsburg, Fenne, for stock.

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3. WOOLSTON BY DIRECTION

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USS Haddock 920

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Case 1:10-cv-08819-TPG Document 1-5 Flled 11/22/10 Page 54 of 56

DORTSMOUTH NAVAL SHIPYARD

PORYSMOUTH, N. H.

NGB

245P N102-68467 (10/16)

SEP 2 3 1959

Brom: Commander, Portsmouth Waval Shipyard To: Chief, Bureau of Ships

Subj: \$\$(N)593 Class Submarines; Technical Manual for Associatery Sea Water Service Fump, forwarding preliminary copies for comment and scalgament of MAVSHIPS No.

Ref: (a) FISMH WAVSHIPYD Contract N102-68467 with Ingersell-Rand Co New York 4 N Y

Ingersoll-Rand Go New York 4 N Y

(b) Betail Speed for Building Submarine S8(N)593
Section 31-5-C

(c) Military Spec MIL-M-15071C (Ships) of 10 Sep 1957

Encl: (1) Preliminary copy of Technical Manual, kuxiliary Sea Water Service Pump, FISMH No. B-9896 - 2 copies

i. Subject preliminary technical manual has been prepared under references (b) and ((c), copies are forwarded for Sureau comment and assignment of a NAVSHIPP identification simpler. Approval will be granted subject to the following comments:

a. Furnish an Approvil and Procurement Record page completely Itiled out in accordance with reference (a).

b. Page 1-1-1, under General Data:

Temperature = siter "30-85" add "degrees F"
Tatal Head = delete "neo", substitute "water"
Pump = before "584", add "Type"

o. Page 1-1-2, Paragraph 5; line 1, after "pump" insert "impeller (3)"; lines 1 and 2, delete "of the single suction enclosed type", line 2, delete "on" and substitute "one"; paragraph 5; lins 2, delete "sleeve (8)" and substitute; "sleeves; (8A) and (8B)"; paragraph 8, line 1 delete "flange on" and substitute "face of"; paragraph 8, line 7, after "gland" add "(17A)"; paragraph 8, line 9, after "sleeve" delete "(8)" and substitute "(8A)" paragraph 8, line 13, after "bearing" add "(24)"; paragraph 8, line 14, after "lookwashers" delete "(241A)" and substitute "(241B)".

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Case 1:10-cv-08819-TPG Document 1-5 Filed 11/22/10 Page 55 of 56

NOB

245P N102-68467(10/16)

d. Page 1-3-1, Section 1-3-2, line 1, after "units," add "for the first time."

s. Page 1-4-1, second paragraph; line 2, delete "continuously" and substitute "frequently"; line 3, delete "operations" and substitute "operation"; under Section 1-4-2, step 1, the term "smothering gland connection" is not clearly understood, believe "gland bleed off" or "cooling water connection" is intended; step 2, delete. "(See Chapter 2)."

f. Page 1-4-2, Section 1-4-2, Step 12; line 2, delete "(2468)" and substitute "(241D)".

g. Page 1-4-3, Step 7; line 4, delete "(2465); and substitute "(2410)".

h. Page. 1-4-4, Step 19; clarify term "smothering".

2. To meet scheduled dates, Buneau approval is requested within three weeks. Final printed copies will be distributed in accordance with reference (b) approximately 120 days after receipt of Bureau approval. Twenty-five copies of the wanual will be forwarded to Ships Parts Control Center, Mechanicaburg for stock.

BY EMECTION

Copy to: BUSHIPS (Code 525) MANUSHIPED (W/2 copies enc] (1))

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ENCLOSURES RECEIVED IN 233

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Case 1:10-cv-08819-TPG Document 1-5 Filed 11/22/10 Page 56 of 56

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WILLIAM A. BUDDING, JR. By direction

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Affidavit of Martin K. Kraft

EXHIBIT 3

TO DECLARATION
OF REAR ADMIRAL BEN J. LEHMAN (RET.)

William A. O'Connell v. Buffalo Pumps, Inc. et al., Civil Action 1:08-ev-10078-RGS, United States District Court, District of Massachusetts

AFFIDAVIT OF MARTIN K. KRAFT

STATE OF NEW YORK)	
)	SS.
COUNTY OF NIAGARA)	

- I, Martin K. Kraft, being under penalty of perjury, declare and say:
- I began my career with the Buffalo Pumps Division of Buffalo Forge Company in 1980. I have held various positions with the Buffalo Pumps Division of Buffalo Forge Company, and, beginning in 1985, with Buffalo Pumps, Inc. I currently am the Production Manager.
- 2. I am knowledgeable regarding the United States Navy ("Navy")'s involvement in and control over the design and manufacture of pumps it purchased from Buffalo Pumps, Inc. and the Buffalo Pumps Division of the Buffalo Forge Company (collectively, "Buffalo Pumps") because I have participated in the design, manufacture, and testing of these pumps. I also have conducted a review of available documents and information regarding similar matters prior to my employment with Buffalo Pumps.
- 3. Buffalo Pumps has for years made and supplied pumps for Navy ships under contracts between Buffalo Pumps and the shipyards and/or the United States of America, specifically the Navy Department.
- 4. At all relevant times, a Defense Contract Administration Services Management Area ("DCAS") inspector was assigned to be present at Buffalo Pumps' manufacturing facility in North Tonawanda, New York. The inspector was responsible for conducting process

surveillance to assure compliance of work being done pursuant to Navy contracts. The DCAS inspector examined pumps at various phases of construction and at "hold points" specified in the contract to determine whether Buffalo Pumps' work complied with the contract and applicable specifications. The inspector had the authority to reject production of a particular pump at any point if its construction deviated from specified design, material and performance requirements. Additionally, the inspector observed testing of pumps and provided final acceptance of pumps for shipment to the Navy when specified.

- 5. The manufacture of pumps for use on Navy vessels is governed by an extensive set of general and specific federal standards and specifications, chiefly military specifications known as "MilSpecs." The MilSpecs governed all aspects of a pump's design and construction and specified the materials to be used, including materials such as gaskets and packing used in pumps. Among the most commonly-applicable MilSpecs for Navy pumps manufactured by Buffalo Pumps have been Mil-P-17639 ("Pumps, Centrifugal, Miscellaneous Service, for Use on Naval Ships") (attached as Exhibit A) and Mil-P-17840 ("Pumps, Centrifugal, Close-Coupled, Navy Standard") (attached as Exhibit B), and their predecessors and successors.
- 6. As can be seen from the attached Mil Specs, the Navy issued specifications governing numerous aspects of the pump design and manufacture, including the designation of materials to be used in construction. Among numerous other requirements, the specifications state that "[p]ump casing joints shall be made up using compressed asbestos sheet gaskets." (Exhibit A, at 3.4.1.5; Exhibit B, at 3.26).
- 7. The initial conceptual design for pumps on all classes of Navy vessel was developed under the direction of BUSHIPS, and later NAVSEA. When Buffalo Pumps began to participate

in the design phase of a new pump, the Navy provided performance requirements dictating the weight, size, power output, speed, and other relevant design parameters of the pump.

- 8. In the design phase of the pump project, as in all other phases, the Navy retained ultimate decision authority over the design of the pumps. If engineering disagreements arose between the Navy and an outside design consultant, the Navy controlled the design adopted. All pumps supplied by Buffalo Pumps to the Navy were built in accordance with the Navy specifications or other technical documentation identified in applicable contract documents.
- 9. Not only did pumps manufactured and supplied by Buffalo Pumps for Navy vessels have to meet detailed and precise Navy specifications, but each pump's configuration was controlled by the Navy's specified performance requirements for the vessel or class of vessels in question. In other words, the pumps for a vessel or class of vessels were engineered for a specific application and were custom-built.
- 10. Equipment designed and supplied for the Navy usually was not "off the shelf" product. Pumps built for use on Navy ships were subject to different and much stricter design, manufacturing and performance standards than pumps that Buffalo Pumps manufactured and marketed for commercial, non-military customers. Through its specifications, the Navy imposed requirements that made the pumps it procured different in fundamental ways from pumps supplied for commercial applications.
- 11. Most significantly, pumps supplied to the Navy were required to and were designed to be resistant to combat conditions and damage. Navy specifications imposed strict standards for "shockproofness" i.e., resistance to combat damage to the vessel and/or the spaces where the pumps were located. (Exhibit A at 3.1; 3.3.15; 4.3.10-17; Exhibit B at 3.1; 3.10; 4.2.8-15). Compliance with these shockproofness requirements necessitated unique design and engineering

to ensure the necessary strength and durability for battle conditions. The Navy's shockproofness testing subjected pumps to impacts designed to simulate the effect of torpedoes, bombs or other explosives striking a vessel.

- 12. The Navy also dictated other characteristics unique to Navy pump applications that differed from pumps sold to commercial customers. These included, for example: stringent endurance requirements, and the testing to document them, that differed from standard commercial standards and expectations (Exhibit A at 4.1.2; Exhibit B at 4.4.2); use of different metals gun metal, nickel-copper alloys, specialized bronzes, and other materials not frequently used by Buffato Pumps in non-military applications (Exhibit A at 3.4.1.7; Exhibit B. at 3.2.1-2); "standard" Navy sizes for input and output piping and, therefore, for the associated flanges on the pumps, that differed from those in commercial industry (Exhibit A at 3.3.16.8; Exhibit B at Fig. 1-6.); special hardware and fittings that differed from those in standard use in commercial applications (Exhibit A at 3.3.22); and specialized welding procedures different from standard welding used on commercial pumps (Exhibit A at 3.3.2.4).
- 13. In addition, Navy specifications or other technical documents identified in applicable contract documents required Buffalo Pumps to submit for approval and acceptance by the federal government drafts of any manuals, drawings or other written materials required to be provided with regard to pumps it manufactured for the Navy. These requirements were far more detailed and stringent than those imposed by commercial customers. (Exhibit A at 3.5; 3.6; Exhibit B at 3.27; 3.28).
- 14. This approval and acceptance process was not merely a process of submission by Buffalo Pumps of drawings and manuals. The Navy's review encompassed all aspects of the technical manuals and other written materials submitted to it for approval in the pump design and

manufacture process. Based on my experience and my review of historical materials, I am aware that the Navy required specific changes to the content and wording of manuals submitted by Buffalo Pumps and other naval equipment manufacturers. These changes included specific edits to cautionary and instructional language, and including warnings and cautions. Examples of correspondence of this type are attached as Exhibit C.

15. Once those manuals, drawings or other written materials were approved and accepted by the Navy, they were assigned Navy identification numbers, essentially becoming Navy documents.

Wanten LL for Martin K. Kraft

Sworn to and subscribed before me this 4th day of March, 2008.

NOTARY PUBLIC

TAMARA J. WALTERS
Notary Public, State of New York
Qualified in Nagara County
My Commission Expires SIGIACCS

Clarry La J. Walters

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DELO52 Class/S47(2-2617-N)

From

Todd Shipyards Corp. (Seattle Division)

By Gibbs & Cox, Inc.

21 West Street,

New York, N. Y. 10006

To:

Supervisor of Shipbuilding, USN, New York

Federal Office Building 29th Street and 3rd Avenue Brooklyn, New York 11232

Subject:

DE1052 - Distiller Feed Pump - Preliminary Equipment Manual.

ELFFALO FURPS,

6-15-66-1552

Juna 151 1966

References:

Letter from Todd Shipyards Corp. (Gibbs & Cox, Inc.)
DE1052 Class/Sh7(2-2618-N) to Buffalo Pumps Inc. FICE 574 (a)

dated June 15, 1966.

(b) Detail Specification for Building Ocean Escort

DE1052 Class

Enclosure: Two copies of (A)

> (A) Preliminary Equipment Manual for Distiller Feed Pump -Buffalo Pumps Inc.

In compliance with the requirement of the specifications it is requested that a NAVSHIP number be assigned to the equipment manual, Enclosure (A). By Reference (a) the Design Agent forwarded comments on the manual to the pump manufacturer.

- Final approved manuals will be distributed in accordance with Faragraph 9020-5-C of Reference (b).
- It is anticipated that the final manuals will be aveilable for distribution approximately 60 days after final approval.
- July 8, 1966. The Supervisor's response to the above is requested by

TODD SHIPYARDS CORPORATION (SEATTLE DIVISION)

BY GIBBS & COX. INC.

W. C. BACHMAN

RH/.jo cc: Buffalo Pumps

Buffalo, N.Y. Todd, Seattle Todd, New York

R. P. FULTON

By direction

Bloom 6/17 State

ABCDBFGRIJKLMROPQRSTUVWXYZ

6-15-66-1549 June 15, 1966

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DE1052 Class/SL7(2-2618-N)

From:

Todd Shipyards Corp. (Seattle Division) By Gibbs & Cox, Inc. 21 West Street New York, N.Y. 10006

Tos

Buffalo Pumps, Inc. North Tonawanda, New York

Subject:

DE1052 - Distiller Feed Pump Purchase Specification No. DE1052-517-Mi710 Todd Purchase Order DES 2033 Preliminary Equipment Manual

References:

- (a) Letter from Buffalo Pumps, Inc. to Gibbs & Cox, Inc. (3-18-66-64) dated March 15, 1966
- (b) Buffalo Pumps, Inc. Technical Manual for Distiller Feed Pump NE1052 Class Ships

l. The Design Agent has reviewed Reference (b) as per Reference (a) and considers it satisfactory subject to the following comments:

- (a) Cover
 - (1) Change "Technical Marmal" to "Equipment Manuel".
- (b) Title Page
 - (1) As noted in paragraph lal.
- (c) Approval and Procurement Record Page
 - (1) Add "Page" after "Record".
 - (2) Delete "Basic" and add "For" after "Data".
 - (3) Comment lal herein applies.
 - (4) Delete "Approved By" and insert "Approval Authority".
 - (5) Insert "Purchase" between "Or" and "Order".

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DE1052 Class/Sk7(2-2618-N)

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1. (c) (Cont'd)

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- (6) Delete "Date" column.
- (7) Replace "Vessels" with "Ships",
- (8) Add "Quantity of Equipment" column, between "Quantity of Manuals" and "Building Yard".
- (9) Change "Quantity of Manuals" from "86" to "74".
- (10) Delete all references to equipment used on ships built at Todd Shipyards Inc., San Pedro, California: i.e.; DE-1055, DE-1058, DE-1060, DE-1067, DE-1071, DE-1071, and DE-1076. Gibbs & Cox is the Approval Authority only for ships built at Todd Shipyards Inc., Seattle. Approval for equipment used on ships other than those built at Todd, Seattle, must be granted by the cognizant Naval authority.

(d) List of Effective Pages

- (1) Delete "Effective Pages" upper left corner.
- (2) Delete "Front Matter" upper right corner.

(e) Table of Contents

- (1) Delete "Contents" upper left hand corner.
- (2) Comment 1d2 herein applies.

(f) List of Illustrations

- Delete "List of Illustrations" in the upper left corner.
- (2) Comment 1d2 herein applies.

DE1052 Class/Sh7(2-2618-N)

-3-

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1. (g) Page vii

(1) Delete "Frontispiece".

(h) Page 1-1-2

- (1) Add "Prelubed" after "Ball"
- (2) Change "length" from 2'-7-7/8" to 31-7/8"

(i) Page 1-3-1

- Delete item 3. "Lubrication of Motor" since the bearings are prelubricated and sealed and require no lubrication.
- 2. In addition to the above comments the manufacturer will be required to include an electrical section covering the motor. This section will be furnished by the motor manufacturer. The Table of Contents should include the motor section.
- 3. By separate correspondence, to the Supervisor, the Design Agent is requesting that a NAVSHIPS No. be assigned to this manual.
- It is requested that the pump manufacturer resubmit the subject Equipment Manual, including the electrical section, for review by the Design Agent by July 5, 1900.

 TOTAL SHIPVARIS CORPORATION

TODD SHIPTARDS CORPORATION (SEATTLE DIVISION) BY GIBBS & COX, INC. W. C. BACHMAN

R. P. FULTON By direction

BI/al >

ce: SupShip, N.Y. Todd, Seattle Todd, N.Y. DE1052 Class/S47(2-3376-N)

7-28-66-1587 July 28, 1966

From:

Todd Shipyards Corp. (Seattle Division)
By Gibbs & Cox, Inc.
21 West Street,
New York, N.Y. 10006

March 200

Tor

Buffalo Pumps, North Tonawanda, N.Y. 14121

Subject:

DE1052 Class - Distiller Feed Pump -

Purchase Specification DE1052-517-M4710 P.O. DES-2033 - Equipment Manual, Review of

References:

- (a) Letter from Buffalo Pumps Inc. to Gibbs & Cox, Inc. dated June 30, 1966 (7-1-66-66).
- (b) Buffalo Pumps Inc. Equipment Manual for Distiller Feed Pump for DE1052 Class Vessels.
- 1. Reference (b) forwarded by Reference (a) has been reviewed by the Design Agent and is considered satisfactory subject to the following comments:
 - (a) On the cover and title page delete "Bureau of Ships" and in its place insert: "Naval Ship Engineering Center, Washington, D.C."
 - (b) Page 1-1-1 under "Pump"
 (1) After "Actual Shut off Head" insert actual data.
 - (2) Delete "structure borne noise Group C".
 - (c) Page 1-1-2 under "Overall dimensions of assembled unit" height should be "22-1/8".
 - (d) Page 1-1-4 Paragraph 1-1-3 add "and Resilient Mounts" following "Mounting Subbase". In second sentence after "....foundation" add "to reduce sound transmission".
 - (e) Page 1-2-1 In the first sentence under Piping delete "all" and substitute "Normally all rigid...." Before the fourth sentence add, "However since this pump is resiliently mounted, flexible hoses are to be installed to reduce sound transmission and will also prevent piping stresses on the pump."

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DELOS2 Class/S47(2-3376-N)

1. (Cont'd)

- (1) Page 1-3-1, Paragraph 1-3-1
 (1) Under "Warning" add the following "Never use water on electrical fires. Use CO2." Also add "When servicing pump, disconnect from sources of electrical power and tag.
 - (2) After "Pump priming", add "Insure that pump is completely filled with liquid."
- (g) Page 1-3-1 Paragraph 1-3-2 the step 2 after "primed" add "and vented, Then close vent valve."
- (h) Page 1-3-2 Paragraph 1-3-5 under "Vibration", in the second paragraph delete "to acceptable limits" and specify these limits. Also identify the locations for taking readings.
- Page 1-4-1, Paragraph 1-4-2 in the first sentence under "Trouble Shooting" delete "Listed below are" and substitute "Table- - - lists" at end of sentence add "and remedies".
- (j) Page 1-4-2 Delete paragraph number 1 to 5 and substitute table in the form "Trouble, Probable Cause, Remedy" including:
 - (1) For "No Discharge", Probable Cause should include Pump not primed, Speed too low, Impeller completely plugged, Plugged suction line or strainer, Pump not running and Impeller locked. Also indicate corresponding remedies.
 - (2) For "Insufficient Discharge", Probable Cause should include Air leaks in suction or stuffing box, Speed too low, Clogged suction line screen or impeller, Mechanical defects: Wearing rings worn; Impeller damaged. Also indicate corresponding remedies.
 - (3) For Insufficient Discharge Pressure, Probable Cause should include Speed too Low, Air or gases in liquid, Wrong direction of rotation, Mechanical Defects: Wearing rings worn; Impeller damaged. Also indicate corresponding remedies. For Air or gases in liquid, remedy is "Check suction for leaks. Vent frequently."

DE1052 Class/S47(2-3376-N)

(j) (Cont'd)

- (4) For "Loss of Suction," Probable Cause should include Air or gases in liquid, Defective Casing Gasket.
 Also indicate corresponding remedies.
- (5) For "Excessive Power Consumption", Probable Cause should include Speed too high, Mechanical Defects: Shaft bent, Rotating element binds. Also indicate corresponding remedies.
- (6) Add <u>Trouble</u> "Stuffing box overheats or excessive stuffing box leakage". <u>Probable Cause</u> should include Insufficient cooling water to stuffing box, Excessively worn packing, Lantern ring installed between wrong packing rings, Excessive pressure in stuffing box, Seel cage plugged. Also indicate corresponding remedies.
- (7) Add Trouble "Noisy Installation or Excessive Vibration".

 Probable Cause should include Loose mounting bolts,

 Mechanical defects, Impeller or motor imbalanced, Air
 or gases in liquid, Foreign matter in pump, Misalignment.
 Also indicate corresponding remedies.
- (k) Page 1-4-4 Following paragraph 2 under "Wearing Rings", add the following: "Resilient Mounts - make regular checks on resilient mounts. A normal service life of 5 years is expected unless damage or prior loss of effectiveness require earlier replacement. Resilient mounts should be replaced at such time when their performance is deemed ineffective or as specified by current BuShips instructions".
- 2. By separate correspondence to the Navy, the Design Agent has requested the assignment of a NAVSHIPS number.
- 3. The manual shall include an Electrical Section. This approved section will be forwarded by the motor manufacturer for insertion by the pump manufacturer.

- h -

DE1052 Class/SL7(2-3376-N)

h. It is requested that the pump manufacturer's acceptance of the above comments be forwarded to the Design Agent by August 15, 1966. Resubmittal is not required.

TODD SHIFTARD CORPORATION (SEATTLE DIVISION)
BY GIBBS & COX, INC.
W. C. BACHMAN

R. P. Fulton By direction

RH/jo

oc: SupShip, N.Y. Todd, Seattle Todd, New York Copy to art Bloom
3/15/68
FME
DE1052 Class/S58(2-6207-N)

Trie 570

3-6-68-1590

March 5, 1968

From:

Todd Shipyards Corporation

(Seattle Division)
By Gibbs & Cox, Inc.
21 West Street

New York, New York 10006

To:

Aqua-Chem, Inc.

225 North Grand Ave.

Waukesha, Wisconsin 53186 Attn: Mr. R. E. Euttner

Subject:

DE1052 Class - Distilling Plant - Distiller Distillate

Pump & Distiller Sea Water Heater Drain Pump - Purchase Specification No. DE1052-517-M5800 - P.O. DES-2016 Preliminary Technical Manual - Approval of

References:

- (a) Letter from Aqua-Chem, Inc. to Gibbs & Cox, Inc., dated 9 November 1966 (11-14-66-120)
- (b) Preliminary Technical Manual for Distiller Distillate Pump & Distiller Sea Water Heater Drain Pump
- (c) Preliminary Technical Manual for Aqua-Chem, Inc. 12,000 GFD Flash Type Distilling Flant (Model S500FL2H)
- (d) Military Specification MIL-M-15071E(Ships)

1. Reference (b), forwarded via Reference (a), has been examined in conjunction with Reference (d) and the subject purchase order specifications. In this regard, Reference (b) is considered satisfactory for publication and distribution, subject to the manufacturer's compliance with the following comments:

(a) Cover Layout

- (1) Delete "Bureau of Ships" and insert "Naval Ship Systems Command".
- (2) Insert the appropriate NAVSHIPS Number, when available.

(b) Title Page

(1) Comments 1.a.1 and 1.a.2 apply herein.

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1. (Cont'd)

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(c) Approval and Procurement Record Page

- (1) The appropriate MAVSHIPS Humber should be added under the Approval Data and the Cartification Data columns.
- (2) The approval authority should be delineated as follows:

 "Approved by Todd Shipyards Corp. (Seattle Division)
 (G&G) letter DE1052 Class/S58(2-6207-N), dated
 Herch 5, 1968

(d) Table of Contents & List of Illustrations

- (1) It is noted that the manual does not contain the appropriate motor and controller inserts for each pump. Accordingly, the approved inserts should be added, when available. Additionally, the Table of Contents should be revised to indicate a separate chapter for each pump, motor and controller.
- (2) Pursuant to comment 1.d.1, it is recommended that each chapter of the manual be divided by an appropriate separator.
- (3) On Page 1-i, insert Section 7, entitled Motor and Controller, and add the appropriate page number.
- (4) In line with comment 1.d.1, the motor and controller drawings should be included as illustrations. The appropriate page numbers should be indicated on Page 1-ii.

(e) Section 1 - General Data (Distiller Distillate Pump)

- (1) On Page 1-1-1 add the phrase "At Rating" in conjunction with the 1.09 EEP.
- (2) The Hilitary Specification for the motor should be obanged to HII-H-17000B. Additionally, the Design Agent notes that this information is also in error on Figure No. 1-6-1.

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1. (e) (Cont'd)

- (3) It is noted that the weights for the subject equipment, shown on Page 1-1-2, are calculated weights. This information should be reflected therein.
- (b) On Page 1-1-2, under Reference Drawings, the manual should list the appropriate number for reference..

(f) Section 1 - Detailed Description

- Under the paragraph on the casing, Page 1-1-3, make the following changes:
 - (a) Delete the word "Spigot" in the second sentence, and insert the word "Stepped".
 - (b) In the fourth sentence, identify the drain plug as Po. No. (34), the vent cock as Po. No. (26), and the flanged seal water connection as Po. No. (41).
- (2) Revise the last sentence of the paragraph on the motor bracket to read as follows;

"The motor bracket contains drain fittings which may be used to remove leakage from the stuffing box".

(g) Section 3 - Operating Instructions

(1) Inassuch as the motor, whilized for this installation, is equipped with scaled bearings, any reference to motor lubrication is insppropriate. Therefore, Item 3 under Section 1-3-1 should be deleted.

(h) Section 4 - Maintenance

(1) The second sentence of Item 2 under Annual Maintenance Procedure, Page 1-4-1, should be revised as follows:

"When clearence has increased to double the initial value, the original clearance, as shown on Page 1-1-2, should be restored by replacing the affected parts".

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1. (h) (Cont'd)

(2) The Trouble Shooting Table, on Pages 1-4-2 to 1-4-5, should be modified to accommodate the following:

-4-

- (a) Under "Noisy Installation", delete the Comse and Remedy for "Specific Gravity of the Liquid Too High". Revise the remedy for "Speed Too High" to read as follows: "Check the Driver for High Speed, Refer to the Applicable Trouble Shooting Tables".
- (b) Comment 1.h.2.a applies to the section on "Pump Overloads Driver",
- (c) In addition to the present remedy for "Suction Lift Too High" under the topic "Pump Loses Prime After Starting", add the following remedy: "Check the Level in the Supply Tank".
- (d) Comment 1.h.2.c applies to the cause of "Suction Lift Too High", under the topic of "Pump Discharge Pressure Low".
- (e) Under the topic of "Low Capacity" revise the remedy, for "Speed Too Low", to read as follows: "Check Speed of Driverand Refer to the Applicable Trouble Shooting Tables".

(i) Section 1-4-5 - Reassembly (Page 1-4-2)

- (1) The second sentence in Item 7 should be revised to read as follows: "Coat both sides of the gasket with a graphite-oil mixture."
- (2) In Item 9, delete the word "Correct" and insert the word "Connect".
- (3) Delete Item 11, and insert the following: "Start the Unit and Check for Leaks".
- (j) Table of Contents (Distiller Sea Water Heater Drain Pump)
 - (1) Comments 1.d.1 to 1.d.4 inclusive apply to Pages 2-4 and 2-ii.

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- (k) General Information Page 2-1-1- & 2-1-2
 - (1) Under General Data, delete the words "Close Coupled" and Insert "Flexibly-Coupled".

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- (2) Delete *Buffalo Shop Orders 550373-h00* and insert *Class D-1: Horisontal, Malti-Stege*.
- (3) Between the items of "Total Head, PSI" and "Specific Gravity", insert "Liquid Handled - Water".
- (4) Delete "Rotation CCM", or further qualify this item to indicate the location from which the rotation is viewed, i.e. CCW as viewed from the pump end, or CCW as viewed from the motor end.
- (5) Comment 1.e.3 applies to the weight information on Page 2-1-2.
- (6) Under General Data for the Motor, Page 2-1-2, add the following: "Military Specification MIL-M-17060B".
- (7) Comment 1.e.4 applies to the reference drawing information on Page 2-1-2.
- (1) Detailed Description Pages 2-1-4 & 2-1-5
 - In the second paragraph under the topic of "Casing", correct the spelling of the word "Labyrinth".
 - (2) Under the topic of "Bearings", after the last sentence, add the following: "Note: See Table 2.4.1".
 - (3) On Page 2-1-5, under the topic of Imbrication, add the following: "Note: See Table 2.4.1".
- (m) <u>Installation</u> Section 2

(1) On Page 2-2-3 revise Item 11 to read as follows:

"It it becomes necessary to realign the pump and motor, so that the original dowels between the pump or motor and the subbase are no longer in alignment, drill and ream new dowel holes and install new dowels. Be sure that all hold down bolts are drawn up tightly while drilling and reaming dowel holes".

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(Contid)

(n) Trouble Shooting

- (1) The Trouble Shooting Table, on Pages 2-4-2 to 2-4-5, should be modified to accommodate the following:
 - (a) Comment 1.h.2.a applies to the section on "Noisy Installation", and to the section on "Pump Overloads Driver".
 - (b) Comment 1.h.2.c applies to the cause of "Suction Lift Too Righ" under the trouble of "Pump Loses Prime after Starting" and the trouble of "Pump Discharge Pressure Low".
 - (c) Comment 1.h.2.e applies to the trouble of "Low Capacity".
 - (d) Under the trouble of "Stuffing Box Overheats", add the following cause and remedy: "Improper Installation of Seal Cage and Packing --- check to see that the Seal Cage and Packing are inserted in the proper sequence (See Fig. 2-6-2)".

(o) Adjustments and Tests - Page 2-4-6

(1) Revise the "Pressure Test" procedure to read as follows:

"After complete reassembly, start the unit. Carefully inspect the unit for leaks, and correctes required".

- (p) Maintenance and Repair Section 2-4-5
 - (1) On Page 2-4-9, under the topic of gaskets, delete the last sentence and insert the following:

"Coat both side of the gaskets with graphite and oil before replacing the gaskets".

- (q) Rotor Installation Page 2-4-10
 - Under Item 5, on Page 2-4-11, delete the second sentence and insext the following:

"Reconnect the piping, if disconnected, and start the unit".

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- 2. The subject manual should be revised in accordance with the foregoing. Upon receipt of a MAVSHIPS Number and compliance with the above, the manufacturer should effect publication and distribution in accordance with purchase order requirements.
- 3. By separate correspondence, the Design Agent is requesting a NAVSHIPS Number for the subject manual. The NAVSHIPS Number will be forwarded to the manufacturer as soon as it becomes available.
- 4. It should be noted that action on Reference (c) is the topic of separate correspondence. Notification of approval of Reference (c) and the appropriate changes required thereof, will be forwarded to the manufacturer as soon as it becomes available.
- 5. Admowledgement of compliance with the above is requested by 29 March 1968.

TODD SHIPTARDS CORPORATION (SEATTLE DIVISION) ET GIBBS & COX, IEC. W. C. BACEMAN

R. P. FULTON By direction

RLB/orc

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oc: Todd, Sattle

Todd, How York

Buffalo Pumps North Tenawanda, N.T. 14121 Attn: Mr. R. Hardison

Strategic and Critical Materials Reference

EXHIBIT 4

TO DECLARATION
OF REAR ADMIRAL BEN J. LEHMAN (RET.)

ARMY AND NAVY MUNITIONS BOARD. THE STRATEGIC AND CRITICAL WATERIALS Chancing to the Brant as a reference and as a exities of general defermances to these determined or those welestedy and the pertindical phase of nemetrical graphysisses to which they pertains

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INTRODUCTION

This pamphlet is intended as a primer on the subject of the strategic and critical materials and to serve as a reforence and guide to those who are interested in this particular phase of industrial preparedness. It can hardly serve more than to give a general picture of the scheme of things and perhaps remove some of the common misunderstandings that obtain in relation to the sources, production, quality, and uses of these materials, both from a military and industrial point of view. For details the initiated and technical reader should refer to special texts, references and the established and authoritative agencies dealing with the various items or groups of materials herein involved. A few of the many available references are included in a list of references attached hereto.

Previous issues of this pumphlet were under dates and titles as follows:

Sept. 1, 1938 - "Strategic and Critical Materials
Their Relation to National Security".

Nov. 15, 1938 - "Stratogic and Critical Materials
Their Relation to National Security".

Mar. 29, 1939 - "Commodities Considered Problematical"

Nov. 6, 1939 - "Commodities Considered Problematical"

THE ROLE OF STRATEGIC AND CRITICAL MATERIALS IN INDUSTRIAL PREPAREDNESS PLANNING

Since the inception of industrial preparedness, plenning for the materials essential to national defense, the procurement of which in an emergency might offer difficulties, has been given continued study. Planning with respect to these materials continues today on a sounder basis and with more active public interest and support than has obtained in the past.

Perhaps nations, as mon, were not made to live alone, economically or otherwise. However, should a nation at war be isolated it must meet the conditions resultantly imposed. As for those basic materials by which life is sustained and those from which implements of war are fabricated, the isolated nation must supply its own or suffer in proportion to its inability to meet its needs.

Modern life makes great domands upon industry. Such demands have built our vast and complicated industry of today. Modern war will make even greater demands on this industry. The supply of raw materials which feeds it must continue undiminished, and in some cases at a greatly increased rate. Those vital materials we produce in abundance domestically are no problem. What of those we do not produce? What of those we produce but not in quantity adequate for our defense needs in a major war?

It is with these materials we are here concerned. Richly endowed with resources as we are, there are a number of materials for the supply of which we must look to nearby foreign lands or overseas. Some of these we may obtain from our own Western Hemisphere. However, there are other materials essential to national defense which must be supplied from other areas and which may be denied to us.

There are four practical approaches to the solution or partial solution of the problem of an emergency supply of strategic and critical materials to meet our needs during such a period. Probably all four will be necessary to the degree in which it may be possible to apply them. They are by:

- 1. Development of domestic production of these materials on a scale equal or partially equal to requirements.
- Development of substitutes.
- 3. Maintaining friendly relations with the nations who control the sources and distribution of these materials and by keeping trade routes open to the principal sources.
- 4. Acquiring adequate stock piles of these materials in time of poace as a war reserve, particularly those which offer the most difficulty of solution by the foregoing methods.

Control measures are necessarily applicable to varying degrees under each measure. Only the last can offer absolute assurance unless and until the others are fully and completely developed and executed.

CURRENT LIST OF THE STRATEGIC AND CRITICAL MATERIALS

On January 30, 1940, the Army and Navy Munitiens Board approved the following definitions and lists of strategic and critical materials:

DEFINITIONS

STRATEGIC MATERIALS. Strategic materials are those essential to national defense, for the supply of which in war dependence must be placed in whole, or in substantial part, on sources outside the continental limits of the United States; and for which strict conservation and distribution control measures will be necessary.

CRITICAL MATERIALS, Critical metarials are those essential to national defense, the procurement problems of which in war would be less difficult than those of strategic materials either because they have a lesser degree of essentiality or are obtainable in more adequate quantities from domestic sources; and for which some degree of conservation and distribution control will be necessary.

STRATEGIC MATERIALS (14)

Rubber Silk Tin Tungsten

Antimony	Morcury
Chromium	Mica
Coconut Shell Char	Nickel
Manganose, ferrograde	Quartz Crystal
Manila Fiber	Quinine

CRITICAL MATERIALS (15)

				70	latinum	4.4
Aluminum		Iodine				
Asbestos		Kapok			inning Mo	Certars
Cork		Opium		${f T}$	oluol	
1 /		Optical	Class		anadium	
Graphite			01100		ool	
Hides	/	Phenol				

The Army and Navy Munitions Board maintains a list of, and keeps under surveillance, certain additional materials which might become strategic or critical.

ANALYSIS AND EXPLANATION OF THE LIST

Let us analyze the definitions. Briefly paraphrased they are:

Stratogic materials are those necessary to our nation for national defense purposes because they are not domestically produced in sufficient quantity or quality.

Critical materials are those equally essential as strategic materials and which may offer difficulties but for a number of reasons probably will not present as great a problem.

As to the other materials not included in these two categories and referred to as being on a surveillance list, any or all of them may for one reason or another become strategic or critical at some time. By the same token, some or all these listed in the first two categories may be dropped as we make ourselves more self-sufficient nationally, develop substitutes, or replace than by other materials. At one time sholles, campher, nitrates and other materials were on the above lists. Time and scientific developments have relieved us of their requirement worries. Perhaps silk, decount shell char and others now on the list may follow them into the discard for planning purposes.

All of the materials on the current list are not of equal priority with others under the same classification. One material may offer greater problems of procurement than another material. One material might possibly be dispensed with at a cost which might be bearable under certain conditions; another may be indispensable at any cost.

A discussion of each of the materials follows:

STRATEGIC MATERIALS

YIOMTTMA

One of the principal uses of antimony is in the manufacture of plates for storage batteries. Other uses are for typs metal, cable covering, babbitt and other bearing metals, chemicals and pigments. Pure antimony metal has little use beyond a small amount of ornametal castings. The largest part of the entire output is used in alloys with other non-ferrous metals, chiefly lead. Military uses are as above plus uses in primer mixtures, pyrotechnics and bullet alloys.

Antimony is marketed in several different forms; antimony oxide, liquated antimony sulfide (needle antimony), "Matte" (an impure metallic product), and "Regulus" (an intermedate product obtained during the smelting of ores). Antimony is available not only in the foregoing forms, but also as a secondary or recovered antimony, large quantities of which are regularly returned to use. The consumption is mainly dependent upon the rate of general industrial activity. The metal is relatively cheap and there is little incentive to use it sparingly. The average price over the past 10 years has been approximately 10 cents per pound.

Formerly the United States obtained a very large portion of its antimony from China, but in recent years South and Central America have been chief sources of U.S. imports. The only primary antimony smelter in the United States is located in Laredo, Texas, and operates almost wholly on Mexican ores.

U. S. IMPORTS FOR CONSUMPTION (short tons) FOR 1938

Ore Metal	Total
Country Antimony Content	Antimony
Argentine 715	715
Bolivia 1133	1133
Chile 776 China 43 661	776 704
Mexico 5250 112	5362
All others $\frac{405}{8322} \qquad \frac{48}{821}$	$\frac{453}{9143}$

WORLD PRODUCTION 1938 (metric tons)

Africa 1,043	
Bolivia 8,682	
China 7,797	
Europe 1,231,	
Mexico 7,391	
United States 543	
All others $\frac{2.813}{2.523}$	(estimated)
Total 29,500	or 32,524 short tons

CHROMIUM

Chromium is one of the more important industrial elements for which this country is dependent almost entirely on foreign sources of supply. Of all the minerals containing chromium, chromite is the only one of commercial importance as an ore of chromium. The industrial uses of chromium fall into three groups - metallurgical, refractory, and chemical, in the order of importance as listed. Of the numerous ferroalloys in which it is used to make steels designed for specific purposes, probably stainless steel containing typically 18% chromium is the best known. Other chromium-bearing steels containing smaller or larger amounts have been developed to meet special needs of industry. Alloy steels find definite applications, both civilian and military, and as the steel industry shifts to alloy steels from straight carbon steels, chromium assumes increased significance. Chromium chemicals are used in the tanning of leather, the manufacture of pigments, and in electroplating. Chromite refractories are essential to many metallurgical processes. For many uses of chromite there are no satisfactory substitutes.

Three-fourths of the world's supply of chromite comes from five countries in the following order: U.S.S.R. 20%; Southern Rhodesia 17%; Union of South Africa 16%; Turkey 15% and Cuba 7%. The latter is the only important source in the Western Hemisphere and its output is far below that necessary for our consumption. Furthermore, the grade is such that the ore is suited only for refractory use and cannot be used for the manufacture of ferrochrome, the intermediary ferroalloy used in the steel industry. As domestic production is insignificant, we are dependent on overseas shipments for our supply. In 1938 we imported 352,085 long tons of chromite with a chromic oxide content of 163,370 long tons. During the same year we produced domestically only 812 long tons of chromite. Our imports were chiefly from Southern Africa, Philippine Islands, New Caledonia, Cuba and Turkey. Domestic resources of metallurgical grade ore are virtually non-existent and reserves of lower grade material are extremely limited and submarginal under normal conditions.

The usability of chromite is normally determined by the ratio between iron and chromium in the ore. Iron increases the fusibility of chromite, making it less desirable for refractories, whereas a high iron content renders chromite less usable for making ferrochromium. The usual ratio of chromium to iron in commercial chromite is about $2\frac{1}{2}$: 1. The ratios of chromium to alumina, to magnesia, and to silica are important also because these constituents increase the amount of slag made in ferrochromium smelting and thus increase the metallurgical loss of chromium. Silica is generally limited to 5%, as it is undesirable in ferrochromium, except for making a few special alloys. Much of the better foreign cros contain from 48 up to 55% of chromium sesquioxide, but the average domestic ore has a content of only about forty percent and its iron content is relatively high. Concentration by gravity of domestic ores of high iron content usually increases the iron content as well as that of chromium, so that the ratio is little improved.

COCONUT SHELL CHAR

Charcoal made from ecconut shells, by firing the shells in a confined space with little or no oxygen, has long been considered the best absorbent filling for gas mask canisters. The basic material, coconut shells, is produced in most tropical countries and islands. However, a large portion is burned as fuel in the drying of the meat to produce copra, Coconut growth is confined to tropical sea areas because both saline water and tropical climate are necessary for productive trees.

Since the civilian population must be considered equally with the military for gas mask protection in a major emergency of the future, the production of gas masks during such a period will not be limited to military equipment. Hence, an unusually large number may be necessary, thus increasing component raw material requirements proportionately.

Great progress has been made in the development of satisfactory substitutes for occount shell char from domestic materials which are abundantly available. It is believed that within the next year large scale production of an entirely satisfactory non-cocount charcoal for gas mask canisters will be available and thus eliminate this now strategic material from this list.

MANGAMESE. ferrograde

Manganese ore suitable for the iron and steel industry has attracted a great deal of attention as a strategic commodity. The type of ore required by this industry must be of metallurgical grade containing a minimum of 48% manganese to be suitable for the manufacture of 78 to 80% ferromanganese, the alloy which is essential in modern manufacture of steel. While relatively minor amounts are used in other industries and for other purposes, manganese of this quality is indispensable in the present art of steel making where it serves two purposes; first, as a deoxidizer and purifying agent in all steel manufacture; and second, as an alloying element where the addition of manganese produces properties desired for special purpose steels. Our steel industry alone uses approximately 14 pounds of manganese in the form of ferromanganese for every ton of steel produced. There are no satisfactory substitutes for manganese in steel manufacture.

Normally more than 90 per cent of the world's manganese ore comes from the U.S.S.R., British India, Gold Coast, Union of South Africa and Brazil. Russia alone generally accounts for more than half. Brazil and Cuba are the principal producers in the Western Hemisphere, but with present installed equipment the combined output of these two countries could supply only a part of our needs.

Proven domestic resources of metallurgical grade ore are extremely limited. High tariff protection to domestic producers over more than a decade failed to develop any substantial production from low grade deposits. A need of stock manganese ore as a first line of defense is apparent. It seems equally important that our known and limited domestic resources of high grade ore be used as a second line of defense for an emergency rather than to currently deplete our limited deposits of such ores.

The Bureau of kines states that the indicated consumption of manganese ore, of 35% or more Mm content, 1936 to 1938 inclusive was respectively 848,491; 954,503; and 509,932 long tons. Steel ingot production for these three years was 68.4, 72.5 and 39.6%, respectively of capacity. Therefore, a year of approximately full capacity steel production, as would certainly be necessary during a corresponding period of a major war effort, would require about a million long tons of metallurgical grade ore for the steel industry alone.

U.S. MANGANESE ORE IMPORTS FOR COMSULPTION, LONG TOWN (35% or more Mn)

Country	193	<u>38</u>	1939
U.S.S.R.	166,04	13	135,243
Gold Coast	126,8		242,923
Brazil	29,69	89	42,713
British Indi	ia 25,46	30	105,935
Cuba	131,42	23	89,544
Others	4,08		10,771
	Total 483,58	38	627,129

These imported ores everaged approximately 48% manganese content. In addition considerable ferromanganese was imported. The manganese content of imported ferromanganese was 21,118 long tons in 1938 and 33,452 in 1939.

MANILA FIBER

Manila Fiber (Abaca) is a long, strong, hard fiber, cream white to brown in color, that is obtained from the leaf stems forming the trunk of the abaca plant (musa-textilis). The entire world supply of high grade abaca is produced in a limited number of provinces in the Philippine Islands, Furthermore, about 75% of the total supply of abaca fiber used in this country is produced in one province - the province of Davao in Southern Mindanao.

There is no known satisfactory substitute for abaca fiber in making the high grade rope that is absolutely essential for marine cordage, oil well cables, and construction work. Hanila rope made from manila fiber has the following characteristics not found in any other rope;

- l. Manila rope has the greatest tensile strength, size for size, of any fibor rope.
- 2. Manila rope is far superior as regards the resiliency or elasticity, the characteristic that is absolutely necessary for marine use, to any other type of rope, wire or chain.
- 3. Manila rope has a long life under the most rigorous weather conditions, cold, frost, heat, sun, alternate wetting and drying do not materially affect the condition of the rope.
- 4. Manila ropes used aboard ship are mainly reeved through blocks. Manila rope retains size when wet, does not appreciably swell or shrink, as is true of other fiber ropes.

There is no manila fiber produced in the United States, but experimental growing of abaca in Central America has been under way for several years. It has been satisfactorily demonstrated that large areas of former banana lands are suitable for commercial cultivation of abaca.

The necessity for cheap labor in stripping the pulp from the fiber has held up commercial production in Central America, but is is hoped that perfection of mechanical methods of stripping will turn out high grade production at a cost which will permit these regions - where labor rates are relatively high - to compete with Philippine abaca produced by cheap hand labor.

Over 45,000 tons of manila fiber valued at \$4,171,888 were imported into the United States during 1939.

MERCURY

The problem of supply presented by mercury (quicksilver) from a national defense point of view is apparent. The civilian and military uses are indispensable to a large degree and it is doubtful whether the United States, even with extremely high prices, can supply its needs of this metal over an extended emergency period. Its military uses are principally in the manufacture of fulminate for detonating high explosives, drugs, dental amalgam, anti-fouling paint for ship bottoms and for many industrial activities ranging from the production of gold to the disinfection of seeds. In addition to the foregoing, its civilian uses include the generation of power from mercury boilers, mercury vapor lamps and the manufacture of felt. The average annual consumption of mercury in the United States for the last ten years has been about 28,000 flasks (76.1bs. cach). During the period 1917 and 1918, our avorage consumption was 35% above that for the preceding three years. The inforence may be made that the possible increase in consumption to be expected in war would be approximately 35% greater than that of the preceding normally high year. While many substitutes have been developed, particularly lead azide as a substitute for meraury fulminate, morcury remains undisplaced for many important industrial and military uses.

Under normal conditions, two-thirds of the world's output of moreury comes from Spain, and the United States ranks third. The only other present source of mercury in quantity in the Western Hemisphere is Mexico, producing about half as much as the U.S. The United States ranks as the largest consuming country of mercury, normally using approximately 30,000 flasks of which roughly half is imported. Self-sufficiency in mercury could be made possible only at prices greatly above those provailing at present and the period of self-sufficiency would probably be very limited at any price.

Mercury prices have advanced materially within the past year chiefly due to the Spanish Revolution when the production of that country was limited and the European situation which has since developed. It is reported that the entire Spanish mercury production for 1940 has been obligated at a value of approximately \$200 per flask. World price is presently around \$180 per flask whereas for years it has been under \$100.

While mercury is an important strategic material, it is not anticipated that as difficult a problem of emergency supply will be encountered as that of some of the other higher priority strategic materials such as tin, rubber, manganese and chromium, largely due to our partial self-sufficiency and stocks normally maintained by industry.

TCA

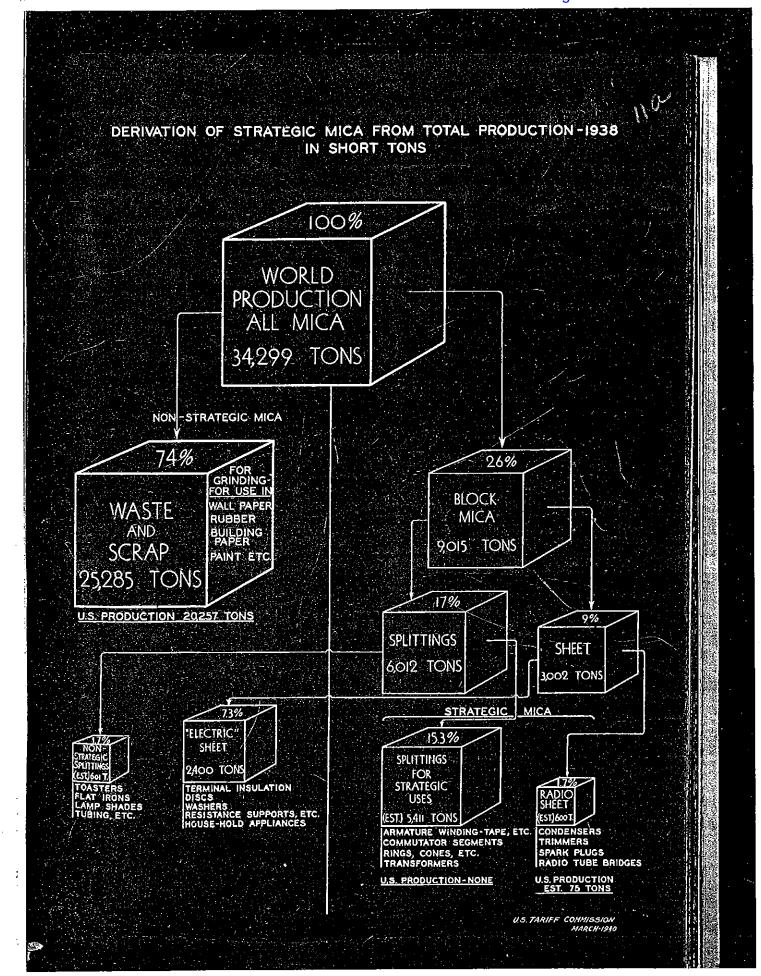
There are numerous kinds and many uses of mica. World production may be divided into two main kinds: (1) Waste and scrap mica, comprising 74% of production and (2) block mica, comprising the remaining 26%. Only with the latter are we concerned from a strategic point of view, since it is from block mica we obtain the types of mica included on the list of strategic materials. From block mica is produced splittings and sheets: Only a part of these splittings and sheets is of suitable type for classification as strategic.

Strategic mica splittings after their manufacture into built-up plate are used for armature winding-tape, commutator segments, rings, comes and transformers. Estimated world production is 5,411 short tons of which none is produced in the United States. Strategic sheet mica (radio sheet) is used for condensers, trimmers, high grade spark plugs, radio tube bridges and magneto condensers. Of an estimated annual world consumption of 600 short tons, the United States annually produces approximately 75 tons or 13%. Of both types (sheets and splittings) we produce less than 1% of world production. These particular types of strategic mica should not be confused with other types which offer no problem of supply in an emergency. Of the waste and scrap mica we produce about four-fifths of world production. This mica is generally ground, either wet or dry, for use in wallpaper, rubber, paint, roofing material, and many other miscellaneous purposes.

British India is by far the greatest world producer of block mica normally accounting for about 75% of all such production. Madagascar and Canada produce most of the remainder of this type.

The Minerals Yearbook, 1939 states "for many years mice has been considered one of the few materials for which no acceptable substitute was available in many of its principal uses. Recent laboratory research indicated that a product (Alsifilm) made from bentonite may compete successfully with mice in the important electrical field, if it can be produced commercially. Another challenge to sheet mice and mice splittings may come from properly processed ground mice, though this development seems to be less far advanced than Alsifilm * * * *"

The complexity of grading and classifying sheet mica is indicated by the fact that at least 100 distinct products can be classed as unmanufactured mica. Not only do the sheets vary enormously in size but there are at least six different qualities ranging from clear to black-stained. Since all the types of mica have so many and varied uses and their specifications, derivation and sources are highly complicated, source and derivation charts are included over-last.



10 SOURCES OF STRATEGIC MICA BY COUNTRIES-1938-SHORT TONS EXACT QUANTITIES OF STRATEGIC MICA BY COUNTRIES CANNOT BE ASCERTAINED. QUANTITIES SHOWN ARE ESTIMATES BELIEVED TO BE CLOSE, BUT VARY FROM YEAR TO YEAR 100% WORLD PRODUCTION BLOCK OR BOOK MICA 9015 TONS 66.7% 333% FILMS (AND SPLITTINGS SHEET 6013 TONS I. :DIA 5278 T. 587% CANADA 25T. 4800π INDIA 1055 T. UNITED STATES 575 T. **ITALY 134 T.** STRATEGIC MICA HON-STRATEGIC MICA NON-STRATEGIC BIS T. U.S.TARIFF COMMISSION APRIL 1940

NICKEL

Nickel in its pure form is a lustrous silvery-white metal, harder and stronger than iron, yet ductile and malleable. Its most important use is an alloying ingredient in steel to give increased hardness, toughness and strength. Nickel steel is highly important in the automobile and other industries where special steels are required. Stainless steels have a relative high content of nickel and chromium. Nickel also is an important alloy for copper, silver and aluminum. It is used as a catalyst and is extensively electroplated on other metals. Many of its military uses are identical with ordinary industrial uses. Strictly military uses are for armor plate, armor piercing projectiles, gun berrels, recoil cylinders, etc.

Over 85 percent of all nickel is produced in Canada principally in the Sudbury Basin. From a strategic point of view the United States is fortunate that such an abundant supply of this important metal has its source in a contiguous friendly country.

There are three principal cros, the most important of which is the sulfide type, composed of nickel, iron and sulphur and which makes up the bulk of the Canadian deposits where it is found associated with copper. The second type ore is silicate where the nickel is in the form of exide combined with silicate. This is the type found in the New Caledonian deposits. The third type is arsenical ore, not comercially important at present.

In 1938 the United States produced 416 short tons of nickel as a by-product in electrolytic refining of copper. This, together with 2300 tons of secondary nickel recovered from scrap, is insignificant compared with our consumption for the same year - 22,400 tons, of which 80% came from Canada.

Principal producers of nickel ore in 1938 in metric tons of nickel/content were:

Canada 95	559 Norway 1,125	
Now Caladonia 6		
	500 Netherland India 500	

QUARTZ ORYSTAL

Quartz or silicon dioxide (SiO₂) is one of the most common and widely distributed minerals. It is found in a variety of forms. The quartz
crystal to which reference is here made, and which is meant when spoken
of as a strategic material, is that particular crystal form having piezoelectric characteristics. The only developed source of this type is
Brazil where it is almost exclusively produced. However, much of the
Brazilian product is unsuitable for the use which good grade piezo-electric
quality quartz crystal is applied - that of radio frequency control. For
such use there are no satisfactory substitutes. Other uses of quartz crystal
with less strict specifications are for jewelry, electrical apparatus,
pivots and laboratory vessels.

The detection of imperfect crystals is a highly technical matter. Crystals for radio frequency control must be optically clear, have growth lines on 3 sides and must be free from flaws, cracks, ghosts, phantoms, veils, needles, bubbles and twinning. The detection of twinned crystals requires both precision instruments and experience. Good crystals must also meet certain diameter and length specifications. They sell for an average price of \$6.00 per pound, although the price at times may be several times that figure. There is no domestic production of quartz crystal of a quality suitable for use in radio equipment.

The cutting of the mother crystal into smaller crystals for radio equipment used in the United States is done in the United States. It is a highly technical job as the radio crystal must be very accurately cut with reference to thickness and also in the directions of the various axes of the crystal. The average size of the finished radio crystal is about 1" \times 1" \times 1/10", but these dimensions vary with the frequency at which the crystal is to operate and to meet other conditions. The cutting is done by using carborundum on steel wheels, and for finer cuts diamond-tooth saws are used.

Production of Brazilian raw quartz in metric tons during the past few years as reported by the Bureau of foreign and Domestic Commerce was:

1926 1927 1	928 1929	1930 1933	1935
2760 44 260	308 498	410 286	230

Only a small portion (about seven tons) of the gross production reported above is suitable for piezo-electric applications. By far the greater portion goes into jewelry, optical instruments, and drilling machinery. In 1935, the consumption of the Brazilian production by countries was:

Japan - 73% (predominantly for jewelry; some optical)

Great Britain - 13% (optical and radio)

Germany - 3.5% (largely for optical purposes)

United States - 2% (optical, industrial, jewelry and radio)

Holland - 0.4%

Remainder to China, France, Italy and Belgium

STITATIVE

"Many years of rainy seasons
And Malaria's countless treasons
Are among the many reasons
Why he's gone". J. S. Gilbert - Panama Patchwork.

Quinine is the best known, most generally accepted and used specific for prevention and treatment of malaria. Troops are dosed with it before, during and after exposure to known malarial conditions. Two synthetic compounds, atabrine and plasmochin have made great strides in the treatment of malaria but neither has completely displaced quinine.

Cinchona bark from which quinine is derived is graded into two classes namely, "factory bark" and "pharmaceutical bark". The quinine is extracted from the bark by various processes and after purification is produced in the form of sulfate of quinine.

The world's production of cultivated cinchona bark and the manufacture of cultime entracted therefrom are now controlled by a combination of a group of planters in Motherland India and by a very small group of manufacturers in Motland. As 90 to 95 percent of the cultivated cinchona bark is group in Metherland India, the control exercised by the combination is a very effective monopoly. Numerous attempts have been made and are still being made to break its power by growing cinchona bark else/here but up to the present such efforts have been without any degree of success. This organization operates as the Kina-Durcau and although it is not recognized in the United States because of this country's anti-trust laws, it does exercise control over our imports.

Some other countries which produce cinchona bank on a small scale but which make little imprint on the world supply of quinine aro: Japan, British India, Eolivia, Peru, Brazil and the Philippine Islands. These, in general, are not self-sufficient for their own needs.

RUBBER

Our domestic rubber goods manufacturing industry is the largest in the world. In 1937 it employed 120,000 persons and had a total output value of \$883,000,000. On a value basis crude rubber is about the most important single commodity imported into the U. S. Normally about 80% of our imports are used in the motor vehicle industry, 4% in rubber boots and shoes, and 16% for rubber hose, belts, druggists sundries and other miscellaneous products. The U.S. annually imports and consumes about a half million long tons of crude rubber - more than any other nation, or normally between 50 and 60% of the world production. In 1938, 98% of our imports came from the Middle East (60% from British Malaya and 26% from Netherland Indies).

Approximately 97% of the total output of crude rubber is produced in the Middle East - 52% in Malaya and other British possessions, and 33% in the Netherland Indies. A few American companies have plantations in British Malaya, Netherland Indies, Philippine Islands, Liberia, Brazil, Panama, Costa Rica and Mexico, but these holdings supply only about 6% of the rubber requirements of the U.S.

South America, the original home of the rubber plant, is often referred to as a source from which we may obtain our crude rubber needs were the trade routes to the East Indies and vicinity denied us in an emergency. The year of largest production of crude rubber in South America was 1913 when the output amounted to 49,000 long tons. Following the establishment of rubber plantations in the Middle East, the South American production declined rapidly end in 1938 amounted to only 15,000 long tons. Practically all the rubber produced in South America has been obtained from wild trees and it is unlikely that, oven with high prices, production from wild trees will ever equal the cutput of 1912. The production of cultivated or plantation rubber in South America has been negligible, largely because of the South American leaf disease; however, it is reported this difficulty is gradually being overcome. It is unlikely that the South American production of plantation rubber will supply a significant part of the demand for rubber within the next decade. About 5 years are required for rubber trees to come into bearing, and considerable time is needed to propare the land for planting. Another reason for the small production of rubber in Scuth America, which has been advanced by many in the trade, is the higher cost of labor in that region as compared with the Middle East and the separate development of disease resistant plants. Plantation plants developed in the Middle East are not necessarily adapted to South American soil and environment.

There is a small production of crude rubber in Mexico, all of which is obtained from wild guayule, a rubber producing desert shrub, which also grows in the southwestern part of the United States. In 1912, the year of greatest output, Mexico produced 10,000 long tons of guayule rubber. The Mexican output declined after that year however, and in 1938, it amounted to only about 3,000 long tons, most of which was shipped to the United States.

If a shortage of crude rubber occurs, this country will undoubtedly use much greater quantities of reclaimed rubber. In normal times the consumption of reclaimed rubber amounts to about 140,000 long tons a year, but reclaiming plants operating at full capacity could produce considerably more. It is estimated that reclaiming plants operating at full expanded capacity could produce about 20 to 25% of our normal rubber requirements, i.e. providing scrap rubber continues available.

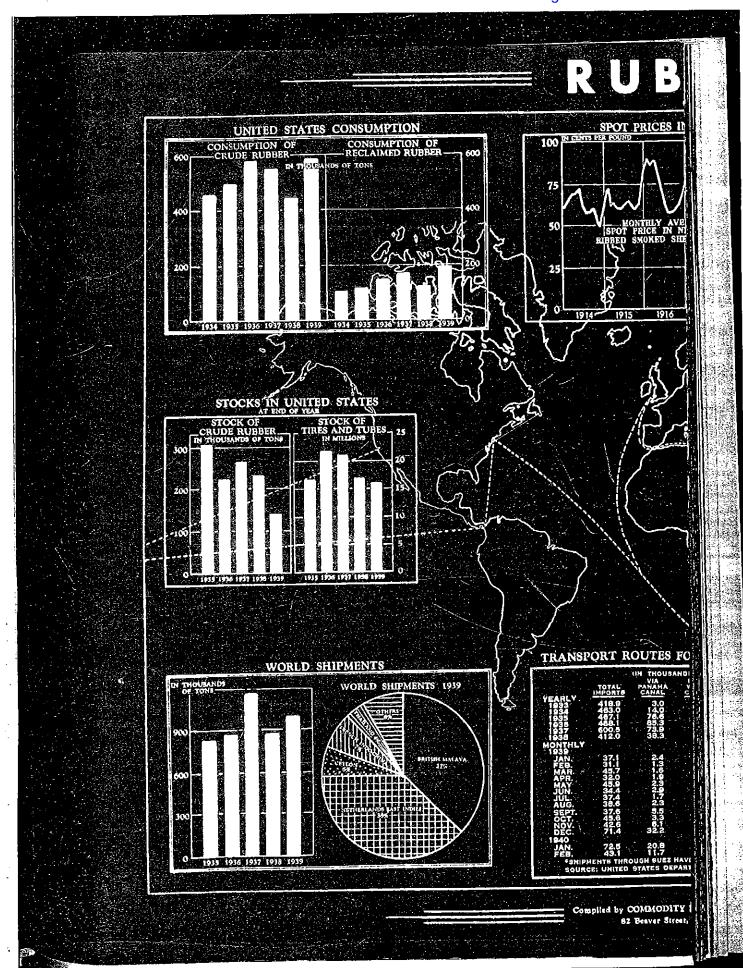
The synthetic rubbers and rubber obtained from guayule can be used in some cases as substitutes for imported crude rubber. At present the domestic production of synthetic rubber is small, and there is no commercial production of guayule rubber in this country. In the event of a shortage and higher prices of imported crude rubber, the production of these substitutes could be expanded, but probably from 1 to 4 years would be required before the industries would be in a position to supply even minimum domestic needs. So far no all-purpose synthetic rubber has been developed. Certain of the synthetics are in some cases for special purposes better than crude rubber - particularly in the oil resistent fields; costs, however, at present far exceed that of the natural product.

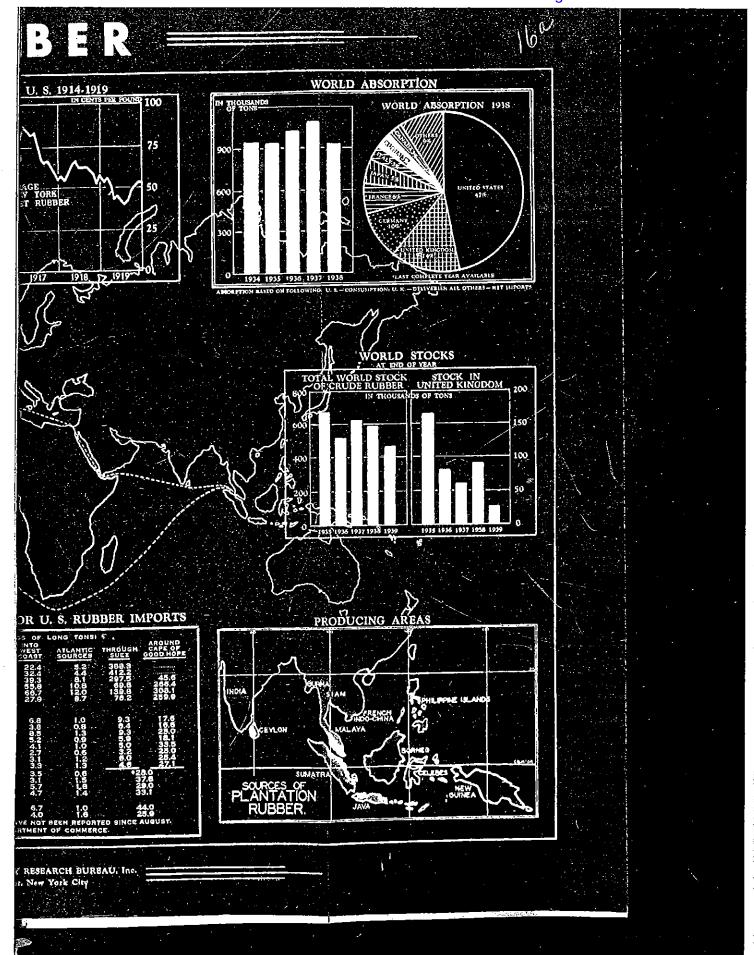
Rubber is indisposable in the manufacture of motor vehicles, airplanes, submarines, balloons, gas masks, electric motors, ships, railroad trains, street cars, electric lights, telephones, typewriters, printers rolls, wireless apparatus, radios, modical goods, industrial fire and garden hose, orchard spray tubing, milking machines, athletic goods and several types of shoes. In addition, rubber is used but is not indispensable in the manufacture of many other commodities.

Stocks of crude rubber on hand in the U.S. vary from time to time. Rarely in the past several years have stocks exceeded 6 months' supply, generally they have been helf that much. This in large part may be accounted for by the effects of the International Rubber Regulation Agreement which regulates production and export quotas of the signatory countries under British, Dutch, French and Siamese control. Over 95% of world rubber production is thus under regulatory control. Manufacturers of rubber goods in the United States are divided in their opinion concerning the restrictive agreement. Many are glad to have it in effect because it has enabled them to purchase crude rubber at a less variable cost and has removed semewhat the speculation on inventories. In the past, domestic manufacturers collectively have probably lost or gained as much as several million dellars in one menth owing to changing prices. On the other hand, some dislike and are apprehensive of the "grtificial device".

A national stock pile of rubber is being obtained as a result of an agreement of June 23, 1939 with the United Kingdom whereby approximately 600,000 bales of American cotton are to be exchanged for about 85,000 long tons of crude rubber. Deliveries are now being made. Plans have been made for the necessary rotation to prevent deterioration in storage. Storage is to be made at Government facilities in New York, Boston and other Eastern ports generally in relative proportion to the normal port receipts of this commodity in these localities. About four-fifths of U.S. rubber imports are entered on the Atlantic Coast.

Further pertinent information is shown on a chart which follows.





SILK

Silk is a material usually regarded as a luxury. In addition to its principal use for hosiery it has many uses in industry; the insulation of wire and cable is an example. In warfare it is used for the powder bags for large caliber gums and for parachutes. There is no domestic production of raw silk, although a number of attempts have been made to develop sericulture in the United States.

The development of substitutes has progressed to a remarkable extent; so much so that the silk problem in an emergency assumes lesser and lesser proportions as research and production of these substitutes increase. Completely satisfactory substitutes are now available for military uses of silk insofar as airplane and pyrotechnic parachutes are concerned. Substitutes for the use of silk in powder bags for large caliber guns, however, are not entirely satisfactory, particularly as far as the Navy is concerned where large caliber guns are operated in close quarters and under conditions requiring a clean and full burning powder container. Powder bag silk is made from waste silk not ordinarily used for high grade silken products. A fair sized stock of this type material is maintained by the armed forces. A large portion of waste silk, a by-product of our silk manufacturing industries, is generally exported. The domestic demand for this waste material does not, as a rule, absorb our production, hence it is exported, principally to Europe, where a better market exists.

The world's supply of raw silk comes principally from Japan and China and to a lesser extent from Italy and France. The United States consumes more than one-half of the world production. Annual production from all sources of raw silk usually is in excess of 100,000,000 pounds about three-fourths of which has its origin in Japan. China is the next largest producer. According to the Tariff Commission, we imported an average of about 55,000,000 pounds of raw silk per year during the 3-year period of 1936-38 practically all coming from Japan. China and Italy supplied a minor percentage of our imports.

TIN

The outstanding characteristics of this metal which make it essential to the arts and industries are its:

Ability to form thin, ductile, non-corrosive and closely adhering films or coating on steel and other setals.

Anti-friction properties.

Ability to act as a flux in binding one metal to another.

Tin presents one of our serious strategic mineral problems because the metal is indispensable for so many uses and there is virtually no domestic production even at exorbitant prices. It has become indispensable in the preservation of food, since it furnishes a protective coating on the steel from which our so-called tin cans are made. It is used in the manufacture of automobiles, for the making of bearings, solders, bronzes and gun metals. Tin can be solled into foil and has many uses as a chemical. These uses could be reduced only to a limited extent by substitution. Only a minor part of our requirements in tin during an emergency period could be met by recovering tin from old scrap metals which have a tin content.

Under normal conditions the consumption of tin in the United States is 75,000 long tons amountly or approximately 45 percent of world output. An enalysis of U.S. tin consumption shows that percentages of use are as follows: tinplate 41, solder 22, babbitt 8, bronze 7, collapsible tubes 4, galvanizing, type metal, feil, tinning, terneplate and chemicals each approximately 2.

The world's principal producers of tin ore are the Melaya States, Netherland E. Indies, Bolivia, Siam and China. During the past five years 81% of foreign purchases was obtained from Asia, 18% from Europe and 1% elsewhere. Bolivian ore is sent to Europe for refining because there are no smelting facilities in the Western Hemisphere except one of small capacity in Argentina, and also because those ores have considerable impurities. At European refineries they are "sweetened" by mixing with other ores of purer content.

Tin among other important and high priority stratogic materials is now being acquired for a national stock pile reserve. Domestic resources of virgin tin offer no hope whatever for emergency exploitation. Domestic production of tin during the period 1901-1938 has been less than 0.1% of our national consumption.

TUNGSTEN

Tungsten is the heaviest of the base metals, its density being the same as that of gold. It has the highest melting point and highest modulus of elasticity of all the metals. Tungsten is an element required to give alloy steels high tension characteristics. In industry it is widely used for high speed tool steel, lamp filaments, non-ferrous alloys, electric contacts and electrodes, and also in the chemical industry for various uses. In the form of tungsten carbide it has still further uses. A strictly direct military use is as an alloy in armor piercing bullet cores.

Tungsten moves into consumption in this country principally in the form of ore or concentrates. It is marketed on the basis of a short ton unit of contained WO3. A short ton unit is one percent of a short ton or 20 pounds.

Fortunately, tungsten does not offer as great a problem as some of the other strategic minerals mainly because we produce some of our needs, moreover molybdonum serves as a substitute in some uses including high speed tool steel. Normal annual consumption is estimated to be between 4,500 and 5,000 short tons of concentrates (60% WO₅). In the last 14 years the United States imported 50% more tungsten concentrates than it produced.

In 1938 the United States was apparently the second largest world producer, domestic output being the highest of record. The domestic tungsten industry, is protected by a tariff of 50 conts por pound tungsten content on imported ores and concentrates. China and Burma normally produce most of the world's tungsten ore. Other important foreign producers are Bolivia, Portugal, Malay States and Australia.

CRITICAL MATERIALS

ALUMINUM

Aluminum was formerly listed as a strategic material and placed in that category about the time the trend began toward its many industrial uses and the advent of the all metal aeroplane. The increased use of the metal, the supply of bauxite (the ore from which the metal is derived), the question of the adequacy of production and fabricating facilities, all tended to pose a problem of national sufficiency in a major emergency. After several years of research, and continued technical developments in that industry a thorough survey of materials and facilities matched against requirements reveals that no particular problem is presented.

The principal uses for aluminum are in the fields of air, land, and water transport equipment and general industrial and structural application in its many alloys, forms, shapes and castings. Its lightness and strength are prime factors influencing its use. Considerable aluminum is used in cooking utensils and many still today think of the metal primarily in connection therewith. Such use by no means constitutes its major industrial application no more than wire and nails could be said to be the principal product of the steel industry.

While our own resorves of bauxite are by no means small, for various economic reasons we normally import large amounts mostly from South America (British and Dutch Guiana). Of our metal imports Canada supplies the major portion and Europe the remainder.

WORLD PRODUCTION OF ALIMINUM AND BAUXITE IN METRIC TONS FOR 1938:

ALUMINUM		BAUXITE	
Germany	165,000	France	682,440
United States	130,100	Hungary	540,718
Canada	66,000	Yugoslovia	396,368
France	45,300	British Guiana	382,409
U.S.S.R.	43,800	Surinam	377,213
Norway	29,000	Italy	360,837
Switzerland	27,000	United States	329,015
Italy	25,800	U.S.S.R.	250,000
United Kingdom	23,300	Neth. India	245,354
Japan	17,000	Greece	179,886
All others	5,900	All others	117,760
Total 1938	578,800		3,862,000
Estimated Production 1939	647,400		4,400,000

^{*}Source - Minerals Yearbook 1939 (revised figures of April 1940).

ASBESTOS

Asbestos is a mineral fiber. It is a general term applicable to any of several minerals which exist in fibrous form but which differ in chemical composition and in some physical characteristics. Asbestos is non-inflammable and is only slightly acted upon by acids. Its fibrous structure permits it to be spun into yarn or thread, woven into cloth, or felted into sheets of packings in the same way that a vegetable fiber may be treated, but unlike vegetable fiber it consists of inert, incombustible mineral matter and is, therefore, fireproof, weatherproof, and highly resistant to chemical action. Asbestos ore is generally quarried, the high grade ore being sorted out by hand.

Asbestos may be termed indispensable to modern life. As the chief constituent of brake-band linings and clutch facings it is essential to automotive transport; in the form of gaskets and packings it is a necessary part of steam-driven machinery; as a heat insulator it plays an important role in both household and factory construction and equipment; and combined with cement it is employed in the manufacture of vast quantities of roofing and other building materials.

The United States is the largest asbestos-consuming country in the world but produces only a small fraction of its requirements of raw materials. In 1938 sales from domestic production amounted to 6 percent of the quantity and 4 percent of the value of domestic requirements.

The volume of asbestos consumed in the U. S. depends primarily on two great industries - automobile manufacture and the building trades. The plotted consumption curve of asbestos has a definite relationship to those of automobile production and building construction.

Two of possible methods to be considered in meeting emergency needs were we denied imports are: (1) Stimulation of domestic production, which bears little promise; and (2) substitution of other materials for the major uses of asbestos which probably would be the main method utilized, thus freeing all available stocks for absolutely essential uses wherein substitutes are unsatisfactory. The building trade could well carry a large part of the substitute burden by the use of mineral, slag and glass wool and other substitutes should the necessity therefor arise.

In an emergency we would, if necessary, wholly or partially cease exports of manufactured asbestos products. Exports of such products for 1938 were valued at approximately \$2,500,000 compared with imports of unmanufactured asbestos valued roughly at \$6,000,000.

Canada is by far the world's greatest producer and supplies most of our imports. The U.S.S.R., Southern Africa and Cyprus are major producers. Some 18 other countries produce asbestos in varying amounts.

CORK

Cork in all its forms comes from the bark of an oak tree of which there are two species - (Quercus Suber and Quercus Occidentalis). For reasons which nature alone controls, this oak tree grows in commercial stands only in areas bordering the Mediterranean Sea and all attempts to establish cork forests in this country and elsewhere have thus far been unsuccessful. Much of the land on which the cork tree grows is two-crop land, the two principal crops being cork and pigs. The pigs root out the underbrush and are particularly fond of the cork oak acorns. Unlike our own oak trees, the cork oak is an evergreen, the leaves resembling our holly leaves minus the sharp points.

Virtually all of the physical properties of cork are based either directly or indirectly upon its unique cellular construction and it is these physical properties which account for its many various uses. The most important of the physical properties are buoyancy, compressibility, resilience, resistance to moisture and liquid penetration, frictional quality, low thermal conductivity, ability to absorb vibration, stability.

The world's supply of cork comes from a total area approximately the size of the State of New Jersey, stretching in a narrow belt for more than 1,000 miles along the northern coast of Africa and more than 1,500 miles along the coasts of Portugal, Spain and France. Annual world production is about 250,000 tons of raw cork of which the major producers normally are: Portugal 35%; Spain 30%; Algeria 15%. Owing to its great disproportion of bulk to weight, raw cork is generally shipped in mixed cargo vessels from the Mediterranean Area. A shipload of cork only would necessitate water ballast.

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The cork industry in the U.S. with few exceptions is located on the Atlantic seaboard from Maryland to New York and involves chiefly five major production classes. These classes with approximate production value for 1937 are: cork stoppers and natural cork specialties, \$3,500,000; cork insulation products, \$6,770,000; cork composition and composition products, \$5,100,000; cork tile \$115,000; and cork merine goods, \$130,000.

Cork is often broken into as many as 100 to 150 grades before it starts moving through a manufacturing plant from which it may emerge in almost endless varieties of products for use in connection with other products from automobiles to watches.

U.S. imports for 1938 were approximately 80,000 tons, representing about 40% of the world production. Owing to its many industrial and commercial uses a shortage of cork in a major emergency would entail serious difficulties. Even though substitutes are available and practical in a number of cases there are others whereby substitution might tomporarily disrupt mass production practices of there may be a shortage of a particular substitution such as rubber, itself a stategic material. Conservation, substitution and at least some degree of control of cork stocks would be necessary in an emergency were we denied imports.

GRAPHITE

Sometimes called plumbago or black lead, this mineral consists of crystallized carbon. Chemically it is identical with the diamond but the widest of differences exist in physical characteristics between the two - one is the softest of minerals, the other the hardest.

Natural graphite may be either crystalline or amorphous. Crystalline or flake graphite is commonly understood to mean graphite in crystals of sufficient size to be visible to the naked eye; much of the so-called amorphous graphite shows a crystalline structure under the microscope, but its particles are small and its appearance is relatively dull. Crystalline graphite occurs either in veins, as in the Ceylon deposits, or as flakes disseminated in schists or other kinds of rock as in many of the deposits in the United States. Most deposits of amorphous graphite are the result of the alteration of coal beds by the intrusion of igneous rocks; amorphous graphite is also made artificially by means of the electric furnace. Some amorphous graphite marketed is contaminated with coal, coke, or other amorphous carbonaceous matter.

Graphite is used principally in the foundry and steel industry for linings, facing, and for core washes, and crucibles, for paints and pigments, pencils, crayons, commutator brushes, stove polish, in lubricants and for the manufacture of electrodes. Among the many uses to which high purity graphite, either of natural or artificial type, is especially well adapted may be mentioned brushes for electrical machines, special furnace blocks, carbon raiser (for raising the carbon content of steel), battery (dry-cell) graphite, electrotyping graphite and other grades used in brake linings, clutch facings, cilless bearings, graphite greases, rubber compounds and molds, powder glazing, beiler graphite and radio resistors. Military uses for graphite are principally for foundry and crucible work, paints and pigments, electrical machine brushos, electrodes and dry batteries.

Domestic supplies of graphito are drawn principally from Ceylon, Madagascar, Mexico, and Chosen. Canada normally supplies all our imports (usually less than 1,000 tons a year) of artificial graphite to-gother with as much as 300 tons of flake and somewhat larger quantities of amorphous or ultra-small flake graphite. The world's largest sources of graphite are in Contral Europe but the material is mostly too low-grade for export overseas. Actually graphite has been mined in almost every country in the world from Greenland (60 tens in 1937) to South Africa (69 tons in 1937); yet Coylon and, in later years, Mada-gascar have been the only countries that have been able to get high enough prices for their raw graphite to permit it to be shipped to industrial nations all over the world. Even Korean (Chosen) graphite has a rather limited market and Mexican graphite, notwithstanding its purity, is all shipped to the United States. Of a total world cutput of 200,000 metric tens, the U.S.S.R. recently has been credited with furnishing over 40 percent; Gormany and Austria combined, over 20 percent; Chosen, another 20 percent; and Mexico, 5 percent. Although the tonnage mined in Coylon and Madagascar seldom exceeds 15 percent of the world's total, the value of their output is probably at least half of the world's total.

HIDES

The hides and skins of various animals form the raw material from which leather is menufactured. The term "Hides" is applied to coverings of the larger animals; while, technically, "Skins" are derived from smaller animals. The intermediate size between a large "skin" and a small "hide" is known to the trade as a "kip". The term, however, is not very clearly defined; being used with various meanings in different parts of the world.

The heavier grades of hides are generally manufactured into sole and belting leather; those of extra large surface - "spready" hides - are often used for upholstery; and in some cases hides are split into several layers, or thicknesses, and by this means used for the production of boot uppers of a variety known to the trade as "Side Leather". As a general rule, hides, because of their greater thickness, are particularly the raw material of the sole and heavy leather tanner, while skins go naturally into the process of making upper shoe, bag, and glove leather. These two industries are entirely separate and distinct.

The hides used by sole-leather tanners in this country are of both foreign and domestic origin. The chief sources of imported hides are the meat-freezing plants of South America, which in recent years have become a dominant factor not only in the world's supply of beef and mutton, but of hides and sheepskins as well. South American packer hides are known to the trade as "Frigerificos", and are preferred by many tanners over all other varieties. South American green-salted hides from smaller killing-plants are known as "Saladeros" and "Mataderos".

Of the many different hides, cattle hides constitute the bulk of the world's supply of hides and skins. They comprise at least 60 percent of the total international trade in hides and a much larger proportion of the annual production. Calf and sheep skins constitute an additional 25 percent of the total. The chief domestic supply is derived from the great meat-packing establishments of the Middle-West. "Packer" hides not only dominate the markets in this country, but exercise a strong influence on hide markets throughout the world. Quite a large supply also comes from the smaller abattoirs in various cities. Both "city" and "country" hides, which compare to "Saladeros" and "Mataderos", command a lower price than "Packer", because they are not as a rule so well taken-off or cured. All hides are bought and sold on a basis of so many cents per pound. They are classified by: (1) Geographical origin; (2) Take-off; (3) Weight and sex of the animal; (4) Freedom from defects.

With the exception of the heavy type hides principally used for sole leather, it is believed that no particular emergency problem will be encountered with the hide supply, since we are to a large degree self-sufficient in this item. Many substitutes are available and their use is growing rapidly. There is also a tendency towards decreased military leather requirements as harness and other animal transport equipment give way to mechanization.

IODINE

Iddine is a non-metallic element widely distributed in nature. It occurs in bluish-black rhombic plates, of metallic lustre, peculiar odor, acrid taste and neutral reaction; sparingly soluble in water, readily so in ether, and in alcohol, also in an aqueous solution of potassium iodide of sodium chloride. It volatilizes slowly at ordinary temperatures, and produces a dark-blue color with gelatinized starch in cold solution.

Indine is largely used in medicine both externally and internally. Externally, it is used as an antiseptic in the form of tineture or in solution. It is also used as a germicide and counter-irritant. It is also used in many powders, and continents. The tineture of iodine, by far the most popular, whether of alcoholic or aqueous solution, is cheap, easily prepared, convenient to use and above all highly effective. This combination makes iodine particularly well adapted as a general antiseptic. The salts of iodine, armonium, potassium and strentium do not possess the germicidal qualities of the element itself, but are used internally as alteratives.

The commercial uses of iodine and the iodides are various and extensive. Small amounts of iodine are used as a chemical reagent in laboratory work and similar small amounts in various organic compounds and dyes, but the chief demand in the chemical field is in the production of the sensitizing solutions used in the manufacture of photographic films, plates and papers. Within the past few years considerable amounts of iodine have been added to cattle feed and to fertilizer for soils low in todine.

Formorly sixty percent of the world's annual production of iodine was produced in Chile and twenty percent in Scotland. Relatively small amounts were produced in Japan, France and Java. World production and trade of iodine have undergone significant changes in recent years with the United States, formerly dependent upon a foreign monopoly for its supplies, emerging as the world's second largest producer, and capable of obtaining its entire requirements from domestic sources. The old method of obtaining this important item was from kelp, it is now produced in this country from salt brines obtained from abandoned oil wells. In 1930, prior to the utilization of domestic resources, the price of iodine was approximately \$5.00 per pound. Since that time the price has gradually declined to around \$1.60 per pound. This item, however, is being maintained on the critical list because of its high degree of ossentiality and because the industry is young and it is felt that some difficulty may be encountered in maintaining demostic production to the degree necessary for emergency needs. There is no satisfactory substitute for this item for field use as an antiseptic for the armed forces.

KAPOK

Kapok is a vogetable down obtained from the seed pods of a widely distributed tropical tree which is indigenous to Southern Asia and the East Indies. Java is the leading center of commercial production. During recent years some kapok has been harvested and exported from the Phillipine Islands, where formerly the wild crop was allowed to go to waste.

Commercial Kapok is a soft, lustrous, inelastic fiber having a low specific gravity. It is too brittle for spinning; however, the cellular structure and shape of the fiber together with its low specific gravity renders it especially adaptable for use as a stuffing material for life saving equipment, pillows, mattresses and upholstered furniture. As a filler for lifesaving equipment it possesses marked advantages over other commercial fillers. Certain other tropical fibers, that is, pachote, red simal and balsa possess qualities which render thom approximately as efficient as kapok for use in life preservers. However, the sc are neither produced in the United States, nor elsewhere in sufficient quantity of importance.

The estimated average annual world production for the year 1938 was 20,922 tons, of which the Dutch East Indies was the major producer. The United States imported 6,254 tons of kapok in 1938 and 9,379 tons in 1939.

The principal substitutes which can be used in lifesaving appliances are British Indian "Kapok" (an inferior product, not genuine kapok) and reindeer hair.

OPIUM

Opium is the coagulated milky exudate, obtained by incising the unripe capsule of the white poppy (Papaver Sommiferum) an annual herb, indigenous to Asia, but cultivated elsewhere.

Crude opium is prepared in irregular lumps or cakes of dark brown color. There are some twenty alkaloids of opium of which morphine and codeine are the most important. Morphine has been classed as the most important drug in medicine for relieving pain. The drug is permanent and does not deteriorate, but finished preparations become unusuble. However, the content of alkaloidal salts can be recovered with only the losses incident to processing.

The principal world sources are British India, Turkey, Asia and Yugoslavia. Importation is controlled by the Narcotics Division of the Treasury Department by allotting specified quotas to recognized importers. Shipping routes are via the Mediterranean and Atlantic sea lanes. Twothirds of the imports are made in United States bottoms. In 1925 authority was granted the Surgeon General of the Army to accept and store in reserve, stocks of opium or preparations thereof, suitable for medicinal use which have been seized and confiscated by the authorities. At the present time the amounts received in this manner are considered sufficient for all military uses in a national emergency.

OPTICAL GLASS

This is another of the formerly strategic materials recently lowered to the critical list mainly owing to improved conditions and facilities for domestic production and to the accumulation of stockpile reserves.

Practically no optical glass and comparatively few optical instruments were manufactured in the United States prior to the World War. All our needs in military optical glass and instruments were of necessity supplied by importation because Europe, and particularly Germany, had a monopoly on the industry.

Optical instruments are essential equipment for the armed forces for no army or navy is properly equipped unless it is provided with an adequate supply of field glassos, cameras, fire control and range finding instruments, microscopes and lenses.

As the result of the intensive development and experimentation conducted between April 1917 and June 1918, we were at the end of that time manufacturing optical glass in sufficient quantity and quality to effectually meet essential requirements of the Army and Navy. At the end of August in 1918 there were five plants producing optical glass in sufficient output to meet military and naval needs.

Since the World War American manufacturers have had difficulty in successfully competing with foreign producers having sources of cheap skilled labor at their command.

With the advantage now obtained, namely, a 50 percent tariff on blanks and a correspondingly higher import duty on finished products, our domestic industries are furnishing approximately 50 percent or better of present peace time needs. However, conditions have been such in the industry that domestic production is not developed to a point where imports can be excluded.

While the situation of the United States is infinitely better at the present time than at the beginning of 1917, the acquisition of war reserves was considered necessary and the supply problem in any future emergency is thus minimized to the extent reserve stocks on hand will meet requirements until production facilities can be expanded.

IHENOI

Phenol (C6H5OH), sometimes called crystal carbolic acid or hydroxybonzene is a colorless, poisonous solid of characteristic odor. It is a natural coal tar product and is also produced synthetically. Its chief use is in the manufacture of synthetic resins and plastics. Other uses are for proservatives, antiseptics, solvents, dies and pharmaceuticals. An important military use is in the manufacture of certain types of explosives used in quantity by the armed forces.

The increasing development of the plastics industry will require increasing production of phenol. From 1929 to 1933 the annual production of phenol increased from 24 million pounds to 33 million pounds, and in 1937 had doubled to reach an actual production of 65 million pounds. In 1938, the last year for which a full production return has been compiled, the output of phenol decreased to about 445 million pounds, in accordance with general business conditions. The 1939 production was probably close to the 1937 output. Tendency in the industry is to fever the increasing development of facilities for manufacturing synthetic phenol, as against natural phenol. In contrast to conditions at the time of the World War, natural phenol is row considered as a reserve or additional source to supplement the production of synthetic phenol.

For civilian use, phenol is an important base for many phenolic resins, one of the oldest and most widely used of all the synthetic resin or plastic groups. For military purposes practically all of the required phenol is for the manufacture of amonium picrate, although primarily for the manufacture of picric acid. Phenol may be regarded as an intermediate product in the manufacture of picric acid, starting with the essential raw materials of benzene, sulfuric acid, nitric acid, caustic soda (and chlorine for the chlorobenzol process). Whether the picric acid is considered to be made by the phenol process or by the chlorobenzol method would determine whether there actually would be a substantial military requirement for phenol as such. The raw materials which would be required to make picric acid directly by the chlorobenzol process, would include those materials required to make phenol, although phenol itself would not be produced by that method. In this respect, there is actually no military substitute available for picric acid.

In 1938, from a total production of 44,500,000 pounds of phenol, about 35,000,000 pounds of phenolic (phenol-formaldehydo) resins were produced. This group constituted 34% of all coal tar resins and 28% of all synthetic resins produced in 1938.

PLATINUM

Platinum is one of the rare and precious motals which has many uses in industry. It is necessary in the making of sulfuric and nitric acids and is used in many laboratory instruments, electrical contacts and in the dental and jewlery industries. In recent years Alaskan platinum production has shown phenominal increases. Cutput (chiefly placer platinum) has advanced progressively from 11,552 ounces in 1935 to 49,380 ounces in 1938. Chief world producers are Canada, about one half; U.S.S.R., South Africa, U.S. and Colombia in the order named. In the event of a shortage of platinum during an emergency, it is believed that a substantial amount could be obtained in the form of jewolry from the large domestic supply available in this form. A limited amount of platinum is still held in reserve from the supply acquired by the War Department in 1917-18.

TANNING MATERIALS

A tenning material is a substance possessing properties which will convert raw hide into a permanent, non-putrescible material, leather. The principal materials used in tanning are obtained from certain barks, woods; fruits, nuts, etc., which contain tannic acid. Certain metallic salts, such as chromium salts, are sometimes utilized in tanning; however, those are usally used in conjunction with vegetable materials.

The most important natural sources of tanning materials are: Roots conaigne; Woods - chestnut and quebracho; Barks - oak, hemlock, wattle, mangrove, and larch; Leaves - sumac. Of these materials chestnut, oak, hemlock, larch, sumac and coneigne are indigenous to the United States.

In general, tanning extracts are prepared by leeching tannic acid bearing vegetable materials with hot water. The resulting liquor, containing tannin, is condensed, usually in vacuum evaporators, until it contains twenty-five percent tannin. In this condition it is marketed as tannin extract. Some producers continue the evaporation until the extract is reduced to a solid or powder and market it in this form.

Domestic consumption is estimated to be in the neighborhood of 500,000,000 pounds annually of which approximately fifty percent is imported. Imports are due to the preference of tanners for foreign materials, either from quality or price considerations, or a combination of the two. The bulk of imports is derived from South America, mainly from Argentina and Paragucy. These imports are either in the form of commercial extracts or as rew materials to be extracted in this country.

Tannic acid made chemically and other synthetic materials have been placed in the market and tried by the tanning industry; however, none of the has proven as satisfactory as the materials obtained from regetable sources. Continuous research is being conducted toward the development of a satisfactory substitute for the natural product.

TCLUOL

Toluol (CH3C6H5) is a clear transparent liquid with a characteristic aromatic benzol-like cdor and a peculiar, disagreeable taste. That required for military purposes is almost entirely for manufacturing TMT (trinitrotoluene) which is made by nitrating toluol. TMT is the most important shell-filling high explosive used by the armed forces. Toluol has many chemical and other industrial uses such as the manufacture of intermediate chemicals, benzoic acid, pharmaceutical compounds, dye stuffs, rubber cements, stains and enamels, solvent for rubber; lacquers, varnishes, etc. The United States production of toluol was 16 million gallons in 1938.

Toluol may be obtained from bituminous coal and from petroleum. Methods of obtaining nitration-grade toluol from petroleum in commercial quantity have not yet been perfected, although a number of laboratory methods have been successful. Considerable progress may be expected in this respect from our progressive refining industry, particularly when demand warrants.

The peace-time supply of toluol is obtained entirely from by-product coke ovens, toluol production following closely the trend in production of pig iron since most of these ovens are used to produce the coke for making pig iron, and toluol with other coal derivatives are by-products.

While there appears to be a gradual increase in the use of toluol in the chemical industry the peace-time production probably will never be on a scale sufficient for war-time needs and for such needs there must necessarily be an expansion of production capacity. The quantity of toluol used as a raw material in the manufacture of chemicals is of the order of magnitude of 5 million gallons per year in times of good industrial activity. Approximately 15 million gallons per year are used as a solvent, largely in the manufacture of nitrocellulose coatings. This quantity to a large degree could be replaced, if necessary, by high aromatic material obtained from petroleum.

VANADIUM

This element is a metal widely distributed. It is found in commercial quantities in a limited number of operations, principally in four countries of which Peru normally is the most important. In 1938 the United States became the largest world producer. Greatly increased output in the Paradox Valley region in Colorado swelled the domestic total. The ores of vanadium most frequently found are carnotite, patronite, roscolite and vanadinite. United States production of vanadium contained in all types of ores from which it was recovered totaled 1,613,155 pounds in 1938 compared with 1,086,125 pounds in 1937. Despite the large increase in domestic production imports for consumption in this country increased. Imports were 1,384,320 pounds in 1938.

Ferrovanadium is used in the manufacture of steel alloys. The metal is also alloyed with copper. Salts of vanadium are used to color pottery and glass, and also are used as mordants in dyeing. "Red Coke" or crystalline vanadium oxide is used as a catalyst in the preparation of vanadium compounds.

WOOL

Wool is the most important animal fiber, and the health and well-being of both the civilian population and the armed forces depends to a considerable extent upon the supply of this material. In normal times we import a considerable supply from the countries of Europe and from Argentina, Uruguay, Australia, South Africa and Canada. In time of war, both our Army and Navy are clothed in wool. Without imports there would be serious shortages of this material. The principal military uses of wool are in the manufacture of clothing, blankets, felt, horse equipment, bunting and flammel.

During 1939 we imported 98,193,000 pounds of apparel wool while producing 441,897,000 pounds during the same period. One of the main military uses for wool is in the supply of uniforms. These should be available as fast as personnel for the armed forces is mobilized. Woolen cloth must be available before manufacture can start. At least 90 days will be required to convert the necessary raw wool into cloth unless a sufficient supply of uniform cloth is available at the beginning of any emergency. It is very doubtful that such will be the case for a major emergency mobilization. Ways and means are being considered for the purpose of providing a reserve of suitable woolen cloth. Such a reserve could be rotated by current military use from this reserve replacement being made at the time with new cloth purchased from annual appropriations provided for uniform cloth for the regular establishment.

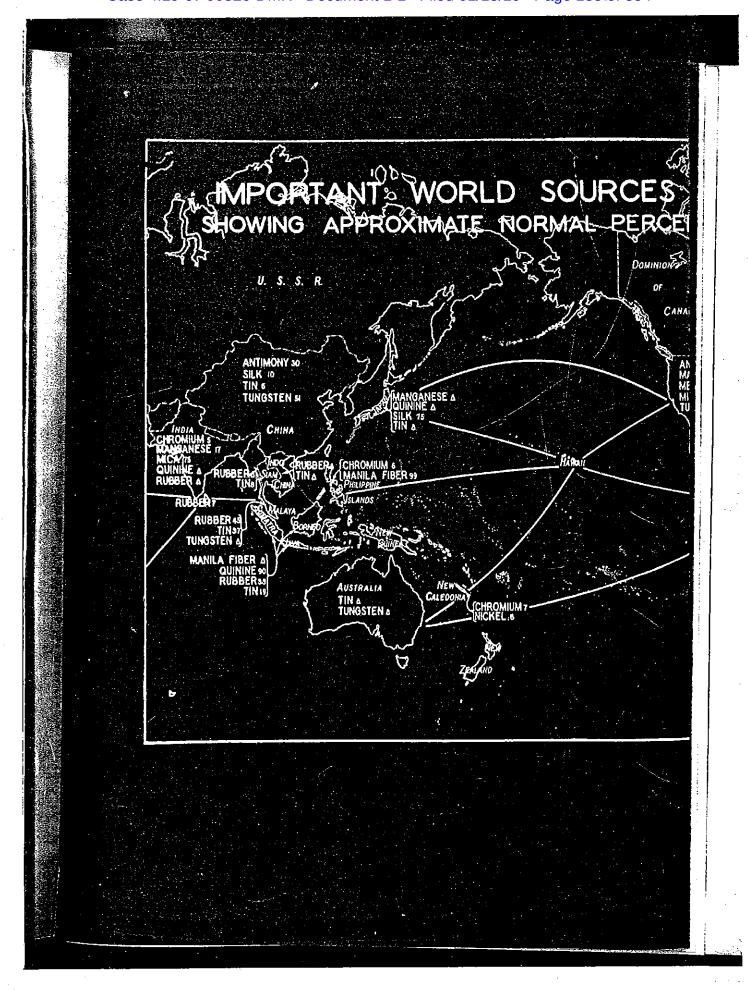
OTHER MATERIALS

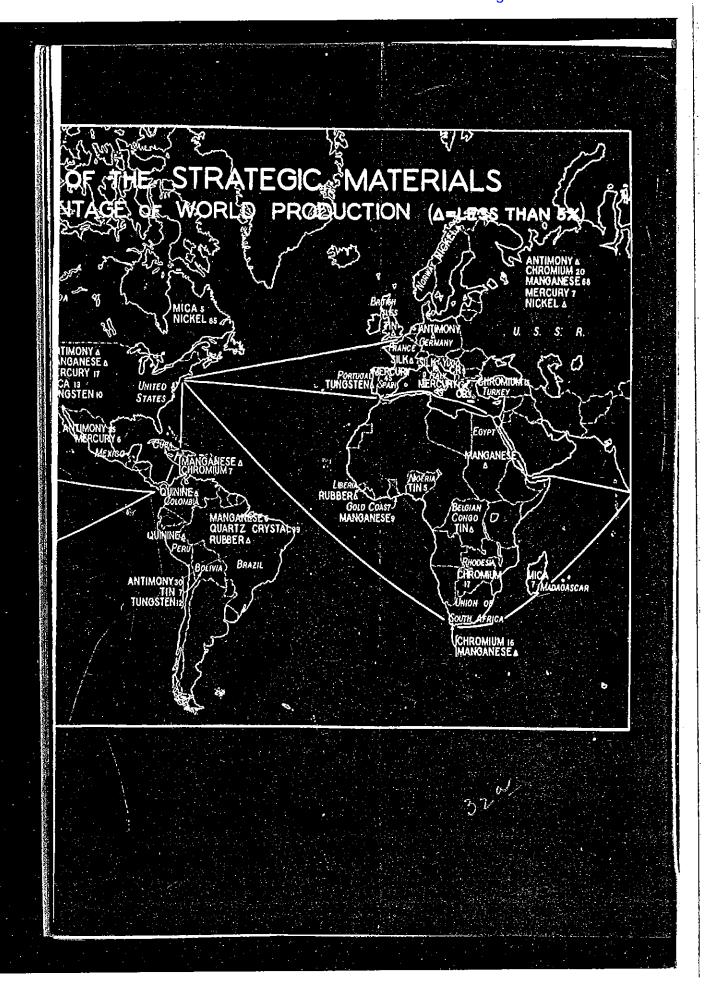
Those materials which were fromerly known as "Materials Neither Strategic nor Critical", or at times loosely called "Essential Materials", together with those which have been dropped from the higher priority lists, are now maintained under observation and surveillance by the Board. Studies, statistics and other data are kept up on these materials. Trends in production and uses are watched and the Board is kept advised and in touch with new conditions and developments in respect to these and such new materials that may come into industrial use.

Two additional metals have been added to this list. They are boryllium and cobalt, naither of which is produced in quantity in the United States. Some of the more important of the materials maintained under continued surveillance are: abrasives, acetone, ethyl alcohol, molybdenium, magnesium, nitrogen compounds, refractories, uranium and zircontum.

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An outline world map showing some of the sources of the strategic materials is attached as a supplement to this pamphlet. The given figures showing percent of world production are approximate and are intended to indicate an average for the past soveral years. Local or world conditions may change percentages materially from year to year under varied circumstances, but as a whole they are considered typical under average conditions. United States mica production as shown includes all mica except scrap and waste.





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Asbestos Substitutes Reference

EXHIBIT 5

TO DECLARATION
OF REAR ADMIRAL BEN J. LEHMAN (RET.)



TITLE 32 - NATIONAL DEFENSE

CHAPTER IX - OFFICE OF PRODUCTION MANAGEMENT

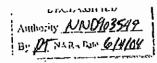
Subohapter B - PRIORITIES DIVISION

Part 1064 - ABBESTOS CONSERVATION ORDER NO. M-79 CURTAILING THE USE OF CERTAIN ROTESERA TO BETYT

WHEREAS, National defense requirements have created shortage of certain types of asbestos for the combined needs of defense, private account, and export; and the supply now is and will be insufficient for defense and essential civilian requirements unless their use in certain products manufactured for civilian use is curtailed; and it is necessary in the public interest, to promote the defense of the United States, to conserve the supply and direct the distribution thereof;

NOW, THERBUOKE, IT IS HEREBY ORDERED THAT:

- 1064.1 (a) Restrictions on the Use of Cortain Types of Asbestos
 - Unless otherwise specifically authorized by the Director of Priorities, after February 1, 1942, no person shall fabricate, spin, or process in any other way assestes fibre imported from South Africa except where such fabrication, spinning or proceeding is necessary to fill Defense Orders as defined in Priorities Regulation No. 1, as amended from time to time.
 - In addition to the above limitation, unless otherwise specifically authorized by the Director of Priorition, after February 1, 1942, no person shall fabricate, apin, or process in any other way:
 - Chrysotile asbestos fibro (Rhodesian Grade C and G-1 and 2 except where such fabricating, sylaning or processing is necessary to fill Defense Orders for:
 - Core rovings to meet Navy specification Number 17-1-20 (INT); (Insulation, electrical, anhyston fibre, treated and untroated, dated October 1, 1941, or as some may be amended.)
 - (b) tapes and cloth which are required by specirloation to be of non-corrous nature.
 - (o) non-forrous lapps.



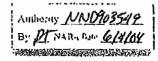


- (ii) Amosite asbestos fibres (Grade B-1 or amosite abbestos having a fibre longth equivalent to that of Grade B-1) except where such fabricating, epinging or processing is Recessary to fill Defence Orders for Amosite woven relational and the for turbine insulation for use on nevel and marritade ships.
- (111) Amostto asbestos fibre (trade 143, 12-37 dr amostto aspestos having a fibre las blands valent to that Of Grade 11-3 or 11-3) excess where such fabricating, spinning or processing is necessary to fill Defense Orders for:
 - (a) Woven Folt blankets and mattresses and fittings for turbine insulation for use on naval and maritime chips;
 - (b) Fire proof board;
 - (d) Sprayed Amonite:
 - (d) Righty-five per cent magnesia pipe covering and blocks;
 - (e) Molded Amosite pipe covering and blocks
 - (f) Flexible amosite pipe insulation
 - (g) Dry pack insulation.
 - (3) In addition to the above limitations unless otherwise specifically authorized by the Director of Priorities, efter February 1, 1962, no person shall install eighty-five per cont magnesia or other high temperature pipe covering except in installations where temperatures of 200° Fabrenheit or over occur.

(b) Reports

- (1) Any person who manufactures or processes asbestos fibre shall, or or before the loth day of February, 1942, and on or before the loth day of each calendar month thereefter, file with the Office of Production Management, Rof: 15-79, all of the information required by Forms PD-251 and PD-252, whichever is applicable.
- (2) In addition, any person who manufactures or processes asbestos fibro shall, when requested, file with the Office of Production Management, Ref: M-79, all the information required by Form PD-253.

Sec. 12. 14. 15







limiting or ourtailing to a greater extent than herein provided, the use of asbestos fibre in the droduction of any article, the limitations of such other order shall be observed.

(4) Orrespondence and Communication. All reports to be flice hereupder, and edit communications concepting this condent, anall, unless otherwise direction, be addressed to

"Office of Production Management Washington, D. G. Ref; M-79"

- (5) Piolations. Any person who wilfully violates any provision of this order, or who by any Act or emission falsifies records to be kept or information to be furnished pursuant to this Order, may be prohibited from receiving further deliveries of any Material subject to allocation, and such further action may be taken as is deemed appropriate, including a recommendation for prosecution under Section 35 A of the Criminal Code [18 U.S.O. 80).
- (6) Treetive Date. This Order shall take effect immediately and shall continue in effect until revoked.

(P.D. Reg. 1, Amended, Dec. 23, 1941, 6F.R. 6600; O.P.M. Reg. 3 Amended, Sept. 2, 1941, 6F.R. 4865; NO. 8629, Jan. 7, 1941, 6 F. R. 191; £.O. 8875, Aug. 28, 1941, 6 F. R. 4483; sec. 2 (a), Public No. 671, 76th Congress, Third Session, as a ended by Public No. 89, 77th Congress, First Session).

fusued this 20th day of January, 1942.

(Signed) J. S. Elowlson

J. S. Kaowlson Acting Directr of Priorities



divide of production management

Division of Priorities

For immediate release January 21, 1942 PM 2262

south African asbestes has been placed under strict control; by the Director of Priorities, who issued Conservation Order M-79 curtailing the used of certain types of asbestes. It takes effect immediately.

The order prohibits the use of South African asbestos after February 1, except to fill defense orders, and permits its use to fill defense orders for specified purposes only.

Unless specifically authorized by the Director of Priorities, after February I, no one shall process any Chrysotile asbestos fiber unless necessary to fill defense orders for core roving or non-ferrous tapes, cloth and lapps.

Prohibitions are also placed by the order on processing Grade B-1 amosite aspectos fiber except to fill defense orders for woven felt blankets and mattresses for marine turbine insulation. Nor shall anyone process Grade B-3 or D-3 amosite aspectos fiber unless to fill defense orders for turbine insulation blankets, fire-proof board, sprayed amosite, welded a cosite pipe covering and blacks, 85 per cent magnesia pipe covering, flexible amosite pipe insulation or dry pack insulation. The order prohibits installing without specific authority any high temperature pipe covering unless used where temperatures of over 200 degrees fabrenheit coour.

The order states that anyone processing asbestes fiber should file all information required on form PD-251 or PD-252 and return it to OPM by February 10, 1942, and by the tenth of every calendar month thereafter. When OPM requests it, any asbestes processor must fill out and return form PD-253

Authority // NO903549





SUPERVISOR OF SHIPBUILDING, U. S. N. ORANGE, TEXAS'

Noa-1512/839(3228)

21 Fabruary 1942

Bureau of Ships'

Subject:

DD569-380; Insulation; substitution of Fiberglas for Amosits Blanksts; Proposal

Reference:

050 ltr. file DD569-580/839(200) dated 14 Rebruary 1942. CSO ltr. file DD569-580/839(200) dated 18 Tebruary 1942.

Englopure: 👵

(Memowith)

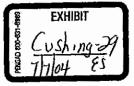
- Copy of reference (a), with its enclosures.
- 1. References (a) and (b) propose substitution of fiberglas for anceste in portable blankets for valves and fittings on high pressure auxiliary steam lines, low pressure auxiliary steam lines, auxiliary exhaust, miscollaneous low pressure, low temperature pipe lines and on the main steam lines, quoting as authority certain 0.P.M. news releases, conservation orders and letters, applies of which are attached to enclosure (1). copies of which are attached to enclosure (A).
- This office has received no instructions prohibiting the use of amosite other than those supplied by the contractor.
- hair felt for hot fresh water systems, in lies of woven The contractor also proposes to substitute asbestos fibre, as set forth in reference (b).
- The Bureau is requested to advise as to what substitutions are now necessary and to advise of the approved substitutes.

HREAL OF SHIP, Nell. B. B. Perry.

Copy to:

Denign Division, Development ---Scotion.

DDR 833- INSUL



Authority *NN0903549*By *DT NAR-LEW 6/4/04*

Mary Mary Mary State

PTB: BF 3/10/43

, DD44601ase/855-1 (614)

WA AR WALL

MARTO 1943

Print The Chief of the Bureau of Thirty Crange, Texas.

SUBJECT: Dietroyers - DDS75-560 - Lagging of Descrating Food Tanks and Evaporators.

1. Confirming information furnished Commander H.G. Chalkley. USN, Surang his visit to the Nurses of Ships on Narch 10, 1845, the use of couton dook legging in lies of abbastos picth for descrating feet tanks and evaporators is hereby butherisen.

2. The addition duck should be in encondance with Type B Bureau of Enira Specification Sepa(INV). After installation, a two-doub fire retardant treatment shall be applied by brushing or spraying using one of the following mixtures:

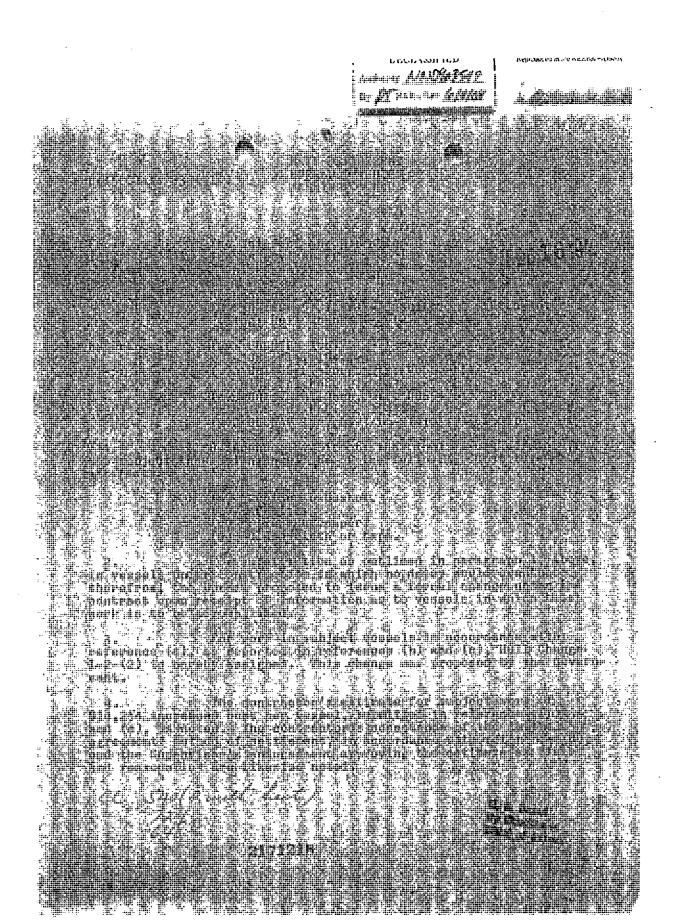
(a) Boric Acid (HaBCs) - - - - - - - - - BO percent Section alginate - - - - - - - 1 percent value of algebra of the first retardant paint, Bureau of Shipe Specification 52722(INT) as amended, to prevent moisture from leaching out the fire retardant mixture.

Copy to: Odr. H.G. Chalkley 648 646

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as grandy.



AN

ADMINISTRATIVE HISTORY

OF

THE BUREAU OF SHIPS

DURING WORLD WAR II

VOLUME IV

FIRST DRAFT NARRATIVE
PREPARED BY THE HISTORICAL SECTION
BUREAU OF SHIPS

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linoleum, marine plywood for emergency repairs and for a large number of applications where fire and water are destructive elements.

Shark Repellent Kit A material for the protection of personnel from attack by sharks has been developed. A water soluble material and a chemical have been combined and enclosed in a unit designed to attach to the individual users life jacket. The unit has a quick opening device for immediate use.

Salt Water Soap and Laundry Methods Conservation of fresh water aboard all ships is of major importance. As a large amount of water is required for use by the ship's laundry, a salt water soap and instructions for its use in laundry practice has been developed which sayes from 5,000 to 7,000 gallons of fresh water per day on a cruiser class ship.

Mess gear of High Impact Strength Glass and china tableware suffer considerable damage from shock due to gun firing. Tableware made of glass and of plastic material has been developed which reduces this breakage to a minimum under battle conditions of today.

Asbestos-Glass Insulation Lagging Cloth A shortage of asbestos fiber and facilities for weaving cloth necessitated development of an alternate. By twisting an asbestos yarn around a glass yarn, a glass-asbestos yarn was produced which actually produced a better and more workable cloth of about 1/2 the weight and which used about 1/2 the asbestos used in the Standard asbestos cloth.

Resin-Bonded Bearing Strips. Lignum Vitae has been the standard bearing material for stern tubes for years. A natural rubber bearing strip was found to be more satisfactory than wood, but the shortage of natural rubber necessitated an alternate for rubber bearing strips or going back to wood. The resin bonded materials were developed and have given satisfaction.

Affidavit of Samuel A. Forman, M.D.

EXHIBIT 6

TO DECLARATION
OF REAR ADMIRAL BEN J. LEHMAN (RET.)

AFFIDAVIT OF SAMUEL A. FORMAN, M.D.

COMMONWEALTH OF MASSACHUSETTS)	
)	ss.
COUNTY OF MIDDELSEX)	

- I, Samuel A. Forman, M.D., being under penalty of perjury, declare and say:
- 1. 1 am a medical doctor specializing in preventive medicine and occupational medicine. 1 received a B.A. degree from the University of Pennsylvania majoring in history and biology, graduating *magna cum laude* in 1973. I attended Cornell Medical School, graduating with an M.D. degree in 1977. I also received a degree in public health in 1977 as a result of a joint program with the Harvard School of Public Health. Thereafter, I became board certified in occupational medicine after attending a residency at the Harvard School of Public Health.
- 2. In 1977, I went on active duty in the United States Navy, and I performed my internship at the Bethesda Naval Medical Center in Bethesda, Maryland during 1977 and 1978. I remained on active duty in the Navy until 1983. Thereafter, I continued to work for the Navy as a civilian employee until 1986. My qualifications and credentials are more fully described in my curriculum vitae, a copy of which is attached as Exhibit A.
- 3. While on active duty in the Navy, I ran an occupational health clinic at the Naval Weapons Station at Seal Beach, California, and assisted in the medical programs at the Long Beach Naval Shipyard. Among other responsibilities, I assisted in the asbestos medical surveillance program for over 2,000 employees. At any one time, I was following 200 cases of asbestos disease.

- 4. In 1982, I was assigned to the Naval Environmental Health Center at Norfolk, Virginia. While stationed there, I designed occupational medicine programs with regard to Navy-specific occupational diseases, performed health hazard evaluations, inspected the occupational health programs of government facilities as part of the NAVOSH program, carried out epidemiologic studies, and trained Navy doctors and nurses in occupational medicine.
- 5. In 1983, a Navy JAG officer for the Naval Medical Command requested that I become part of a team to locate, digest and organize government documents for production in asbestos litigation. Over the next year and a half, I investigated the Navy's historical handling and knowledge of various industrial hygiene issues, including asbestos disease.
- 6. In 1985, pursuant to Navy orders, a copy of which is attached as Exhibit B, I completed my review of Navy knowledge and practice in industrial hygiene, including its awareness of and response to health hazards of asbestos, as a formal assignment. My search for documents took me to the National Archives, other warehouses and storage facilities for record of the Navy's Bureau of Medicine and Surgery. I was given full security clearances for and access to these facilities. I also conducted research at private facilities such as Harvard University's Countway Library of Rare Books.
- Following my research, I published an article entitled "U.S. Navy Shipyard
 Occupational Medicine Through World War II" in the Journal of Occupational Medicine,
 Vol. 30, No. 1 (Jan. 1988). A copy of that article is attached as Exhibit C.

- 8. On the basis of my research for and regarding the Navy, I concluded that the Navy's occupational health programs have paralleled, and at times led, the development of occupational medicine and industrial hygiene with respect to asbestos-related disease. From my review of countless Navy documents and my studies while employed by the Navy, I acquired extensive knowledge as to the state of Navy knowledge and awareness regarding the hazards of asbestos. Based upon that review, I conclude that the Navy's knowledge in these areas was quite complete when compared to available knowledge at the time, and that by 1940 the Navy was a leader in the field of occupational medicine relating to, among other things, asbestos exposure.
- 9. Based upon my review, I am aware that the Navy knew by 1922 that asbestos exposure was a potential health hazard, and that its knowledge and awareness continued to develop throughout the following decades. This development is evidenced by, among numerous other documents, the following:
 - a. Louis I. Dublin, Ph.D., et al., "Instructions to Medical Officers," U.S. Naval Med. Bull. 17:883, 885-86, 897-899 (1922) (listing "Asbestos workers" among "Hazardous Occupations"). The document, a copy of which is attached as Exhibit D, originated from the Division of Preventive Medicine of the United States Navy Medical Corps.
 - b. Handbook of the U.S. Navy Hospital Corps (1939) (workplace inspection checklist includes: "What precautions are exercised to prevent damage from pipe covering compounds? What asbestos hazards exist?"). A copy of this document is attached as Exhibit E.

- c. Annual Report of the Surgeon General of the United States Navy (1939) (describing asbestosis as "an industrial disease of the lungs incident to inhalation of asbestos dust for prolonged periods" and noting risk "continued exposure to present occupational conditions"). A copy of this document is attached as Exhibit F.
- d. Ernest W. Brown, M.D., "Industrial Hygiene and the Navy in National Defense," *War Medicine*, vol. 1, 3, 11-12 (1941) (listing asbestosis among "chief occupational health hazards in navy [ship]yards" to "workers engaged in the manufacture of asbestos insulating covers" and describing appropriate measures for control of asbestos exposure). The author of the document, a copy of which is attached as Exhibit G, was a Captain in the Navy Medical Corps.
- e. U.S. Navy Department, U.S. Maritime Commission, "Minimum Requirements for Safety and Industrial Health in Contract Shipyards" (Jan. 20, 1943) (describing sources of asbestos exposure and listing appropriate precautions). The document, a copy of which is attached as Exhibit H, was approved by the Navy, and an introduction was co-signed by Secretary of the Navy Frank Knox.
- f. U.S. Navy Safety Review, Vol. 4, No. 1 (Jan. 1947) ("[e]xposure to asbestos dust is a health hazard which cannot be overlooked in maintaining an effective industrial hygiene program"). A copy of this document is attached as Exhibit I.

10. All of my opinions set forth herein are held to a reasonable degree of scientific certainty.

Samuel A. Forman, M.D.

Sworn to and subscribed before me this 23rd day of June, 2005.

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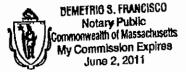


EXHIBIT A

SAMUEL A. FORMAN, M.D.

One Research Drive Suite 301B Westboro, MA 01581

(508)-948-6010

(508)-948-6095 fax

(617)-945-9645 home

sforman@statusone.com email

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2005 - present

Consultant, Cambridge, MA

Expertise in population-based case management, disease management, predictive modeling, proposal writing, professional publications, toxicology, and general management of health-related enterprises.

1997 - 2004

StatusOne, Westboro, MA

Senior VP, Chief Medical Officer and Co-founder

Services, consulting, software and Internet services helping risk-bearing health organizations care for their frailest members. Develop predictive models, organize and execute medical management services, formulate client relationships, contribute professional papers and monographs, evaluate competitive offerings, and represent StatusOne to medical audiences. A Principal Investigator of the CMS Medicare Coordinated Care Demonstration with Washington University Physician Network. Led proposal writing for American Healthways CMS contracts.

StatusOne is an American Healthways Company since September 2003.

1995 - 1997

Blue Cross and Blue Shield of Massachusetts, Boston, MA

Medical Director, Clinical Improvement

General management responsibilities for pharmacy, home care, and several health care joint ventures. Lead clinical improvement projects involving all specialties in a 100,000 member integrated delivery system.

Provide general internal medicine care.

1986 - 1993

Procter & Gamble Company, Cincinnati, Ohio

Consultant, later Associate Director, Occupational Health Manage U.S. self-insured health programs for 30,000 employees comprising the detergent, paper, pharmaceutical and food divisions. Build epidemiologic function, design, contract for, and execute studies. Model programs reapplied worldwide. Manage 5 physicians, 3 nurses plus support staff. Deliver clinical services to technical center staff and

senior management. Direct 70 site clinics and 60 part-time physicians.

1984 - 1986

Coastal Emergency Services, Inc., Virginia

Clinical services in emergency and general internal medicine.

MILITARY SERVICE

1982 - 1986

Navy Environmental Health Center

Norfolk, Virginia

Lieutenant Commander, later GS-14 Consultant in the occupational

medicine division

Set standards, review complicated disability claims, apply statistical methods to health care delivery, inspect clinics for QA and UR, lecture on professional topics, perform epidemiologic studies and health hazard evaluations, manage development and implementation of clinical information management system.

Samuel A. Forman, MD	Curriculum vitae
1980 - 1982	Naval Regional Medical Center Long Beach, California Occupational Medicine Service; Head, Seal Beach Naval Weapons Station clinic. General and occupational clinical and preventive programs for personnel at conventional, nuclear capable, and special weapons industrial base. Manage asbestos medical surveillance program at Long Beach Naval Shipyard.
1978 - 1979	USS St. Louis (LKA-116) and USS Duluth (LPD-6) Based at San Diego, California Ship's physician Western Pacific operations, general office and emergency practice. Vietnamese refugee assistance.
EDUCATION	· · · · · · · · · · · · · · · · · · ·
1993 - 1995	Yale University School of Management, New Haven, Connecticut Master of Business Administration Concentration in Organizational Behavior and Operations. Total quality management, health administration, finance, marketing, accounting and statistics. Coordinator of Yale/Columbia Graduate School of Business Negotiation Colloquium.
1979 - 1980	Harvard University School of Public Health, Boston, Massachusetts Master of Sclence Residency in Occupational Medicine
1977 - 1978	National Naval Medical Center, Bethesda, Maryland Internal medicine rotating internship Assistant senior intern.
1976 - 1977	Harvard University School of Public Health, Boston, Massachusetts Master of Public Health
1973 - 1977	Cornell University Medical College, New York, New York Doctor of Medicine MD-MPH program.
1970 - 1973	University of Pennsylvania, Philadelphia, Pennsylvania Bachelor of Arts <u>magna cum laude</u> Majors in biology and history.

Samuel A. Forman, MD

Curriculum vitae

PUBLICATIONS

Forman, S: "Targeting the Highest-Risk Population to Complement Disease Management" Health Management Technology 49-50, Jul 2004.

Forman SA, Lynch JP: "High Risk Geriatric Population Medical Management" (abstract) J Am Geriatric Assoc S111, May 2001.

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Lynch J, Forman SA, Graff S, Gunby M: "High Risk Population Health Management: Achieving Improved Patient Outcomes and Near-Term Financial Results" <u>Am J Managed Care</u> 6(7):781-791, 2000.

Forman S. "Medicare Risk Plans and Disease Management Vendors" Disease Management and Health Outcomes 7(1):1-4, 2000.

(Book) Forman SA, Kelliher M: Status One: Breakthroughs in High Risk Population Health Management, Medical Management Series, Jossey Bass Publishers, San Francisco 1999.

Borron SW, Forman SA, Lockey JE, Lemasters GK, Yee LM: "Dust and Mirrors or Corruption is in the Eye of the Beholder," <u>American Journal of Industrial Medicine</u> 34:409-410, 1998.

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Borron SW, Forman, SA, Lockey JE, Lemasters GK, Yee LM: "An Unpublished 1932 Study of Asbestosis Among Manufacturing Workers: Reconstruction of the Cohort and Original Findings," <u>American Journal of Industrial Medicine</u> 31: 324-334, 1997.

Ducatman AM, Forman SA, Teichman R, Gleason RE: "Occupational Physician Staffing in Large U.S. Corporations," <u>Journal of Occupational Medicine</u> 33(5): 613-618, 1991.

Forman SA: "A Review of Propylene Glycol Dinitarate Toxicology and Epidemiology," <u>Toxicology Letters</u> 43: 51-65, 1988.

Ducatman AM, Yang WM, Forman SA: "B-Readers and Asbestos Medical Surveillance," <u>Journal of Occupational Medicine</u> <u>30(8)</u>: 644-647, 1988.

Forman SA: "Sublethal Exposure to Microwave Radiation (letter)," Journal of the American Medical Association 259(1): 3129, 1988.

Forman SA: "U.S. Navy Occupational Medicine Through World War Two," <u>Journal of Occupational Medicine</u> 31(1): 28-32, 1988.

Forman SA, Potter HG, Helmkamp JC: "Retrieval Methodology for Inpatient Records," <u>Military Medicine</u> <u>152</u>: 190-193, 1987.

Samuel A. Forman, MD

Curriculum vitae

Forman SA, Helmkemp JC, Bone CM: "Cardiac Morbidity Associated With Occupational Exposure to 1,2 Propylene Glycol Dinitrate," <u>Journal of Occupational Medicine</u> 25(5): 445-450, 1987.

Forman SA: "Radiation-Induced Breast Cancer (letter)," <u>Archives of Internal Medicine</u> 145: 574-575, 1985.

Helmkamp JC, Forman SA, McNally MS, Bone CM: "Morbidity and Mortality Associated With Exposure to Otto Fuel II in the U.S. Navy 1966-1979," Naval Health Research Center Report 84-35, 1984.

Forman SA: "Industrial Hygiene Records - Will They Be Useful and IBM's Experience With ECHOES," <u>American Conference of Governmental Industrial Hygienists Journal</u> 6: 41,75, 1983.

Forman SA, Holmes CK, McManamon TV, Wedding C: "Psychological Symptoms and Intermittent Hypertension Following Acute Microwave Exposure," <u>Journal of Occupational Medicine</u> 24(11): 932-934, 1982.

Forman SA, Castell DO: "Food Intolerance and Peptic Ulcer Disease," Gastroenterology 75(1): 162, 1978.

ACADEMIC AFFILIATIONS

Organizer of Healthcare 2005, Yale School of Management, Feb 2005.

University of Cincinnati, adjunct associate professor of medicine, 1989 to 2003.

University of Cincinnati, chairman of the post-graduate Medicine Advisory Committee, 1988-1990.

Eastern Virginia Medical College, adjunct assistant professor of family practice and community medicine, 1983-1985.

LICENSES and CERTIFICATIONS

Licensed to practice medicine in Massachusetts, Virginia, California and Ohio.

Board certified in Occupational Medicine.

MEMBERSHIPS

Member, American College of Physician Executives, American Medical Association, and Massachusetts Medical Society Fellow, American College of Occupational and Environmental Medicine.

INTERESTS

General management within health related businesses. Innovations, strategy and leadership in the cost effective delivery of medical care and the maintenance of high patient functional status. Enjoy travel, rowing, writing fiction, numberatics, history, and antiques. Company surgeon of the Lexington Minutemen historical reenactors.

EXHIBIT B

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CDR J.P. WILKINSON, MSC, USN, 4-4657

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EXHIBIT C

This material may be protected by copyright law (Title 17 U.S. Code).

US Navy Shipyard Occupational Medicine through World War II

Samuel A. Forman, MD, MPH

For more than 60 years the US Navy has maintained occupational health programs for its civil service workers in shipperis, aremais, and alruralt repair facilities. The early history of the organization, people, and professional activities dedicated to the health of this large federal industrial workforce is aramissed.

Early afforts were stimulated by increasingly complex naval technology and worker compensation law. During World War II training, clinical, and preventive programs were pursued vigarously. Navy compational health paralleled and at times led the development of competional medicine and industrial hygiene in America.

Licine encompasses health aspects of one of the largest federal industries during peace and war. It is a history paralleling, and at times leading, the development of compation health in this country. This paper utilizes mostly primary sources to trace the origins of Navy occupational medicine through 1945, ending with the Second World War demobilization. The latter period marked a pause in professional progress and later activities are both within living memory and have been discussed elsewhere.

In the late 19th century new seagoing technologies multiplied naval health hazards. Introduction of iron warships made naval construction and repair a diverse, heavy industry (Fig. 1). An array of cooupational activities that were essentially unknown in the days of wooden ships and sall propulsion characterized work supporting the new fleets. In addition to the age-old injury problems of falls from scaffolding and rigging; there were hazards incident to riveting, welding, painting with rust-inhibiting lead paints, chipping, sandblasting, metal casting, ordunose loading, and electric wiring.

Several occupational health problems were recognized by 1900, but little preventive action was taken. For example, desiness among boilermakers and gunners mates was an accepted fact of life. Toxic conditions gained attention only if they affilicted many people and compromised a ship crew's readiness for duty. Greater preventive modical interest was shown in the prevalent and pressing problems of sailors such as infectious discusses and minimally adequate shipboard ventilation.

The health of civil service workman in many shippards and arsenals received little attention. Injuries might be treated in the station military dispensary, at the disjurities of the commanding officer. There was no provision for disability benefits if a prolonged health problem cocurred.

Worker compensation laws enacted in the first 2 decades of the 20th century placed financial responsibility for occupational injuries on the employer. The first comprehensive compensation plan for federal employees became law in 1916. Unlike state plans, which were most often administered by insurance companies, benefits for Navy civil service workers were administered directly by the government through its Employee Compensation Commission. The aggregate bill for bend efits was served to the Secretary of the Navy each year! Pinancial losses resulting from civil service employed worker compansation were deducted from the Navy budget. As dollar losses became a highly visible and painful reminder of occupational health issues, cocupational health, previously an obscure topic, gained s measure of recognition.

The First World War witnessed a large increase of industrial activities in shippards and supporting above stations. More Navy physicians recognized that cook

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From the Occupational Medicine Division, Navy Environmental Health Caster, Norfolk, VA 93511. Present address: Realth and Safety Division, The Prester and Gemble Company, Ivorydale Technical Center, Chednatti, OH 48917.

The views presented are those of the author and do not represent the official position of the Department of the Navy or the Naval Medical Command.

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US Navy Occupational Madicine/Forms

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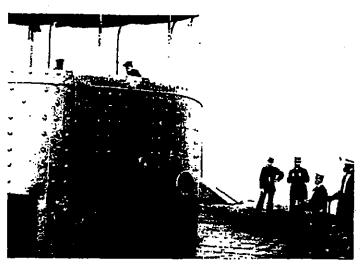


Fig. 1. Introduction of Iron warships made neval construction and repair a diverse, heavy industry. The crew of USS Monitor observes its riveted iron turnet and rifled steel cannon following the battle with CSS Virginia in 1882, (Courtesy of US Navy Imaging Command, Washington, DC)

pational health services, then consisting mostly of injury care and employment physical examinations, helped keep the work force on the job. 7.8 Although a few locations developed rudimentary occupational clinics, such interest remained the exception.

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Poor compensation experience, as measured by hoth dollars and lost-time injuries, attracted critical comment from the Federal worker compensation program, whose administrator requested inspections of several Navy shipyards in 1917. Detection and correction of toxic industrial hazards initially received a secondary priority to control of numerous and evident safety hazards. Here so, Navy workers were mained by exposed belt drives long after these dangerous mechanisms were safely enclosed in most private industrial establishments.

As WW I progressed, ineffective occupational health programs came to be recognized as impairing the war affort through needless waste of industrial manpower. Although plans for better services within both the Navy and the private sector took shape in mid-1918, 11,12 the end of the war halted their implementation. Navy government industries were rapidly demobilized to peacetime levels.

One legacy of the war years remained to sustain and advance the nucleus of a full-time Navy occupational medicine function. Oriticism by the Employee Compensation Commission and the Secretary of the Navy for poor compensation cost experience led to ongoing programs in both safety and industrial hygians. In 1917, a safety engineer was appointed and others were later assigned to each shippard. In 1928, full-time medical officers were assigned to each safety officer in compational health matters. At the Navy Eureau of Medicine and Surgery, occupational medicine responsibilities were added to its preventive medicine division. From the beginning, organized occupational health was

focused on the civil servants laboring in Navy shipyards. An early initiative came from Dr Robert Jones at the

Navy Bureau of Medicine and Surgery, who recommended periodic physical examinations for a variety of occupations based on their potential toxic exposures. Of interest, screening of sabestos workers for pulmonary diseases was recommended along with examinations for more widely recognized conditions such as silicosis and lead poisoning. "Unfortunately, resources to provide such services were most offen not obtainable from each base's commanding officer.

Nevertheless, a new vigor and purpose came to occupational health programs. Some performed excellent services for the Nevy and workers at their locations. Boston Naval Shipyard Ulinio sent one of its doctors in 1993 to complete postgraduate occupational medicine studies at the Harvard School of Public Health. In 1995, Dr Ernest Brown completed a survey of lead poisoning in Philadelphia Naval Shipyard walders. He exhibited a disciplined and wide-ranging approach including clinical assessment, evaluation of work practices, and environmental sampling. Not content with passively describing the workplace, he devised hazard control strategies including altered work practices and adaptations of respiratory protection equipment. 16

Other bases lagged in their cocupational health and safety efforts. In some instances the cocupational physician might retreat into the clinic to be exclusively cocupied with acute injury treatment. Military base safety officers were often untrained and ineffective. 17

There was a growing appreciation for the importance of job-specific pre-employment physical examinations. ¹⁶ Unlike active duty saliors who had to pass an induction "pre-employment" examination before donning the uniform, civilian employees were examined only when required by the base commanding officer and under general guidelines of the Civil Service Commission, Pre-

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employment examinations comprised a significant enterpise for the 1920s work force numbering almost 60,000

Uniformed Navy personnel continued to receive variable occupational medical services. Almost from their introduction into the service, hazards inherent in aircraft and submarine environments gained the attention of dedicated medical professionals. When other occupational conditions were addressed at all, it was often in the context of a health problem severe enough to immediately impair health and fitness for duty. Examples include salilors' noise-induced hearing loss, lake lead encephalopathy, introglycerin poisoning, and painting solvent intoxication. These endeavors remained distinct from organized Navy occupational medicine, which remained oriented toward civil service worker health.

By the end of the 1930s, a small, full-time group of occupational health physicians had been in place for a number of years. A few physicians, like Dr Ernest Brown, achieved superior competence in the practice of occupational medicine. Although all programs had not heen uniformly successful, and adequate resources were hard to come by, the organization was poised to meet requirements arising from an anticipated industrial mobilization.

As war clouds gathered, Rear Admiral Charles Stephenson (Fig. 8), in charge of preventive medicine at the Navy Bureau of Medicine and Surgery, faced the challenge of hullding upon the modest Navy occupational health program of the previous decades. The general approach to maritime industries was already being discussed. Navy yards, arsenals, and air stations would gear up to produce and maintain specific, often complex ships and weapons, yet remain floxible enough to accommodate anticipated battle repairs. Using an organizational model revived from WW I, the US Maritime Commission would give contracts to private compunies to mass-produce vessels such as "Liberty" transport ships and landing asseult craft.

The next challenge was providing skilled manpower at a time when large industries monopolized the few qualified people. The most viable answer was a timely proposal from Philip Drinker at the Harvard School of Public Health for training military officers in short courses of instruction. Professor Drinker was already a well-known authority who had invented the "iron lung" mechanical respirator in 1999. devised means to quantify and control industrial dust exposures, and pioneered concepts in permissible exposure limits. Stephenson sent two bright Navy physicians, Drs Otto Burton and Howard Karl Sessions, to complete the occupational medicine master's degree program at Harvard during 1941.

Concurrently, Stephenson worked to empower the organization to tackle expanded responsibilities without external interference. An unsolicited attempt by the Public Health Service to perform compational health inspections of naval facilities was promptly declined. ***

reportedly with the support of President Rocsevelt. **

For industrial activities all occupational health services would be provided from within the Navy.

The new Navy program was adopted and announced with some fanfare (Fig. 3). It included pre-employment examinations, injury care, medical surveillance for known occupational health hazards, and industrial hygiene field surveys. Shipyards developed well-staffed occupational health services manned by professionals in uniform and comprising both olinical and industrial hygiene divisions. These were located at the largest industrial facility in each of the 12 naval districts and lent occupational health support to smaller naval facilities in their areas.

Key manpower was to be provided by training unformed officers in short courses as proposed by Philip Drinker. By the war's close some 111 naval officers had completed the courses, most classes having convened at Harvard and a few at Columbia. Schools of Public Health. Of interest, 29 officers, unlike their chasamates, were non-physicians, a group comprising one of the first formal training programs in modern industrial hygiens. Both physicians and bygisnists shared formal lectures but separated during laboratory periods. Doctors attended industrial clinics and hygienists learned sampling strategies, laboratory assays, and hazard-control techniques. An additional 16 hygienists were taken on active duty, having already gained experience in industrial settings.

The role of cocupational health within the US Mari-



Fig. 2. Rear Adminst Charles S. Stephenson (1887–1985) was instrumental in designing and implementing the Navy occupational medicine program during WW II. (Courtesy of the Navel Medical Command, Washington, OC)

US Navy Occupational Medicine/Forman





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Fig. 3. As Elustrated by contemporary poster art, injury care, physical examination, and protective measures were aspects of the Navy warding occupational medicine program. (Courtesy of the National Archives, Washington, DC)

time Commission evolved in 1948. Philip Drinker was also active here. As chief medical consultant he was instrumental in the adoption of joint Navy - Maritime Commission health and safety standards for contract shippards. The health guidelines were based largely on the experience of a traveling health team's findings in the course of inspections of representative shippards. In August 1948, the team was professionally staffed with loaned Navy physicians and industrial hygienists.

Contract shippards that the Maritime Commission supervised provided their own injury treatment measures, whereas industrial hygiens inspection services were provided by government personnel. Qualified people were unavailable to institute the inspection services, so arrangements were made with the Navy to provide uniformed men. They were assigned to four regional offices one physician and two industrial hygienists each to Philadelphia and Oakland and one industrial hygienist each to the offices in New Orleans and Chicago.

In contrast to the program of the Maritime Commiston, the Navy occupational health program had no traveling consultants or inspectors. When occupational health issues of general importance to naval industries arose or when special expertise beyond that in each naval district was required, Drinker's team was consulted. Health questions related to welding 45.44 and asbestos issulation work were the subjects of health haxard evaluations by the Maritime Commission during the war.

Asbestos workplace field investigations have had longterm significance. There had been anecdotal case reports implicating asbestos in lung disease from naval shippard clinics. 6-47 A Maritime Commission field survey at a civilian contract shippard revealed two laggers with x-ray evidence of asbestosis among a small number of tradeemen. 46 Navy compational health officers were conserved anough about such findings that they cooperated with Philip Drinker in his proposal to do a largescale pulmonary survey of asbestos insulation workers and obtained permission to extend the survey to two naval shippards.⁴⁶

Only three asbestosis cases were identified among more than 1,000 asbestos insulation workers who participated in the chest x-ray screenings at four ship-yards. Disease prevalence appeared low, an artifact caused by the inadvertent dilution of the at-risk population with briofly exposed persons. Low asbestosis prevalence in shippard workers was interpreted in the professional community to mean that asbestos lagging operations were relatively free of pneumoconiosis risk. Although these early studies concerning asbestos hazards did not stand the test of time, they represented superior occupational besith methods of their era.

Industrial health activities were rapidly demobilized by 1946. The Maritime Commission health consultation office was disbanded. Although few physicians and industrial hygienists remained in active Navy service, those who gained experience during the war, like Drs. Otto Burton and Howard Karl Sessions and industrial byglenists Sidney Goren and George Johnson, led Navy programs through the 1950s.

Navy occupational health had played an important role in wartime industries. Its organization included superior practitioners. It broke new ground for industrial hygiene as a distinct health-related profession. It confidently tackled known health issues and actively pursued newer ones. Members of this team, veterans of occupational medicine and industrial hygiene services in Navy shippards, arsenals, air stations, and the Maritime Commission, shared a common experience. Taking their know-how with them into private industry, government, and academia, Navy programs left a distinctive mark on American occupational health for many years.

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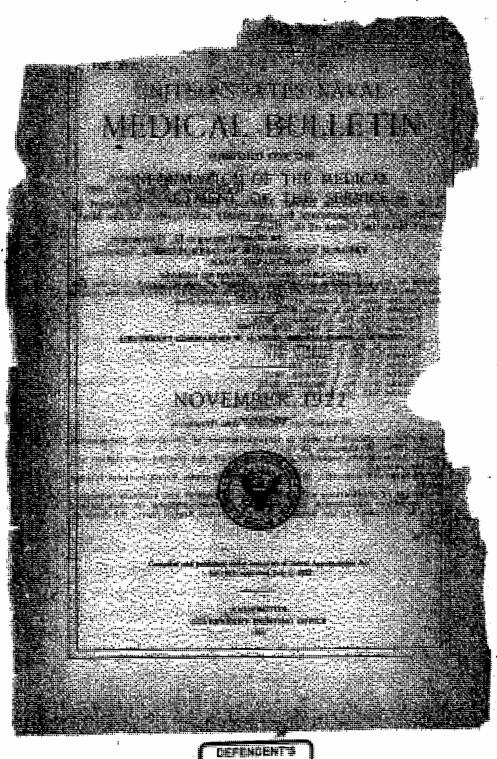
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THE DIVISION OF PREVENTIVE MEDICINE.

Lieut. Commander R. F. Jounn, Medical Corps, United States Havy, in charge.

Notes on Preventive Medicine for Medical Officers, United States Navy.

INSTRUCTIONS TO MEDICAL OFFICERS.

OCCUPATION MATARDS AND DIAGNOSTIC SIGNS: A GUIDE TO IMPAIRMENTS TO BE LOOKED FOR IN MARARDOUS OCCUPATIONS.

By Louin f. Dumin, Ph. D., Statistician Moltopolitan falls Insurance Ca., and Printip Lincopy.

INTRODUCTION.

Many occupations have injurious effects on the physical condition of those engaged in them. The health of those who work with the poisons, such as lead, arsenic, mercury, pieric sold, etc., or those who are exposed for long periods to dust, heat, humidity, or to the infectious materials, etc., may be impaired seriously as the result of their work. The occupation is now recognized as of the very first importance as a factor in the causation of disability and even of death. Doctor Edsail has shown that in his clinic at the Mussachusetts General Hospital many of the conditions for which treatment is sought by men of working ages are the effects of occupations. Other industrial clinics are reporting similar results. With their attention directed to occupation as a possible factor, industrial physiclans are able to disgress a great many obscure cases which proviously had puzzled even the most competent clinicians. In this way they discover a great many more cases of disease of occupational origin than had before been thought possible. Thus, in 1917 about 150 cases of lead poisoning were discovered at the Massachusetts General Hospital, which are more than were recorded by this clinic during the five-year period prior to the adoption of the more intensive methods of study. It is generally recognized that patients come to physicians with pains and complaints of an indefinite char-

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^{*} See Monthly Labor Series of the U. S. Burrau of Labor Statistics, December, 1917, p. 100-186.

acter, and it is only when consideration is given to the occupation and its possible effects that many of these cases are cleared up.

The medical examiner should, therefore, he very careful to see if any of the usual diagnostic signs of polsoning, dust; heat, or other hazards which are known to be inherent in occupations are in ovidence among their patients where no other explanation of the case is readily available. In the case of those exposed to lead, such as employees of storage-battery plants, white-lead workers, paint mixers, painters, etc., the blue line on the gum, the pale, sallow appearance, and the trembling lingers are significant as indications of chronic lead polsoning, and the physician should look for these signs. Physical symptoms and conditions which ordinarily might be passed by in this way become very important if they point to

the possible effect of the occupation.

This article has been prepared to aid physicians in general practice, industrial hygienists, safety engineers, and others who come into close professional contact with those who are engaged in inchestrial processes. Nine major linastris of employment are listed, namely, abnormalities of temperatura; compressed air: dampress; dust; extreme light; infections; poor illumination; repeated motion, pressure, or shock; and the poissons. A separate section is devoted to a disensation of skip teritants. Long exposure to any of these will usually leave definite physical signs which the medical examiner can discover if he will look for them. To aid him in detecting the hazards and their effects on the worker, two lists are presented. The first consists of the more common hisardons occupations, arranged alphabetically; the second consists of hazards, together with their effects or symptoms, as well as the occupations affected. After each occupation in the first list is a reference in code to the particular hazard in the second list. The capital letters after each occupation, "A," "B," "C," etc., refer to the general hazard. The Arabic numerals signify the particular hazard, as "Di," inorganic dust: "12," organic dust.

The following example will show how this guide may be of value to the general practitioner: A man, who works in a garage, suffering from continuous headaches, visits his physician. The latter can find no cause for the patient's illness. The patient shows no signs of disease other than the subjective symptoms which he describes. Perhaps the physician will recommend an examination of the subject's eyes, ears, and sinused, which will prove negative. A passing dinguisis such as this becomes very simple when the eccupation is agreetained and this guide is utilized: Alongside of "Garage workers," in the "Alphabetical list of hazardous occupations," the physician finds the symbols J 16, 25. "J" represents the basard poisons and " 16, 25 " the particular poisons—carbon monoxide and gasoline,

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respectively. Upon looking up the symptoms of these poisons in the second list he finds that both produce headache when inhaled in small quantities. In such a case the effective remedy lies in the removal of the ethological factor—the two poisons.

The following procedure is therefore recommended: The medical examiner or physician should ascertain the occupation of the applicant. He should then look for it in the "Alphabetical list of hazardous occupations." If found there, it is possible that the person has been exposed to and is possibly suffering from the effects of some hazard of the occupation. The numerals will indicate the particular hazards of the occupation. The physician should then make special effort to discover the symptoms or signs referred to in the second list. By this means he can readily determine whether the person examined is in fact suffering from the effect of his occupation. His examination is in this way made more illuminating. Physicians, not specialists in occupational hygiene, can thus learn to detect the effects of industry and, conversely, can eliminate the occupation as the cause when certain symptoms are observed which do not fit the usually observed effects of the occupation.

Medical examiners should contember that it is often necessary to keep in mind not only the present occupation but the former one as well. Persons suffering from certain ailments may no longer be engaged in the industry which was originally responsible for their condition. But careful inquiry into their occupational history will sometimes result in the recording of an occupation the effects of which are clearly those from which the patient is suffering. The medical profession must give occupational findings greater weight in forming their judgments regarding physical conditions and in diagnosing and treating disease.

ALPHARMICAL LIST OF HAZARDOUS OCCUPATIONS.

Ammonium sulphato makers, J 48.
Aniline dyo makers, Eco Dye makers,
Aniline makers, J 7, 10, 12, 26, 84, 87.
Aniline makers, J 7, 10, 12, 26, 84, 87.
Aniline hair dressers, Hos Hair worksers,
Aniline hundlers, F 1, 8.
Aniline y extractors (refluers), A 1, J 8.
Aniline y extractors (refluers), A 1, J 8.
Aniline y fluoride extractors, J 27.
Anility in makers, J 81, 40.
Apenio consters, A 1, J 8.
Arigians workers, J 6, 11, 27, 28, 30, 62.
Arilicial fluwer makers, 11, J 9, 21, 23,

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DIVISION OF PREVENTIVE MEDICINE.

Vot. XVII.

Artificial ice makers, A 2, C, J 4.
Artificial leather makers, J 7, 9, 12, 37, 48.
Artificial manure makers. See Fartilizer makers.
Artificial slik makers, C, J 4, 6, 16, 80, 47, 80.
Ashestos workers, D 1.
Amphalt testers, J 15.
Auto painters, C. See sise Painters.

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Table hands (taunery

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Siunhera (porceiain enamoling), J 24. Smelters. Ros particular metal. Smokeless-powder makers, J 5, 12, 15, 34, 30, 44. Smoothern (glass), C, D 1. Bosp makers, A 2, C, F 8, J 3, 30, 84. Soils makers, O, J 4, 14, 10, 37, 47. Kedium-hydroxide makers, O, Rodino-sulphide makers, J 47. Softenera (tannery), 1) % Noklerers, J 24, 28, Role stitchers (Blake muchine), J 20, Spinners (ashestos), D 1. Spinners (tratiles), D 2, If. RIXHIRETA, C. Sprayers, C. Sprayers (trees), J D, 24. Appenders (rubber works), A 2. Mablemen, F 1. Staluern (shoes), J 28.. Stamp-mill worthers, fl, D 1. Sturck makers, D 2, J 14, 47, Hierters (felt hats), (L J 29. Statuary workers, D 1. Steam fitters. Ros pipe filters. Stratio-acid makers, A 2. J 3. Stort engravers, G, J 28, 20, 67, Res also Hugravers. Riercotypers, A 2, J 8, 24, Atliffeners (folt hats), J 29, 80. Still (coal-lar) cleaners, A 1, J 12, 40, Stillmen (enrholle schi), A 1, J 30. Stillmen, operating, A J. Stitchers (shoes), J NO. Mokers, A 1, N, J 18. Hinnerattera (dry), D J, II. Stonecutters (wot process), G. D 1, IL Storago-haltery makers," J 28, 29, 40, Riraw-but makers, A.2, D.2. Aubameine (stoenge-battery) workers. J 10. Sugar refiners, A.2, G. D.1, J.4, 14. 46, 47, Sulphile enaks (pulp mill), A 2 (1, J 46, Bulphur hurners, A 1, D 1, J 0, 48. Butphur-chloride makers, J 18, 26. Bulphurers' (hope and mait). I ffic. Sulphur extractors, J 15, Salphuric arid workers, J ft, 10, 24, 37, 40, 4A Sumarkorn (lannery), C. F 1. Surgical-dressing makers, J 30.

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Tin-foll makers, A J, 3 Tinners, A 1, C, J 8, 4, Tin-plate mill workers stort workers.

Tire builders. Hee Rub Tribucco moisteners, Q. Tobacco rollers, D 2. Tobacco workers, D 2. Tengamen (from and at Toolmakers, D 1. Top-fillers (foundty),

Towernen (swiphwein: 40, 48, Top makers, J 5, 0, 2

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Tabbilleg barret works Tunnol workers, B. P ! Tumers-out (glass), A Turrentine extractors. Type cleaners, J 11, 3s Tipe founders, J 🚉

mameling), J 25 uiar metal. ikers, J 5, 12, 15 - 10 , F 8, J 8, 80, 84. 14, 10, 87, 47, 47 ikers, C. ura, J 47. D 2 machine), J 29. D 1. 32, H. 1. 25. mks), A 2 ١ G, D 1. J 14, 47. C, J 29. 1. pe fitters. **12J**3. 23, 20, 57. 8, 28, , J 29, 80. Mark 1, J 12, 49, id), A 1, J 80. 1) 1, IL (esu), C. D 1, H. rs, J 28, 28, 48, 2 D 2 attery) worker mill), A ers, J 18, 28. 1 malt), J 463 16. 👓 中州美 . **c, r 1**,9)

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Table bands (tannery), C, F L

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Taxidermiata, D 2, F 1, J 9, 28,

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Tapers (airpianes), J 50.

Tappers (enseiting), A L

Teasers (glass), A 1, J 16.

Textile comb makers, D 1.

particular occupation.

Thread glazers, A 2, C,

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Tobacco moistenera C.

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Toolmakers, D L .:

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Tobecco workers D 2 Tongues (iron and strei), A L

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Treaders (rubber), J 12.

Tube makers (glass), A L

Tumbling barrel workers, D L

Turpenitae extractors, C, J 52,

Tunnel workers, B, F 2, G.

Turners-out (glass), A L

Type cleaners, J 11, 30,

Type founders, J 28.

Trench diggers, F 2.

Thermometer makers, J 29.

Tile makers, A 2, C, D 1, J 28,

Tin-foll makers, A 1, J 28. Tinners, A 1, C, J 8, 4, 8, 10, 26, 28.

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Tire buildern. Bee Rubber-tire makers

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Towermen (sulphtiric acid), J 10, 87,

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Tubulators (incamiercent lamps), J

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J 25.

Tailors, IL

Tank men, C.

Talke operators (fron and steel), A L

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Tannery werkers, C, P 1, 8, J 7, 8, 11,

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Textile workers, A 2, C, D 2, See also

Typesotters, J 22. Typists, IL

Zine-chloride nunkers, J 10, 18, 25. Zinc-electrode makora, J 29. Zine miners, J O. Res also Miners. % and smallers, A 1, J 18, 16, 28, 46.

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894 DIVISION OF PREVENTIVE MEDICINE. LIST OF HAZARDS, SYMPTOMS, OCCUPATIONS EXPOSED, AND PREYENTION. A. ABRORNALITIES OF TEMPERATURE. The primary physiological effect of abnormal temperatures is the disturbance of the heat-regulating system of the body. Heat dilates the blood vessels on the surface of the body, increasing the supply of blood in this region. Cold, on the other hand, constricts the blood vessels, causing a diminished blood supply on the body surface. Continuous abrupt changes from one extreme of temperature to another may couse serious congestion of the internal organs, the heat-regulating system of the body not being capable of adapting itself to sudden variations. It is in this way that a cold draft, which causes a sudden variation of the temperature, may produce neurulgia, paralysis, and respiratory diseases. Extremes of temperature may produce pathological changes by direct action. Thus, extrame dry heat will cause conjunctivitis, cataract, and the familiar sunburn. Extreme cold may cause frostbite and exems. With the above data in mind, abnormalities of temperature have been clussified under only two headings, namely, "Sudden variations of temperature" and "Extreme dry heat." Extreme cold has not been listed as a distinct hazard, because a temperature so low as to cause the direct effects mentioned above is rarely met in industry. It is evident that the occupations listed in the division "Extreme dry heat" are exposed not only to the danger of the direct action of the high temperatures but also to the hazard "Sudden variations of temperature." The prevention of disease due to exposure to extremes of temperature consists, obviously, in the avoidance of sudden variations of temperature. Drafts are particularly hazardous, and may be practically eliminated by the use of vestibule and storm doors. Workers in cold processes should keep active and avoid chill. The hot-process worker should allow his body to cool off gradually after completion of the day's work. He should carefully regulate his diet, drinking pienty of water and avoiding meats. As direct preventive measures for the effects of extreme heat, it is advisable to make use of shields, helmots, goggles, water-cooled furnace doors, exhaust systems, cold air, fans, etc. In b eta.j.it : pressur tions.

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A. Abnormalities of Temperatures.

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В, Сомравано Апа,

In building tunnels, laying deep foundations for large buildings, etc., it is necessary for the work to be carried on under increased air pressure in order to prevent the entrance of water into the excavations. The laborer is lowered gradually and at short intervals the

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pressure of the air in the compartment is increased. The first sensation of compression is felt on the cardrums, which may be relieved by the act of swallowing. If the air is too quickly compressed hemorrhage may occur. The greater part of the danger of working in compressed air lies in hasty decompression. While under compression the blood and tissue juices dissolve an increased amount of air, the gases of which are released when the pressure is suddenly decreased. The bubbles thus formed cut off the blood supply from various parts of the body by blocking up the capillaries. The symptoms of compressed air illness, the so-called "bends," are the result.

Workers in compressed air must follow strictly the rules governing gradual compression and decompression, especially the latter. It is not advisable for boys and for men over 40 years of age to work under high pressure.

B. Compressed air.

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Compressed sir	Weakness, wortige, pointed the book and lags, parely- size of legs and area, pointed countricties of the about, corolard bretter man and a basis, some, or contension between lags, impositions to financing.	Calman workers; di voca; bus- nei starioss.

C. DAMPERSO

The moisture content of the air is very important for the proper adjustment of the physiologic processes of the body. Damp air will prevent the evaporation of moisture from the body and will therefore affect the body temperature. High humidity tends to increase the effects of high temperature. Moist cold air has the effect of undermining the general vitality of the organism, weakening its resistance to diseases of the respiratory passages, and to neurolgic and rheumatic affections. The same effects are noticed among workers around open tanks and vats, who are continuously working in wet clothes. Excessive dampness suggests dry air as a hazard. The latter causes chapped skin and catarrhal conditions. It has not been listed among the hazards because it is not characteristic of any one occupation but is prevalent generally, especially during the winter months.

When dampness is a feature of an industrial process the following precautions should be taken to avoid ill effects:

(1) Provision of exhaust systems wherever stehm is generated.

(2) Provision of floors with drain channels to prevent the accumulation of water.

(3) Provision of adequate waterproof clothing, such as rubber boots, rubberized aprons, etc.

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Dusts have I chemical compenes in sympton investigators t. Dr. H. R. M. I in the lungs of mixed with soners exposed to cother than those metallic dusts,

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Wherever there is dampness special measures should be taken to keep the humidity at its proper percentage. In this connection the wet-bulb thermometer is invaluable in determining the degree of moisture in the air. By circulating the air the effects of high humidity may be mitigated.

С. Датрием.

Besith heart.	dynapion, condition, or disease to look for.	Occupations which offer such expenses.
	Disease of the regiment of the	And disperse albalicasis makarın artifutal-lea makarın artifutal-lea makarın artifutal-lea makarın artifutal-lea makarın artifutal-lea makarın leike paintarın hateri (Laskery) indenamen verilge (Laskery) indenamen verilge (Laskery) indenamen verilge (Laskery) indenamen der verilge delikering disperse artifutal-lea artifuta

D. Duer.

Dusts have here been divided into two kinds, seconding to their chemical composition, namely, organic and inorganic. The difference in symptoms listed under each is based on the findings of recent investigators that organic dusts do not cause pulmonary lesions. Dr. H. R. M. Landis has found that wherever librosis was present in the lungs of men exposed to organic dust, the latter was always mixed with some form of mineral or metallic dust. Tobacco workers exposed to organic dust for years showed no pulmonary changes other than those found in people living in the city. Mineral and metallic dusts, however, produce fibrosis of the lung tissue, the

* See article on "The Published and Citaical Manifestations Following the Inhalation of Dust," in The Journal of Industrial Hygiese, July, 1619, pp. 117–189.

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extent of which depends on the time of exposure and the particular dust inhaled. Of the inorganic dusts, silica is the most harmful, producing serious pulmonary damage in a comparatively short period of time, while the least harmful are those which produce slight changes and then only after long exposure, for example, lime, coal, etc. The relationship between occupational dust and tuberculosis is rather a doubtful one. Authorities disagree as to the effect of fibrosis on the resisting power to the tubercle bacillus. Dust, by acting as a carrier of the bacilli, may increase their number in the lungs. In this way, men exposed to dust may be in greater danger of contracting turberculosis than others. Dr. H. R. M. Landis claims, however, that in the trades exposed to inorganic dust, mistaken diagnosis of pneumoconicsis swells the mortality statistics for tuberculosis. As a means of avoiding incorrect diagnosis of pneumoconicels, Roentgen ray examinations of the lungs and sputum analyses are invaluable.

There are four effective methods that may be used to prevent the inhalation of dust generated during industrial processes. No one of these can apply to all conditions, but the particular method to be used must be adapted to the peculiarities of the process.

 The use of water to dampen the dust and thus prevent it from rising and filling the atmosphere.

(2) The use of exhaust systems which remove the dust at the point of origin.

(8) The use of inclosing chambers in which the dust-producing processes are confined, being regulated from the outside.

(4) The use of respirators and helmets.

In many cases it may be necessary to combine several of these measures effectively to prevent the inhalation of dust by the worker.

D. Dust.

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B. ExTREM LIGHT.

Intense light is usually a product of a process associated with heat, Among the different kinds of light included under this heading are the arc light, furnace glare, glowing metal or glass, and X ray. Poor illumination as a hazard is treated under "G. Poor illumination." Continuous exposure to strong light is not only irritating to the conjunctiva, but may also cause a degeneration of the rotins and decomposition of the visual purple. Repeated electric flashes of brilliant light have caused severs ophthalmis, retinitis, and even blindness. Glass blowers and steel puddlers, who have to look at a glowing molten mass, are apt to develop cataracts. It seems that the invisible pitra-violet rays and infra-red rays are responsible. The introduction of X rays into the medical field has brought to light the highly

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dangerous character of the radiographer's work. Severe dermatities and cancer may ensue after exposure to X rays.

The following protective devices prove effective in preventing the injurious action of extreme light:

- (1) Shields.
- (2) Helmets.
- (5) Goggles which eliminate the ultra-violet and infra-red rays.

(4) Clothing which covers the skin completely.

(5) X-ray apparatus should be inclosed as completely as possible with lead plates.

R. Batrome light.

Health beard.	Armpion, and the, of disease to look for.	Outspetting which after such expenses.
Extreme light	Cataracte, relizitis, continuityiin, dor- maille, menyation and p infall n of the arm, section, sphihalmis, cancer,	Discharation destricts and electric lineaus; farmers, war- ever place followers; glain-harpers war-bury invanional- mentale hardwarm; morting-particip machine operators or wavefunes, cultural philographens; puddists (from and sheet; stonets; witness; X-ray workers.

F. Intermedia.

There are many infectious diseases, such as totanus, trachoma, and syphilis, which are often of occupational origin. They are not, however, specifically occupational; that is, they do not arise from a condition caused by an industrial process. The conditions which cause these diseases in industry are identical with those which cause them out of industry. The above-mentioned diseases have not therefore been included in this list of occupational infections. Those diseases, which have been included arise primarily in occupational exposure. There are a number of other diseases which occur in occupations, but these are of such little numerical importance that they also have not been included.

Besides the general rules of sanitation, the following measures are recommended:

(1) Anthrow.—All hides and animal hair must be thoroughly sterilized. Foreign skins or hair should not be carried on the unprotected shoulder. The hands should be frequently washed with bichloride of mercury. Hair sorters should wear respirators.

(2) Hookworm.—Workers in mines and others who are exposed to infected soil should make special effort to keep the skin clean. Shoes must always be worn and gloves are also of value in preventing the entrance of the hookworm through the skin. Infected soil should be disinfected and kept dry. The stools of infected individuals must be disinfected immediately.

. (3) Soptic infections.—Workers should avoid puncturing the skis.
Cuts, scratches, or abrasions should be treated at once to avoid in-

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DIVISION OF PREVENTIVE MEDICINE, dermatitle fection. Men having open wounds should not be allowed to work with putrid material. enting the F. Infections, . Books beard u possiblė homa, and. not, howom a conhich cause ause them therefore to diseases exposure. cupations. also have horoughly in the unshed Til O. POUR RECOMMENSATIONS c exposed kin clean prevent lected so d individ The effects of paor illumination are not easily apparent. The hazard may be present in any plant, but is especially prevalent in a limited number of occupations because of the peculiar conditions that make it difficult properly to illuminate the workroom. Miner's nystagmus is the outstanding example of the effects of this hazard. Poor illumination is not only the cause of the conditions listed below but is also an important factor in the causation of accidents.

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Artificial light is least harmful to the worker when it comes from overhead, reflected from the coiling by inverted bowl-shaped reflectors. Light-colored walls and ceilings aid materially in properly illuminating a room. Special precaution must be taken to avoid glars. All lights should be shaded so that only diffused light reaches the eye.

. G. Poor (liumination.

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H. REPRATUS MOTION, PRESSURE, SHOCK, Picc.

Under this heading are included those muscle-strain conditions which are caused by the continuous repetition of movements, pressure, or blows. This section is not concerned with the neurosthenic phenomena which are sometimes called compational neurosis. Everyone is familiar, with the muscular strain experienced in performing for the first time some exercise, such as rowing, long walking, etc. Men newly introduced into a process requiring such repeated action are affected similarly but often much more severely, so as to disable them temporarily for the particular job. The injury does not stop with muscular strain but may even cause inflammation of the surrounding sheaths or paralysis of the parts concerned.

Many types of occupational neurosis may be avoided by working at a comfortable pace, avoiding fatigue. Where continuous pressure or shock is the cause, pads or cushions are often beneficial. Workers who have to grasp tools tightly would do well frequently to change their method of holding the instrument, if this is possible. Occasional rese periods will do much toward the prevention of muscular pains and cramps.

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J. Potsons.

The continued introduction of new processes making use of new polsonous substances in industry makes this section of more and more importance. The enormous increase in the production of dysstuffs and other chemicals will no doubt show its effects on the workmen in the form of industrial poisoning. During the war the increased production of trinitrotoluol and tetrachlorethane for airplane dope resulted in a large number of cases of poisoning from these substances. For the data presented under this heading, the revised "List of industrial poisons," compiled by Sommerfeld and Pischer for the International Association for Labor Legislation, has been drawn upon largely. The strangement is similar. The material in that list has been revised and brought up to date. Several polsons have been added and all the occupations exposed are given for each poison. The symptons are those given by recent investigators. In order to avoid swelling the list of poisons to unwarranted proportions, substances the effects of which are similar have been grouped. Thus all nitro compounds of benzol and its homologues have been included under one heading and the same procedure has been followed with smide compounds. An endeavor has been made to limit this list to those substances the actions of which are mainly constitutional. The next section (p. 912) is devoted to the substances occurring in industry which act as skin irritants. Because of the very large number of substances in the latter class, it has not been possible to treat them as fully as the other poisons.

To prevent industrial poisoning the following precautions should be taken: Personal cleanliness must be maintained. Workers must be instructed as to the toxicity of the substances handled. Frequent modical examinations of workers must be made to detect early symptoms of disease. Men should not be allowed to eat in workrooms where poisonous substances are handled. Work clothes should be removed at end of day's work. Proper lavatory facilities should be provided. Work clothes should receive special attention. The use of gloves and hoots are often necessary. Mechanical devices for confining the poisons are of prime importance. (See also preventive measures, under "Dust.") Fumes and gases should be taken care of by proper ventilation, the use of exhaust systems, fans, and blowers. Men who work in an atmosphere polluted by poisonous fumes and gases should always wear gas masks properly suited for the obtaining conditions.

See United States Buress of Labor. Bulletin No. 100, May, 1913.

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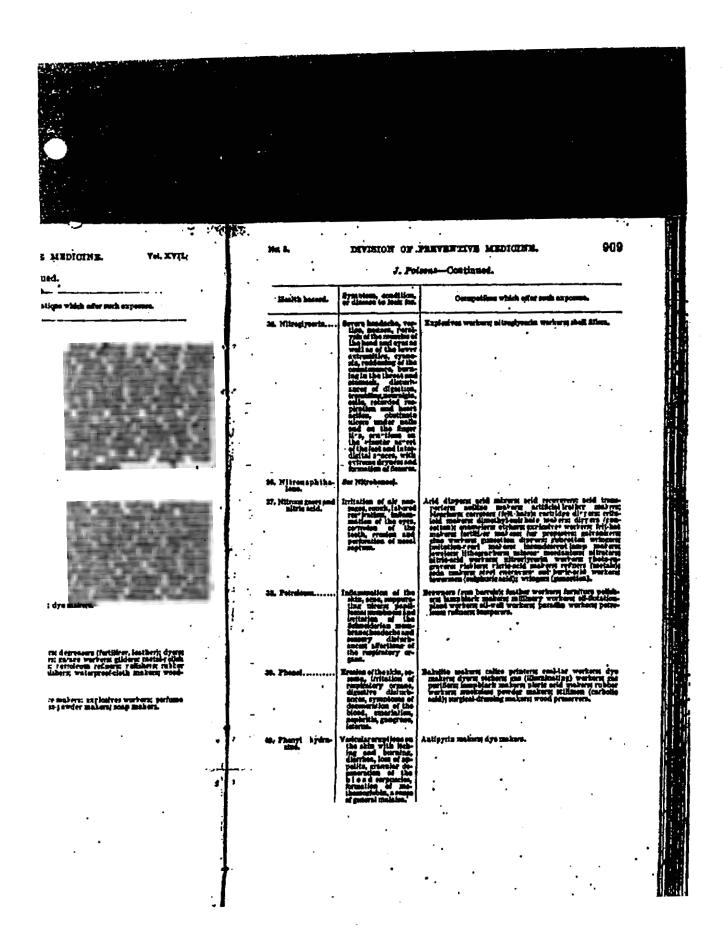
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BKIN IRRITANTS

Because of the fact that darmateses form such a large proportion of all occupational diseases and are often disabling, the more important occupations that are exposed to akin irritants have been listed separately. A complete enumeration of such occupations would be impossible. Almost any foreign substance can become a skin irritant if it is in continuous contact with the skin. Thus soap and water, which ordinarily do not irritate the skin, may cause severe dermatoses in washerwomen.

The data presented below are a compilation of the literature on the subject, taken largely from Dr. R. Prosser White's compilation of "Occupational Affections of the Skin."

Skin affections caused by different external irritants often show the same clinical picture. A number of occupational skin eruptions have no specific lesions or special pathology, which makes their differential diagnosis very difficult. Most superficial industrial skin diseases show simply a difference in degree of catarrhal inflammation, depending on the intensity of the irritant. For these reasons the symptoms for each irritating substance have not been listed as has been done for the other hazards.

Occupational dermatoses are characterized by their grouping, situation, mode of appearance, spread, and evolution. They crop up in series, retaining their initial type throughout, unless they are secondarily infected. They are most often local, except when they are a differentiating sign of the toxemias. The onset and development are usually sudden. The inflammation is sharply outlined. Exudation is excessive and there is deep-seated edema. The eruption usually predominates on the right side.

There are many cases of dermatitis which are caused by physical agents, such as heat, cold, friction, etc. In this bulletin these conditions are dealt with only as they are related to the hazards listed.

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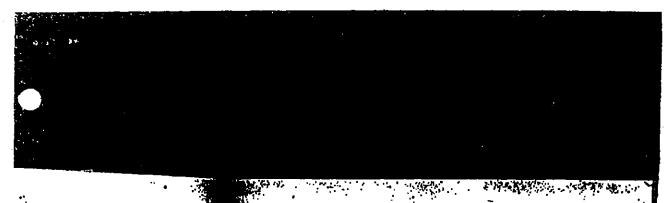
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In this bulletin these condilated to the hazards listed. Thus among the symptoms for "Extreme dry liest" and "Extremelight" we find skin eruptions.

The following is the list of the more common occupations exposed to dermatoses with the irritating substances concerned:

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There has been a decided increas disease during the past two months, (cases, entire Navy, for the four-w being 108 per 1,000 per annum. T nereal diseases for the entire Navy passed is now 118 per 1,000 per annu:

EXHIBIT E

HANDBOOK

OF THE

HOSPITAL CORPS

UNITED STATES NAVY
1939

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PURLICHED BY

THE BUREAU OF MEDICINE AND SURGERY UNDER THE AUTHORITY OF THE SECRETARY OF THE NAVY



UNITED STATES

COVERNMENT PRINTING OFFICE
WASHINGTON: 1939

For sale by the Superintendent of Donamonta, Washington, D. C.

Print \$1.78 (Buderen)

DEFENDENT'S EXHIBIT Buffalo Pumps

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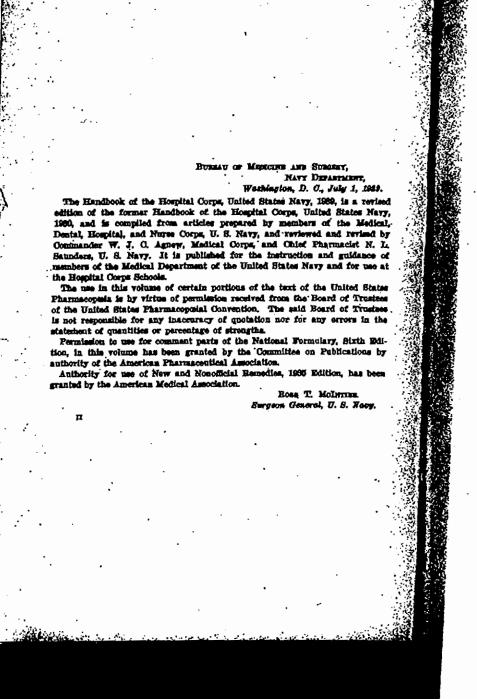


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FOREWORD

In this 1939 edition of the Handbook of the Hospital Corps, U. S. Navy, the subject matter has been revised, enlarged, and brought up-to-date, as nearly as possible, with the sciences which are briefly discussed in the various chapters and sections.

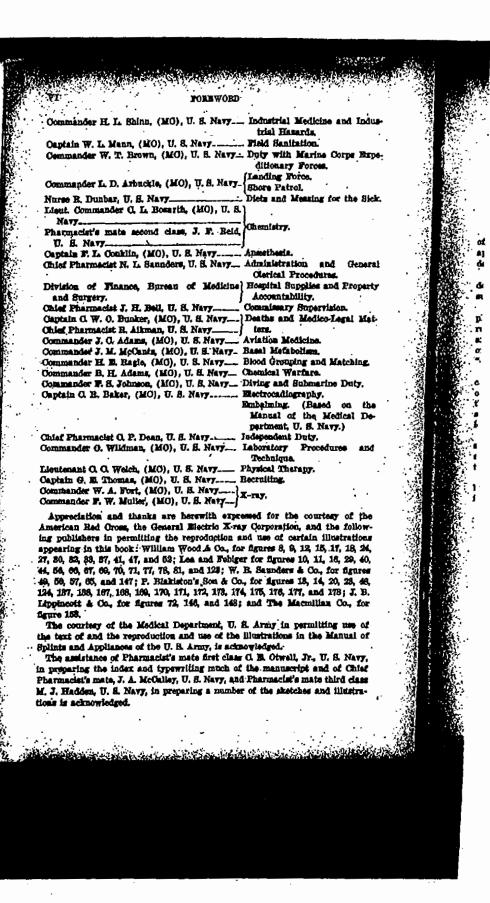
The handbook is intended to serve as a general guide and reference book for the hospital corpumen of the Navy, especially those performing duty independent of medical officers, and as a textbook for their instruction in the Hospital Corps Schools and elsewhere. It contains information and instructions concerning the duties of the Hospital Corps of the Navy, but hospital corpumen, particularly those in the upper ratings, are urged to make frequent reference to the U. S. Navy Regulations, the Manual of the Medical Department, U. S. Navy, the manuals of other Navy Department bureaus, circular letters, etc., for additional information and instructions.

The principal subjects have been arranged in the order in which they occur in examinations for advancement in rating. As these subjects necessarily are presented in epitomized form, readers of the handbook should realise that the information contained in it must be supplemented by reference to the standard textbooks and professional journals usually available in the medical libraries of hospitals, ships, and stations.

The Bureau of Medicine and Surgery herewith expresses appreciation to the following-named members of the Medical Department, U. S. Navy for the time and effort spent in preparing, reviewing, and revieing the material for this book:

Cuptain J. Harper, (MC), U. S. Navy. Commander G. B. McArthur, (MC), U. S. Anatomy and Physiology. Minor Surgery and First Aid. Commander M. D. Willcutts, (MO), U. S. Navy. Bandages and Bandaging. Captain H. M. Harvey, (DO), U. S. Navy. Captain W. L. Darnall, (DC), U. S. Navy. Commander R. S. Davis, (DC), U. S. Navy. ergency Dental Treatment. Materia Medica and Therapeu-Chief Pharmacist M. G. Swann, U. S. Navy. licz, Pharmacy. Chief Pharmacist A. T. Schwarts, U. S. Navy. Toxicology. Pharmacist P. R. Gault, U. R. Navy. Chief Nurse J. Ferrie, U. S. Navy. Technique. Hyriene and Sanitation

Commander M. S. Mathin, (MC), U. S. Navy... Genito-prinary and Venoces
Diseases.



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It should always be remembered that diseases that have attached more than half the men of the country during youth, diseases that bring misery to thousands of children and suffering to hundreds of thousands of women innocently infected, and that are incurred almost exclusively through promiseness sexual intercourse, are diseases to be avoided. It should also be remembered that the man who practices promisenous cohabitation almost invariably contracts one of the remember diseases, some or later, in spite of every precaution. And if sufficient moral stamina to resist sexual temptation is not possessed, then it must be remembered to take prophylactic treatment as soon as possible after exposure.

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Syphilology, Sishm. Practice of Urelogy, Young. Respital Corps Handlook, U. S. Navy, 1948

Section 5.—INDUSTRIAL MEDICINE AND INDUSTRIAL HAZARDS

Industrial Medicine is that branch of medicine which deals with the prevention of diseases and injuries among industrial workers. Strictly speaking, industrial medicine has become of such importance in late years, that it is not now limited to workers, but endeavors to promote good health and increase the life span of the entire people.

Its purposes or aims are to insure good health, the prevention of avoidable accidents, to alleviate unnecessary suffering and thereby provide contentment and promote more efficient work. It further deals with the rehabilitation of diseased and injured persons and reclassifies them to work in such positions as their disabilities will permit, thereby obviating the necessity for their becoming public charges and insuring them a livelihood.

Industrial Medicine is akin to Hygiene and Sanitation and Preventive Medicine, but apreads out to embrace accident prevention as well. Its aims are accomplished by endeavoring to reduce the health and accident hazards to a minimum by education, safety devices and precautions, periodic physical examinations, cooperation of employees, and by the passage of laws for the protection of the workers.

The need for the development of this branch of Madicine is apparent to all, when it is known that in the sixteenth century the average life expectancy of the working man was 22 years, as compared to 64 years for those of the upper classes. The working man were really slaves. They worked from 12 to 20, hours daily, 7 days a week. They were subjected to forms of health hazards about which little or nothing was known. The death of the men was considered a natural course of events.

The value of Industrial Medicine to the workers has been clearly manifest. Today, the average working man in industry may well expect to live to the age of 50 with still a better outlook for the future when the hazards to health and accident are better understood and safety measures are developed and perfected to protect against such hazards.

In this country today, every employee is protected by laws which require that certain standards of protection be maintained against health and accident hazards. Compensation laws are in force to require the payment of disability benefits to those incapacitated by accident or disease which were connected with their employment.

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INDUSTRIAL MEDICINE

A National Safety Council was established in 1912 and its slogan of "Safety First" has become a by-word in all homes. In 1914 a health section composed largely of Industrial Surgeous, was incorporated as part of the association. Thus the need of medical advice in industry was established. It is a wellrecognised fact that the "human reachine" constitutes a very definite hazard to health and accident. The medical man therefore must form a definite part of any industrial organization. Today, the National Safety Council in America is one of the greatest organizations of its kind and by its help has put the working conditions in this country on a very high plane and the industrial weeker has reaped the benefits.

Today, every industry in this country, no matter how large or how small, has its medical staff, or its equivalent. Many large industries have their own hospitals and medical staffs; others have contract surgeous but all are required in one form or other to give medical attention to the employees under them. The Government having passed such laws must therefore lead the way in protecting its own employees. The United States Navy is one of the largest of the industries maintained by this Government. An organization has been set up in the Navy to protect its personnel, both civilian and naval. A safety engineer is provided, who acts directly under the Assistant Secretary of the Navy. He has supervision of the safety precautions taken to protect the civilian employees in the navy yards, ammunition depots, torpedo stations and the like. He is also a consultant in all matters periaining to safety aboard ships, at training stations and other Navy Department activities. A payel medical ; officer is assigned to his office for the purpose of consultation in all matters pertaining to beeith and safety and to cooperate in devising means by which health may be protected and accidents prevented. Aside from this particular medical officer, all medical officers, deutal officers, members of the Hospital Corps and nurses form the balance of the medical staff of this organisation. It is essential that each one of these members know and understand the hisaids to be encountered in the Navy, the steps to be taken to protect against injury and disease, the treatment of diseases and injuries arising therefrom and the organisation of the medical personnel for such purposes. Naval medical personnel are required to perform duties ashore, at see, in foreign countries, in the air and under the sea. In each of these places a variety of health hazards exist. It is therefore necessary that this personnel have a thorough knowledge of the industry to which they are attached, the hexards presented, the methods of prevention and the treatment of all injuries occurring.

An escapational basard is any condition, existing in the trades, which will lead directly or indirectly to disease or injury. No method can be devised for classifying hazards for they are too numerous. However, they may be grouned under the following headings:

1. Those hazards present in the working force by reason of physical defect.
2. Those hazards found in the working places, including hygienic and sant-

tary defects, mechanical defects of machinery, lack of safety education and the

. 8. Those hazards presented by carelesmoss of employees. A large majority of accidents are due to this cause alone.

A. Those hazards due to unforceson influences such as lightning, earthquake. tornado, and the like.

'Industrial assidents may be prevented by an understanding of the hazards pre-

sented in the foregoing groups, by:

1. Therough physical examination of all new employees, prior to their actual comployment, to discover potential physical detects which would render the en-

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ployes a hazard to himself or others. An example of this would be a person with manifestly defective vision being employed as a machinist. Physical examination of all regular employees periodically, to determine their ability to continue working at their trade and to reclassify them to less hazardous work or to retire them, as found necessary in individual cases. Repeated examination of all employees sugged in hazardous trades such as sandblasting, palating, chrome plating, T. N. T. handling, and others, to determine any possible systemic effects present as a result of their trade.

2. A constant and thorough inspection of all shops and working places by the safety engineer, the medical officer, and their assistants to determine the causes of scotlents, the hazards to health and the immediate correction of these faults. Education of the employees by means of lactures, motion pictures, posters, and such, will further accomplish much in the line of prevention.

8. The prevention of carelemness by indoctrination of all employees with the spirit of prevention and building up a spirit of cooperation and high morale among them. If necessary, disciplinary measures abould be taken when workers are habitually careless.

4. Providing in so far as is possible, means of protection and escape in cases of diseaser.

An exact classification of occupational diseases is difficult, in view of the great number and types of diseases presented by the industry. There is such a great variety and number of skin diseases in the trades that they are generally grouped under the heading of Compational or Trade Dermatoses. It is sufficient to state here that practically all diseases and injuries may be associated with industry.

To successfully carry out the objects of Industrial Medicine the medical personnel of the Navy must know:

L The organization of a safety unit of au industry and the duties of each of the personnel.

2. The hazards to health and accident presented by the particular industry to which they are attached.

 The methods and means of protection to be established against the encountered hazards.

4. The treatment of industrial diseases and injuries.

6. The laws relating to compensation and treatment of sick and injured personnel, including a knowledge of the necessary reports and returns to be submitted in such cases.

For the purpose for which this book is intended, it seems sufficient to give the hospital corpurate a general idea of the organisation, hazards, protection, tristment and laws as related to the Navy, rather than to try to discuss industrial Medicine as a whole. This may well be done by discussing the safety organisation and its associated duties at a navy yard, for those in force at navy yards are applicable to a greater or lesser degree throughout the Navy. These will be considered in the order given.

Organization.

At all navy yards, the Commandant is the head of the organization. He is responsible to the Navy Department for the protection of the employees, as well as the naval personnel, under his command. He is familiar with the nature of the work being performed by the employees at his station and the health and accident hazards presented. Accordingly, he appoints as the working head of the organization, a safety efficient or a safety engineer, as he is better known. The safety engineer must be of sufficient rank and service to have

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tis would be a person with tinist. Physical examinae their ability to continue hazardous work or to re-Repeated examination of libiating, painting, chrome y possible systemic effects

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of the organisation. He is tion of the employees, as He is familiar with the see at his station and the he appoints, as the worklisty engineer, as he is betrank and service to have become familiar with the various trades in a navy yard, a knowledge of machinery, a man of cooperative ability and well liked, and having sufficient knowledge of safety devices and appliances to intelligently make impections and recommend proper protective measures. His duties are primarily, to prevent accidents and promote healthy working conditions. It is his duty to impect all working places, make a general survey of all mechanical conditions and to recommend the addition of all necessary safety appliances for the protection of the workers. He must make daily inspections of the shops to see that these safequards are in working order and are being used. He must investigate all major accidents in order to determine the cause and recommend methods to prevent a similar accident. There should be full cooperation between him and the medical officer. All inhypovements come under his supervision.

The Commandant further assigns a medical officer to act as advisor to the safety engineer. The medical officer must be of the same qualifications as the safety engineer, with the addition that he must be thoroughly versed in the diseases connected with Industry. He need not have a thorough knowledge of machinery but must understand sufficient of the operation of the various machines to intelligently advise the safety engineer in matters relating to the development of safety devices. The duties of the medical officer are as follows, and in this connection it is well for members of the Hospital Corps to understand the nature of these duties in order that they may be of assistance to him in the performance of these duties:

The medical officer is the safety engineer of the human body. He acts as consultant to the safety engineer in all matters pertaining to the general welfare and health of the employees. Hygiene and sanitation are his important duties. He must interest himself in the employees and instruct them in the every day principles of personal hygiene and self preservation. He must instruct the employees in safety measures and encourage them to cooperate in protective measures. They must be made "safety conscious" or "safety minded". The morale must be kept up. A high morale leads to fewer accidents and better workmanship. The medical officer must inspect all working places in order to have a better understanding as to the actual conditions under which the men work. He must make appropriate recommendations to improve desciencies noted and must then see that these recommendations are carried out. He must personally make all physical examinations of prospective employees or see that the physical standards for employment are adhered to. must make physical examinations of all employees believed to be physically unfit for further work to prevent them from injuring themselves or others. He must further treat and view the scene of all accidents to be able to determine their cause and to assist the safety engineer in formulating plans to prevent recurrences. He must so organize the personnel under him that prevention will be effectively handled.

The safety engineer is assisted in his work by the foremen of the shops and is some instances by safety committees in each shop elected by the employees. These men or committees are generally chosen from among the older employees and from men who have considerable experience in their trade. It has been repeatedly recommended, but not as yet accomplished, that the safety organization be enlarged by the creation of two new civil service ratings. These are, a civilian safety engineer and a civilian assistant safety engineer. These men would be appointed by competitive examination and should be men who have had considerable experience in the trades with a liberal understanding of all. They would act as assistants to the naval ansaty officer and would be of great value to the organization, inamunch as their duties would be permanent. A

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naval officer is subject to change of duty and cannot act as permanent safety officers at intervals a weakness is left in the organization which the civilian assistants could well fill, until such time as the naw officer became familiar enough with his new duties to take hold.

The organization of the medical advisor is composed of funior medical officers, dental officers, to some extent, members of the Hospital Corps, and of nurses. The duties of the hospital corpumes are to assist the medical officer in his inspections, assist in the treatment of the injured and to prepare the ery reports and returns in cases of accident, occupational disease, and the physical examination of employees. This, then, is briefly the organisation of a safety wait in a navy yard. This unit will function as well aboard a battleship or in other piaces. The commanding officer of a ship is the head of the organisation. He is easisted by the First Lioutenant acting as safety engineer. Division Officers act as amistants to the Mrst Lieutenant and safety committees are elected in each division from among the crew. The medical officer in the advisor to the safety engineer and he in turn is absisted by the dental officer and hospital corponen. All then that is neces eary for the unit to function is that a study be made of the hazards presented abourd ship and to proceed as explained later.

Manards to health and solidents.

The organization completed, a study must be made to determine the hazards ting in the particular organisation to which the unit is attached. There ... major hazards and minor hazards. A major hazard is represented by unguarded machinery or improperly or faulty insulated electrical wiring. minor hazard is a greaty shop floor, loose articles lying around on the deck or an open, unguarded hatchway aboard ship. It must be remembered that no two industries present the same hazards. There are hazards peculiar to each trade or profession. Efforts must therefore be made by the safety organization to locate these hazards and afford protection accordingly. To indicate just what types of hexards may be encountered while working with a salety unit of a navy yard and in an effort to make the subject of hazards a little clearer to the readers the following questionnaire, prepared by the inspector of the Medical Department Activities of the West Coast is quoted in part. This questionnaire represents a very thorough picture of the major hazards with which a safety unit of a navy yard must cope, saids from the many minor once always present in any organization. Answers to these questions must be made not only to the inspecting officer but they must in some form or other be answered daily if the organization is to be successful. By this is meant that problems of this nature are a daily occurrence and the safety unit must be prepared to meet them at once and not wait to formulate enswers at inspection intervals.

"Q. I. What industrial processes employ lead at some stage of the work? This incides fetractiyl lead. How many workers are exposed to lead? What precentions are taken to prevent damage to workers using lead? How frequently are workers using lead checked to determine possible absorption of this element?

"Q. 2. What industrial processes employ chronium at some stage of the work? What precautions are employed to safeguard workers from chromium poisoning?

. "Q. 3. What processes create a possible dust hazard? What precautions are observed to prevent damage to workers exposed to dust? Are routine examinations made of the cheets of workers exposed to dust? Are X-rays made to de-

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annot act as permanent sefety vals a weakness is left in the well fill, until such time as the duties to take hold.

composed of junior medical of the Rospital Corps, and of the Rospital Corps, and of the to assist the medical officer he injured and to prepare the lant, occupational disease, and here, is briefly the organisation vill function as well abound a f officer of a ship is the head at Lieutenant acting as safety the First Lieutenant and safety imong the crew. The medical I has in turn is similated by the that, is necessary for the unit rais presented abourd ship and

made to detarmine the hazarde h the unit is attached. There alor hemand is represented by insulated electrical wiring. A cies lying around on the deck .It must be remembered that There are hazards peculiar to store he made by the safety ed protection accordingly. To centered while working with a make the subject of hazards a sectionnaire, prepared by the f of the West Coast is quoted thorough picture of the major and must cope, saids from the ganization. Answers to these eting officer but they must in eganisation is to be successful. are a dally occurrence and the suce and not wait to formulate

d at some stage of the work? see are exposed to lead? What workers using lead? How freternine possible absorption of

routium at some stage of the guard workers from chronium

hashrd? What precautions are to dust? Are routine examinadust? Are X-rays made to determine the presence of silicosis in workers exposed to dust? Have cases of silicosis developed?

"Q. 4. What industrial processes produce fumes which may be a health hazard? How are these fumes controlled?

"Q. 5. What industrial processes produce carbon monoxide in possible dangerous concentrations? What industrial processes produce carbon dioxide in possible dangerous concentrations? Have, any cases of poisoning from these sources occurred?"

"Q. 6. What processes employ volatile solvents during some stage of the work? Are aniline compounds used? Has damage occurred from their use?

"Q. 7. Are organic wax compounds used? Has damage occurred?

"Q. S. What precautions are exercised to provent damage from pipe covering compounds? What asbestos hazards exist?

. "Q. 2. What precantions are taken to prevent damage from glass wool?

"Q. 10. What radio-active compounds are used on the station and what precautions are used to prevent damage from this and inminous palute?"

These are but a few of the questions asked but they serve the purpose for which they were intended, i. s., to indicate just what is meant by a health hazard and once which must be studied in Industrial Medicine.

Protection.

Having made a survey to determine the hazards presented in the organization to which one is attached, means of protection must be sought. This is done first by protecting against physical hazards by employing physically fit men. In the Government, the physical standards are set according to the employment and the hazards to be met with in each type of work. The U.S. Civil Service standards are as follows:

1. For employment in arduous duties. Must be physically sound and in good health, active and able bodied. Rating "A". For some positions a. g. divers, requirements are specially rigid. Hating "A plus".

2. For less arduous employment, Requiring sound general health but less physical strength, though for some employment special requirements exist, i. a. perfect color preception for brakeness and chauffeurs. Rating "B".

8. For lighter and naually sedentary employments. General good health but minor anatomical defects not interfering with afficient performance of work may be passed, i. e. a typist may be jame.

Next, having employed a healthy working force it is necessary to protect their health. Proper working places must be provided and maintained. Hygienic and sanitary conditions must be kept on a high plane. All moving parts of machinery must be guarded; goggles provided for workers required to use them; helmets and masks for sand blasters; proper ventilation for the chrome workers; masks for asbestos workers; protection for workers in X-ray and radium; protective glores; shoes, and other garments for foundry workers, and other means of protection too numerous to mention here must be available and used.

Special physical examinations must be made of all sand blasters, asbestos handlers, those exposed to radium and its compounds, lead workers, those engaged in dusty or smoky trades, handlers of T. N. T. and other explosives, etc., to prevent the occurrence of the diseases associated with those trades from injuring the mes.

As mentioned before, all workers who are sick for any length of time and whose efficiency has fallen off because of physical reasons must be examined and either retired or reclassified.

<u>Alterial philosophic problem</u>

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Treatment.

The treatment of industrial diseases and injuries is essentially that for any others. All accidents are treated according to the severity and locality. Men are not allowed to treat themselves for even minor accidents by reason of the dangers of infection and later incapacity. The treatment of diseases of occupation is a specialty in itself and need not be considered here.

Laws reversing workers and additionts occurring during work.

The laws governing occupational diseases and injuries are quite aumerous. It is therefore essential that a hospital corponan be familiar with only those pertaining to Government employees. These, in general, are as follows:

All men injured or taken sick during the course of their employment are required to report to the dispensary for treatment. It makes no difference how trivial the accident or how minor the illness, he must still report. No first-aid boxes are allowed in any of the shops or offices. When a man reports, his injury or illness is investigated, treated, or otherwise disposed of. At the U. S. Navy Yard, Puget Sound, Wash, a form report of the case, ia prepared in quintuplicate, two copies of which are forwarded to the safety engineer, one copy is sent to the foreman of the shop or the supervisor of the office, one is given to the employee, and one placed in his file jacket. A separate file jacket is maintained for each employee who reports to the dispensary for treatment or for any other reason and is a permanent record which is kept during the entire time of employment. The information furnished on this report is as follows: Date; whether report is of injury or return to work; name; rating; pay number; shop; diagnosis; date and hour of injury; date and hour reported to dispensary; whether or not injury is due to employment; disposition (treated and returned to work, given time off, or trainferred to naval hospital); name of medical officer treating case; and petient's statement regarding injury.

In addition to this form, a card-index form, U. S. Employees' Compensation Commission Form Ch-19, is made out in each case. This form is started when the man reports and when final disposition of the case is made it is

likewise filed in the man's jacket.

When an injured employee returns to his stop or office, or when the foreman or supervisor receives his copy of the form report, the foreman or supervisor immediately fills out U. S. E. C. C. Form CA-2, and forwards it to the injury officer, who, in turn, submits it to the dispensity for completion by the medical officer treating the case. The medical officer gets the data for this report from the forms previously described.

U. S. E. C. C. Form CA-S is forwarded at the termination of total or partial

dimbility of an employee, or then his death.

U. S. M. C. C. Form CA-4 is a claim for disability allowance or compensation for injuries received which must be submitted by the employee within 80 days after the injury. This form must also be completed by the medical officer attending the case and once again the form report and U. S. E. C. C. Form CA-19 are of value.

U. S. M. C. O. Form Ol-8 is similar to Form Cl4-4 but must be submitted on the first and sixteenth of each month by the employee, during the period of his

distillity.

When it becomes necessary for an injured employee to have hospital or other treatment not provided by a dispensary or local physician, U. S. M. C. C. Form Cá-16 is made out and forwarded with the patient to the hospital or place where he is to receive such additional care.

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njuries is essentially that for is to the severity and locality, ives minor accidents by reason ty. The treatment of diseases set he considered here.

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d injuries are quite numerous. an he familiar with only those general, are as follows: ourse of their employment are tment. It makes no difference ness, he must still report. No or offices. When a man reports, or otherwise disposed of. At a form report of the case, is, h are forwarded to the milety m shop or the supervisor of the ed in his die jacket. A separate se reports to the dispensary for nament record which is kept information furnished on this s of latury or return to work; date and hour of injury; date ot injury is due to employment; you time off or transferred to:

U. S. Employees' Compensation ch case. This form is started lition of the case is made it is

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hop or office, or when the forem report, the foreman or supern Cla-2, and forwards it to the s dispensity for completion by edical officer gets the data for t.

s termination of total or partial

sility allowance or compensation by the employee within 60 days ploted by the medical officer atport and U. S. E. O. O. Form

CA-6 but must be submitted on player, during the period of his

playee to have hospital or other physician, U. S. R. O. C. Form attent to the hospital or place MOTATIONS GLISTIC

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Times arise when there is doubt as to the origin of the disability, i. e., whether or not the disability is occupational. In such cases U. S. H. Q. C. Form CA-17 is substituted for Form CA-18. Whenever U. S. H. Q. C. Form CA-16 and CA-17 are made out they must be accompanied by U. S. H. Q. C. Form CA-20.

U. S. E. C. C. Form CA-21, Discharge Report of Injury Case, is forwarded when an employee is discharged from treatment after having been incapacitated by reason of occupational injury or disease.

U. S. R. C. C. Form Ch-63 is a report of hernia and must be submitted in all cause in which claim is made that a hernia was caused by employment.

Numerous other forms, such as public bills for payment for treatment, are used in handling these cases, but as they are accomplished by the injury officer they are not listed here.

Forms showing reports of all physical examinations of employees, including the special examinations previously mentioned, are also kept. These are routine however, and are easily learned when actually engaged in this work. Special forms for W. P. A. B. R. N., and P. W. A. workers are also provided.

In conclusion, it is well to state the qualifications expected of a hospital corpsman engaged in Industrial Medicine,

- 1 He should realtse that his first duty is to the worksian who is injured.
- 2. His personality should inspire confidence.
- & He should have a knowledge of first aid.
- 4. He should have a knowledge of an efficient medical record system and of statistical methods.
- 6. He should have a knowledge of sanitation, of working conditions, of occupational hazards and preventive measures.
- 6. He should possess a general knowledge of industrial relations, including employment, its methods and problems.
- 7. He should have a working knowledge of the workmen's compensation laws.

 8. It would be well for all hospital corpores to obtain and read the publication Modical Service in Industry and Workmen's Compensation Laws, 1988, published by the American College of Surgeons as prepared by M. N. Newquist, A. B., B. Sc., M. D., to enhance their knowledge of this subject and thereby be of more value to the medical organisation of the Navy for Industrial medicine. This publication contains concise, complete statements of the problems of the industrial organisation and is of value to all industries.

Ratesauces

Industrial Medicine and Surgery,—Mock, 1981. Industrial Health.—Rober and Hayburst. U. S. Naval Medical Bulletin, January and April, 1985. Medical Survice in Industry and Warkman's Compensation Laws, 1928. Inspection Questionnairs, West Coast Medical Activities, U. S. Navy.

Section 6.—FIELD SANITATION

Health is necessary in war, and cannot be replaced by anything elea.—Napoleon Introduction.

The activities of a medicomilitary organization tend to concentrate toward me primary objective, "The conservation of physical efficiency for combat."

The hospital corpuses of the Navy serve ashore, as well as affect, and in

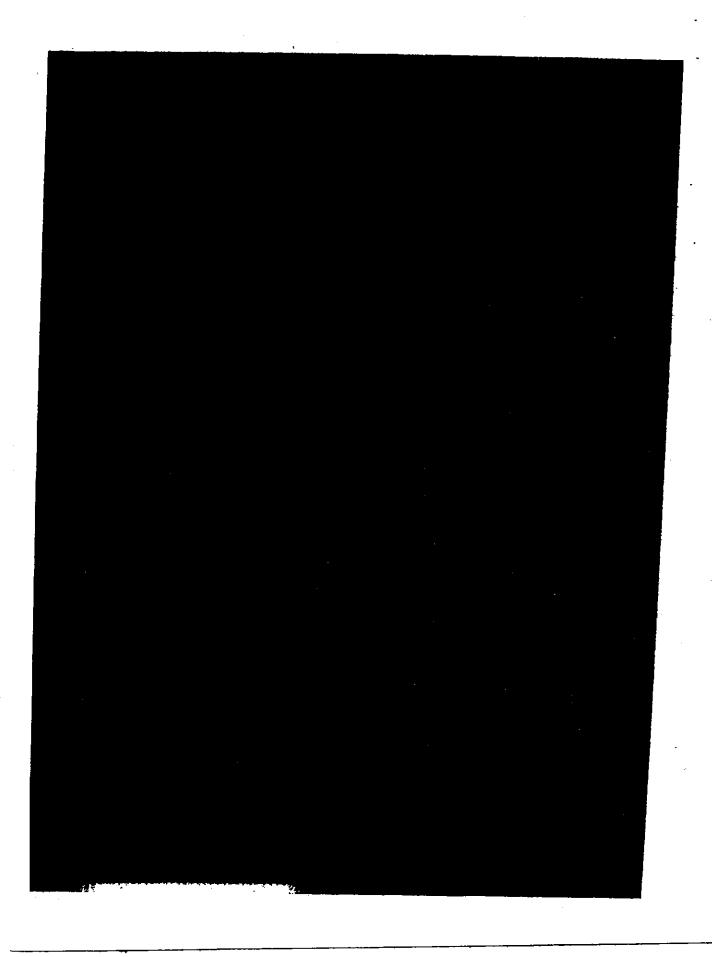
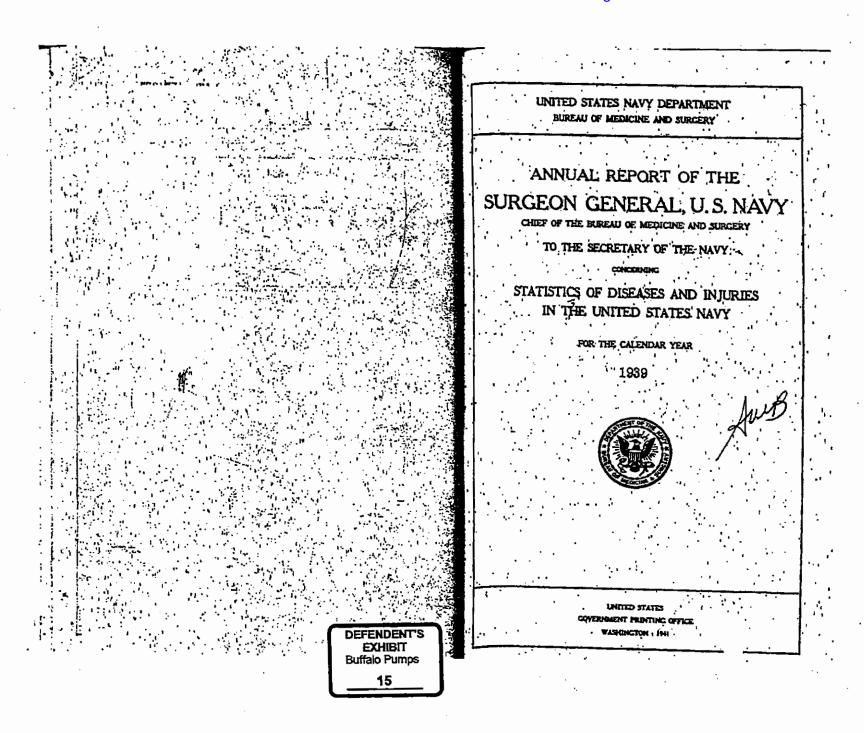


EXHIBIT F



ious action of the light.

INDUSTRIAL MEDICINE

Navy Yard, Charleston, S. C. - In order that claims for industrial injury, may be confined to those receiving such injury by reason. their employment in the navy yard, all applicants for trades listed a potentially hazardous, receive a special examination, including X-22 examination of chest, where necessary, prior to their employment assignment to the hazardous occupation. In addition to the entrace examination, periodical examinations are given during continuance occupation in such work. This increases the work of the Yard discessary and involves considerable additional cost to the government to reason of materials expended, but it is believed that the results it tained will prevent any serious industrial injury to the man occupied bazardous industrial trades and prevent unjust compensation claims to be filed against the Government. As a means of protection to fellow employees and to prevent unjust claims to compensation for injuries alleged to have been received by reason of industrial employment, it recommended that as a condition of employment all Civil Service plicants be required to have a serological test, with the provision applicants who show a positive serological reaction but no active lesions, shall be required to have continuous medical treatment was negative serological tests are obtained or the disease is pronounce; non-injectious by the Yard medical officer. It is also recommended that where infection occurs subsequent to employment that serological tests be made compulsory: As condition of employment, large private industrial corporations require serological tests prior to employment and at periodic intervals the resiter. If it is found that employees have active syphilitic disease, medical treatment is compulsory unless the are pronounced non-infectious by the company physician. Medicitreatment for Civil Service amployees could be obtained from private physicians or public clinics, and such treatment could be evidenced by cartificates signed by licensed practitioners, but serological exact nations should be performed at the Yard dispensary in order that

uniform procedure may be followed.

Puget Sound Navy Yard, Bremerton, Wash, The average employed of this Navy Yard is safety minded, and a general spirit of cooperative with regard to accident prevent in continues. The safety program key been carried forward with excellent results during the past year, exphasis being placed on aducation of men through indoctrination of the supervisors. Analysis of representative periods have shown that is proximately 90 percent of all accidents are directly attributable—caralessness of the men. The record of 18-lost-time accidents among the safety and
During the past year the following additional safety measures have been undertaken: (2) a new type of face shield has been obtained to buffing and polithing work which is a great improvement over goggler (b) new double lennes for helmets have been obtained which are fort to be much more satisfactory than the old; (c) salt tablet dispensed have been installed in all shops in which "hot work" is carried on exhibition of shops and offices has been materially improved as a continuing to improve as funds become available for projected were contentive spectacles have only one-eighth the number of salter particle eye injuries as compared to men wearing no spectra.

conry cup goggles are unsuitable for most types of machine tool work is to restricted vision. It has been proposed to the Navy Department with Engineer that a suitable type of spectacle goggle without side types be approved for use on these types of machine tool work; and (i) a present. Navy specification welding glove has been found to be undistactory, particularly for overhead electric welding. A number of an have been burned due to failure of exposed stitching in this glove, has been proposed that a more suitable type of glove be approved.

The number of eye injuries among the regular Yard employees was me than double for the calendar year 1938 - 223 for 1938 and 457 for 1939. The increased number of employees can account for some of the crease but the eye injuries have increased out of proportion. Outgoing causes of injuries to the eyes have been poor fitting goggles and failure to use goggles in spits of educational activities on the part of the medical department, injury officer, and supervisors. It is grating to note that there were no lost-time eye injuries among the regular Yard force and only one case among the relief workers.

Statistics show a definite increase in all types of injuries among asses of employees except the Emergency Relief, Navy. This increase is out of proportion to the increased personnel and it is between to be due to the fact that the shop superintendents insist that imployees receiving injuries, no matter how slight or insignificant may need in extent or severity, report to the Dispensary for raiment. This opinion is supported by the reduction in the actual impler of "Injuries resulting in Loss of Time" from 22 during 1938 as 18 during 1939.

Navy Yard, New York, N. Y .- Welding: There are approximately to electric welders and 112 gas welders carried on the rolls,

It is well recognized that in the absence of protective measures or the inadequate measures welding incurs certain health heards; such is toxic gases from the arc of the flame, fumes or district metallic tides of an injurious nature from the coating of certain welding rods, image to the byes from ultraviolet rays, etc. The question arises there or not control protective methods now provided are entirely inquise to prevent occupational diseases in welders under all circumstances.

It was recommended to the Commandant in December 1939, at the engestion of the Director of the Division of Industrial Hygiene, New York, State Department of Labor, that a joint health study of the 230 the chicking, gas, and tack welders, be conducted by the latter agency and the medical officer of the Yard. The proposed research contemplated medical and occupational histories, physical examinations, and X-ray indies, the funds and bulk of the research staff to be supplied by the per York State Division of Industrial Hygiene.

It was believed that such a study would yield results of great beneit to the workers and that the findings would be significant as a check
in the present methods of control and of value to the U.S. Emloyes Compensation Commission in relation to certain possible fuloyes compensation claims. Other outstanding authorities in industrial
lygico were consulted and all concurred in the view that a large-shale
lygic to the study of welders was required to selite definitely certain queslogs relative to hazards of the occupation.

tions relative to hazards of the occupation:

Lead and Lead Compounds: There is little hazard incident to brush riming in this Yard. 'Lead paint is used chiefly for the red lead triming coat for the hulls of ships. 'Zinc, titanium or aluminum paints are largely used for other applications.' The ename! pair' consist of a jac base in varnish and hirpenting. No osses of lead noting have to the attention of the Medical Department during the period un-

der consideration. Metallic lead is handled in the molten state as a component of Babbitt metal in the Inside Machine Shop (No. 31). This metal contains lead, antimony, and copper. The lead volatilizes at a relatively low temperature. The melting kettles are equipped with a hood connected to an air exhaust system with suitable suction fan pipe and conduit to remove fumes which form on the surface of the molten metal. In addition, a respirator is provided for protection against the inhalation of fumes.

Lacquer painting with spray technique is conducted with lacquers made up of a celluloid base with certain volatile solvents, some fast and some slow drying, which may lead to toxic symptoms if inheled be

youd threshold concentrations.

The Ordrance Machine Shop, Electrical Shop, and Sheet Metal Shop are equipped with hoods connected to adequate exhaust systems. In the Ordrance Machine and Sheet Matal Shops a water spray curtain is also brovided for more effective removal of fames. The spray room of the paint shop is not equipped with a hood, dependence being placed upon an exhaust blower for removal of fumes. This lack of localized exhaust results in a much slower rate of removal of contaminated air. No cases of volatile solvent poisoning were reported during the calendar year.

It is recommended that all spray painters be given an annual ex-

amination for evidence of toxic effects of volatile solvents.

Industrial Protection Against X-ray and Radjum; (a) X-ray protection.—The Pipelitter Shop is equipped with one puriable X-ray machine of 220 kilovolts and 25 milliamperes capacity which was installed approximately two years ago. This is employed chiefly for the detection of flaws in pipe-weided joints for high steam pressure installation. The maximum number of exposures approximates a total of 51 minutes a day. (1) Engineering Control: The X-ray tube is encased in lead of 2mm. thickness. The machine is contained in an enclosure 20 feet by 20 feet bounded by a shield 6-1/2 feet high, 10 feet from the tube in all directions and lined with sheet lead 2mm. thickness on three rides. (2) Medical Control: Four men are assigned as operators of the X-ray and radium.installations. One of the earliest effects of radiation exposure is a destructive action on the white and red calls of the blood, more marked on the white calls in the sarly stages. A procedure has been established for a quarterly blood examination of operating personner and an examination for possible general radiation injury.

(b) Radium Protection.—The use of radium was initiated 4 to 5 years ago for the detection of flaws in castings constructed for high pressure steam installations, both steel and non-ferrous. A capsule containing 278 mgms. of radium is the source of the radiation, the tests being conducted in the Inside Machine Shop. This is in use for an average of 150 to 200 hours a month. The chief metallurgist reports that high speed films exposed at a distance of 12 feet from the capsule for one hour showed no fogging. It is therefore concluded that employees are not subject to harmful radiation at that distance. Protective

measures appear adequate...

It is emphasized that a thorough physical examination of a radium or X-ray worker shall be made before he is employed and at any time that the blood count shows suggestive changes or the worker complaint of an obscure aliment. The question arises whether the foregoing measures of protection against X-ray radiation are entirely adequate. The situation was recently discussed with the Chairman of the Advisory Committee on X-ray Protection of the Bureau of Standards. It gested that perspanel within the distance of 40 feet external of 1. And

gorkers would probably not receive a damaging exposure, the question of such a possibility demands consideration. The absolute necessity for further protection can be definitely determined by actual measurements of scattered radiation by means of the portable ionization chamber. It is recommended that the advisability of such tests be considered.

Precautions Relative to Pickling of Metals: (a) Building Ways, No. 1.—There are two sets of pickling tanks in this area one for flat steel and one for pining. The acid employed is dilute sulphuric. The question at issue is whether at any stage of operation personnel are subjected to the inhalation of arsine gas or arsenic dust criginating as a result of contact with arsenic, present as an impurity of the metal, with nascent hydrogen in the bath. Such a possibility appears extremely remote in view of the fact that the operations are conducted in the open air thus excluding the possibility of rising accumulation of arsenical compounds which might result in an enclosed space. However, it is advisable that the operating personnel be examined semi-annually for possible evidence of arsenic absorption instead of the quarterly examination now prescribed.

(b) Coppersmith Shop.—Both sulphuric and muriatic acids are used in the vats of this enclosed space connected with the coppersmith shop. The possibility of arsenical exposure discussed above also obtains for his space. Forced exhaust ventilation is provided and appears adequate. A semi-annual medical examination of operating personnel is

dvisable.

Occupational Dust Hagards: (a) The Steel and Brass Foundries.—
The Chief hazard to be considered is silicosis due to the inhalation of silica dust, the extent of the hazard being dependent upon the concentration, size of the particles, percentage of free silica, and the duration of exposure. Whether or not a silicosis hazard exists in these concentration under the various working conditions and the estimation of free silica in the sand used. It has recently been reported by the New York. State Department of Labor that silicosis can be prevented if the average plant concentration does not exceed 15 million parts per splic foot.

(b) Casting Cleaning Shop...The conditions in this shop appear to particularly unfavorable. The iron and brass foundry buildings are equipped with forced exhaust ventilation although its efficiency in convoling dust concentrations is undetermined. The casting cleaning shop, however; is not provided with any mechanical ventilation, dependence being placed mainly on roof cowls, which, it is believed, are

padequate.

Certain of the grinding and chipping operations should be conducted under hoods with localized suction ventilation. Two high-speed emery speels and two carborundum grinding wheels are not equipped with siction ventilation. It is recommended that consideration be given to a systematic engineering survey of both foundries and the casting cleaning shop to include dust counts and the measures necessary to reduce glicosis hazards.

There are 33 employees in the iron foundry, 84 in the brass foundry, and 22 in the casting cleaning shop. It would be desirable to carry out a medical survey, including X-ray of the lungs, of all personnel in order to determine the incidence of stitions. For the present, however, it is suggested that such a study be limited to employees in the casting cleaning shop where the worst conditions prevail

All candidates for employment for foundry oper is should be swan an X-ray examination of the lungs in order to scre_ out cases in. any state of silicosis.

any state of suicosis.

(c) Sandblasters.—The present practice of an annual X-ray examination of the chest, or offener if so indicated, will be continued.

(d) Hazard of Buffing and Polishing.—The possible hazard incident to dust from artificial abrasives such as carborondum, alumdum, alumd emery should be considered. The dust from these materials does and contain free silica and therefore will not produce silicosis. However, if breathed for protracted periods, these dusts induce an X-ray anpearance similar to that of early silicosis. This picture changes ver-slightly as length of exposure increases. There is clinical evidence, however, that workers exposed to heavy concentrations of abrasive dust are more susceptible to diseases of the chest than those not a: exposed. Authorities in this field advise that an effort should be made to keep the dust count below 20 million particles per cubic foot. The dust is approximately 50 percent abrasive and 50 percent metallic. Although respirators are provided for individual use, it is impract.

cable to wear such a device constantly.

The buffing and polishing wheels in the Sheet Metal Shop are green equipped with localized exhaust. This is recommended as a safety precaurion.

The grinding wheels in the tool room of the Shipfitter Shop are provided with either individual exhaust or are kept constantly wet which reduces to a marked degree the quantity of escaping dust.

Hazard of Asbestosis: Asbestosis is an industrial disease of the lungs incident to the inhalation of sabestos dust for prolonged periods. and is distinct from silicosis. The development of the disease depends upon the concentration of the dust, the size of the dust particles, and the length of exposure. The workers in the Pipe Covering and Insulating Shop are exposed to the inhalation of asbestos dust incident to it. cutting of asbestos insulating felt in the fabrication of covers for flanges, valve bomets, and high temperature steam turbines. The ma-terial falls under the trade name of "Amostic."

A medical survey of the 11 employees in this Shop was conducted recently with the object of ascertaining whether asbestosis in any stage could be detected. The history of exposure varied from 1.7 to 17 years, 6 men reporting 10 years or over. Present and past dis-ability attributable to asbestosis was denied by all the men and X-ray: of the chest were essentially negative in all cases. However, it was not considered that the negative findings precluded the future develor. ment of asbestosis by continued exposure to present occupational conditions. The following recommendation made jointly by the medica officer of the Yard and the safety engineer was approved: Install to exhaust blower over work table in the Pipe Covering and Insulari-Shop to remove asbestos dust at the source as a protective measure against the bazard of asbestosis.

Norfolk Navy Yard, Portsmouth, Va. -- Considerable work has been accomplished in industrial medicine. The medical officer, safety the gineer, and W. P. A. Safety Supervisor work in close consultation, in this manner the medical and technical aspects of each industrial problem is properly coordinated. The Bureau of Medicine and Surgery and the Navy Department Safety Engineer have been consulted on say.

eral occasions and have given valuable suggestions.

A special effort has been made to collect literature and data with regard to industrial medicine to be used for reference purposes. Spacial attention is given to the working conditions in hazardous occurs. tions such as sand-blasting, asbestos pipe-covering, amosite glass insulation. Ventilation, clothing, masks, etc. are cl quently. Routine inspections have revealed that helmeth want

blasting are of various types. A special study is being attempted with regard to types of masks, heimets, and respirators with the idea of recommending standard items of as near one type as possible.

An extensive study of a new insulating material, fiber-glass, now employed by the Navy, has recently been carried out by this departsast. Representatives of the manufacturers of this product have been idarviewed, and numerous reports of clinical and laboratory investi-gations have been reviewed. The representatives claim that no harmal effects from the material have been noted among their employees wer a period of 6 years, and the only precautions used tre loose clothing and a good cleansing shower at the and of each working day. the syidence submitted is not entirely convincing, and the paried of time since the introduction of the product is too short to warrant any senite conclusions at present. Until further information is available he following precantions are in effect. The employee must wear hood respirator, and gloves at all times; the clothing must be loose and .

cover the arms and neck; goggles must be worn if there is excessive disculstion in the compartment; and showers are required before anch and at the close of the day.

At present the Morfolk Navy Yard has no instruments for making

aust counts. The acquisition of at least one of the new and recently improved instruments would be a great advancement in the field of in-district medicine at this Navy Yard and would afford an opportunity for considerable research.

The hazards to civil employees consequent to industrial activity is a problem and requires continued, intense, effort and research with regard to personnel, new materials, new machinery, and new processes. Safety devices and rules should maintain a high standard. this aspect should be studied, developed, and mastered. It requires cooperation in safety engineering and intensive study of industrial beelth problems.

Naval Torpedo Station, Newport, R. I.—The number of infections following injuries remains low among civil employees at this station. This is due no doubt to the cooperation of all concerned in routing inpries, no matter how trivial, to the dispensary, where they are report for daily observation and redressings until discharged. Many rases of colds, grippe, and bronchitis have developed among the civilian employees during the fall and winter months. By treating these cases three times daily with antiseptic sprays, cough mixtures, and cold capsules, and the prompt checking out of cases with elevated temparatures, an appreciable decline in lost-time incidence has been noted. It is encouraging to note that accidents are on the decline in spits of the increase in employees. By comperative classification we find that in 1935 there were about 4,932 injuries among 2,493 employees

and in 1939 about 3,500 injuries among 3,852 amployees.

A general physical examination of all workers in explosive maperials, including a complete blood analysis and urinalysis, has been one monthly since October, 1939. An effort is being made to prevent occupational poisonings, with particular reference to tetryl and fulmisate of mercury. To date no statistical data have been completed. and-blasters are examined routinely each month, and routine chest I-rays are done every three months, oftener if thought necessary.

114			Diseases By Systems.
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Diseases of Class XV, by occupational groups, new admissions, 1939	4	000 pp (
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Respiratory System

RESPIRATORY SYSTEM

There were 318 original admissions and 20,719 sick days for diseases in this class during the year 1939, accounting for 0.52 percent of all admissions and 1.72 percent of total sick days.

In addition, there were 54 admissions for complications of other

diseases or conditions, 21 admissions reported as existing prior to enlistment, 74 readmissions, and 47 cases remaining from the previous year.

Four of the diagnoses in Class XVIII (chronic bronchitis, assuma, acute fibrinous pleurisy, and sero-fibrinous pleurisy) caused 75-percent of class admissions and 68 percent of class sick days.

The common acute infectious diseases, of the respiratory tract, colds, acute bronchitis, etc., as well as pneumonia, are classified as "Communicable diseases transmissible by oral and nasal discharges," and certain other diseases that might be thought of as diseases of the respiratory system, are accounted for in Class Y, "Diseases of ear, nose, and throat." Class XVIII, therefore, does not account for a great number of admissions to the sick list.

Diseases in this flass causing more than 10 admissions, together

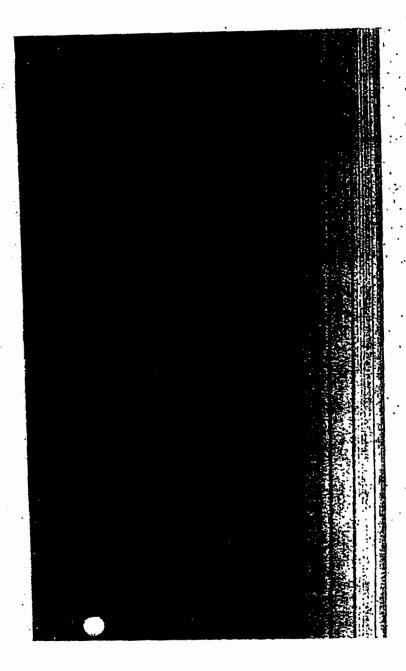
Diseases in this class causing more than 10 admissions, together with a total for those diseases in the class causing less than 10 admissions, are listed in the following table:

Diseases of Class XVIII, admissions and sick days.

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Diseases of Class XVIII, with complications, 1939

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Diseases By Systems

Diseases of Class XVIII, classified personnel, admissions by age group, 1939

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Apr D'40	ij	191	118	in East	Įį	128	Ĭ.		Rass COSO
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All 1000	13,869	34	TH	\$74.861	123	2,03	1444	44	13

CIRCULATORY SYSTEM,

Diseases in this class were responsible for 562 original admissions and 40,522 nick days, or 0.82 percent of all admissions and 3.37 percent of total sick days. The admission rate was 376 per 100,000, as compared with 326, the admission rate in 1938, and 356, the median for the 9 preceding years.

for the 9 preceding years.

Five of the diagnoses in the class (arterial hypertension; various veins; thrombosis, coronary artery; phlebitis; and chronic myocardidg caused 61 percent of class admissions and 63 percent of class acc

In addition to the 562 original admissions shown in the table below, there were 51 admissions covering cases reported as complications of other diseases and conditions, 116 readmissions, 85 for diseases reported as existing prior to enlistment, and 107 cases remaining from the previous year.

the previous year.

Thirty-five of the 105 persons invalided from the service on account of diseases in this class incurred the disability prior to entering the service.

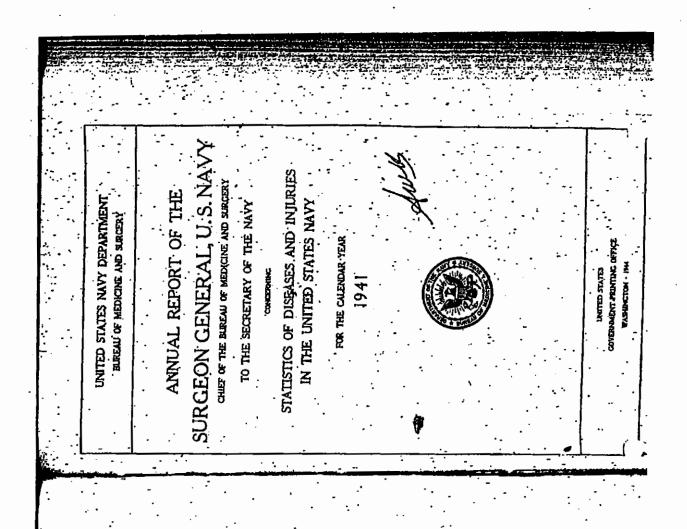
Diseases for which 10 or more admissions were recorded during the year and a total for those diseases in the class causing less than 10 admissions are shown in the following table:

Diseases of Class II, admissions and sick days, 193

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This for distance in the civilians		н_	67.1	
Total for solder class	240	371	44.0.	

Dea eases o

Disea circulato being as



and reclaiming unit. The decrease in the concentration of dust has been of primary importance from the health standpoint. Other advantages are a decrease in operating cost because of ability to reclaim some 75 percent of the sand and water, decrease in time needed for cleaning castings, better quality of the finished job, and elimination of pickling process to get rid of last traces of sand.

Navy Yard, New York, N. Y.—Experience indicates that individuals of the type to apply for employment through the Labor Board have an incidence of active pulmonary tuberculosis of about 2 percent. In most cases, the disease cannot be detected by ordinary physical examination. Consideration is at present being given to the practicability of including a chest x-ray as part of the preemployment examination.

The urgent demand for personnel, particularly in some of the skilled trades, has led to a lowering of the physical standards set forth by the Civil Service Commission in a number of occupational classifications. Up to the present time, there has been newidence that this lowering of physical requirements has been

responsible for increased illness or accident rates.

In accordance with instructions contained in Secretary of the Navy letter dated 25 October 1941 periodic physical examinations have been given to employees engaged in certain work hazardons themselves or others. In addition to these periodic examinations, t has been considered advisable to perform periodic chest x-ray examinations on tool-grinders and on workers handling fibre glass. The use of this latter material has recently been introduced for nsulating purposes, and since little is known of the effects of ibre glass dust upon the lungs, it seems desirable to keep a close vatch of those employees who handle this material. In view of he increased scope of the periodic examinations, expansion of he facilities for performing these examinations has been necesary. The establishment of an industrial health office has been' he first step to meet the increased requirements of the industrial rogram. It was felt that improved x-ray equipment suitable for aking chest x-ray films would facilitate and expedite performnce of the required periodic examinations. Purchase of such quipment has been approved.

In July 1941, a Reserve officer with a wide experience in industrial health work was assigned to duty at the yard. Shortly there, fter, a medical officer from the Regular Navy who had underone a course of training in industrial hygiene, was ordered to uty at the yard. After a short period of indoctrination, these two fficers were designated as Industrial Health Officer and Assistant adustrial Health Officer, respectively.

A comprehensive industrial health program has been put into peration. The following activities have already been accomlished:

- (a) Survey of lighting in several shops with recommendations for improvement.
- (b) Study of the efficiency of spray painting booths, with representations.

HYGIENIC AND SANITARY CONDITIONS APLOAT AND ARHORS. ,27

(c) Study of ventilation in the temporary foundry, with recommendations.

(d) A study of illness (mercury poisoning) among painters working with antifolding plastic paint. As a result of this study, effective control measures have been put into

(e) An investigation of noustandard cleaning and degreasing agents used in the yard. As a result of the findings an order was issued prohibiting the use of unapproved cleaning agents in the yard.

, (f) Compilation of a list of materials used in the yard which may offer potential health hazards. This list includes all solvents, such as benzel and carbon tetrachloride; all dust-producting materials, such as asbestoes sand, and fibre glass; and all toxic metals, such as lead and magnesium. Tabulations have been made showing which shops are using each substance and a paralleled analysis showing what materials are used in each shop. These tabulations are jo be used as a basis for a comprehensive program of occupational disease prevention.

(9) A campaign of health education was instituted in an effort to reduce lost time due to nonindustrial illness among civilian employees. Posters illustrating the spread of respiratory infections have been placed on all bulletin boards in the yard. Plans have been formulated for distributing educational material on the subject of colds, tuberculosis, and nutrition.

(h) Preemployment chest x-ray survey. During the latter part of 1941, plans were completed for taking chest x-ray films on a sample of 1,000 consecutive male applicants for employment to ascertain whether any significant number of cases of active pulmonary tuberculous will be found among men seeking employment at the yard.

(i) Space on the ground floor of Building No. 200, at the present time occupied by the safety engineer, has been allocated for use as industrial health office and laboratory.

Norfolk Navy Yard, Portsmouth, Va.—Although some attention has been directed to industrial hygiene at this yard for several years, it was not until the latter part of 1941 that a medical officer was assigned to this phase of medical department activities. The safety officer and the medical department have cooperated in an effort to detect hazards, and recommend measures to obviate them or make them less hazardous.

Preemployment physical examinations were conducted by the medical section of the Labor Board. An attempt is being made to conduct recheck examinations as recommended by the Navy Department, especially on those engaged in occupations involving hazardous exposures. Complete blood counts were obtained of

um handlers, basophilic aggregation tests on welders, cutter,

HEALTH OF THE NAVY

burners, and painters, and x-ray examinations of the chest are made on sandblesters.

The silica hazard in the foundry was reduced somewhat by the substitution of steel grit for sand in two modern blasting units. One old type sandblasting unit using sand is still in operation. Plans to replace this unit have been made and it is anticipated that this will be accomplished as soon as practicable. To minimize the hazard presented by sandblasting operations, approved personal protection equipment is provided.

There has been some time loss from metal fume fever particularly among those working around welding and burning operations on new construction and repair jobs. In many cases the men are exposed unnecessarily to fumes due to reluctance on the part of leading men to take the time to secure and set up blowers in compartments where they are needed. It very frequently happens that attacks of metal fume fever develop among others working in the compartment than in welders or burners. Also cases develop among those working in a compartment when the bulkhead is being heated on the opposite side. This necessitates adequate ventilation in both compartments. An approved metal fume respirator that is so constructed that it can be worn under a welder's shield is being recommended for use by those exposed to metal fumes, and it is anticipated that the use of these respirators will reduce the time loss and increase production and efficiency.

There continues to occur an unnecessary number of cases of ophthalmia due to actinic rays from the welding arc. This is due to inexperience among many of the welders' helpers, carelessness on the part of those that may be working near welding operations, and failure of the welder in many instances to shield his work properly.

Goggles are provided for and generally used by those engaged in chipping and grinding. In spite of this an average of five for-eign bodies in the eye occurs each day. These are most frequently due, however, to causes other than grinding and chipping. Occasionally a foreign body in the eye case is due to improperly fitting goggles as well as goggles worn on the forehead instead of over the eyes, and many of them happen while the worker is walking about in the yard to and from jobs and to and from work.

The campaign for the wearing of safety shoes has not been successful, and there continues to be an undue number of toe injuries, particularly among riggers.

Puget Sound Navy Yard, Bremerton, Wash.—A medical officer reported 11 August 1941 as the industrial medical officer for this navy yard. He is doing excellent work, and has offered many suggestions that have been instituted in aiding the health and hygiene of the industrial yard.

The list of technical equipment to establish an industrial health laboratory has been approved.

The industrial bealth officer is working in close cooperation with the injury officer and the leading-men of the various shops projects. Some very interesting and informative data has

HYGHENIC AND SANITARY CONDITIONS AVIOAT AND ASHORE

been accumulated regarding injuries to yard employees and nonoccupational lost time.

The enlarged industrial health program was explained to the heads of the departments in the yard and to the masters of the various shops. The new program was received with enthusiasm and assured full cooperation. Many contacts with guartermen, leadingmen and individual workers have been established by the industrial medical officer during his frequent visits to the shops. A survey was made of all the shops and activities, and a chart prepared showing the location and nature of the possible health hazards.

Space for an industrial hygiene laboratory has been allotted in the chemical laboratory building and technical equipment has been requested. With the establishment of this laboratory, facilities will be available for investigation of industrial health hazards in this naval district.

A total of 2,276 eye injuries were treated at the dispensary during 1941 which indicates that the present eye protection is not satisfactory. The fact that eye injuries totaled 25.5 percent of all cases, but accounted for only 3.2 percent of the lost-time accidents indicates that there were few complications following the injuries.

The industrial medical officer has been working in cooperation with the safety engineer to determine the basic causes of the high frequency of certain types of injuries in the various trades. Meetings of supervisors in classes of 40 to 50 have been initiated. At these meetings emphasis is placed on the responsibility of the supervisors in guarding the safety and health of their men. Numerous problems and comments about procedures, policies, requipment and conditions were uncovered in the discussions following these meetings.

There were 10,401 sick leave applications during the year requesting a total of 46,451 sick days. Since a few employees do not have sufficient accumulated sick leave to cover their entire illness or injury, some take annual leave instead of sick leave, and some of the sick leave applications are not approved, 46,451 is not the total days absent from work due to nonoccupational illness. The following ammary of a 8-year period is submitted for comparison:

•	•		49.4	1911.
			1910	
Number of sick leave applied		2,788	. 6,174	10,401
Number of sick days reques	ted and approved	16.997	28.504	46.451
Average days requested ner	annification	6.25	4.53	4.40
Average number of applicat	ions each month per			
1,000 employees		46.1	-66.6	62.1
Average number of sick d	aww reconstruct each	4-2-	OTTO	
month per 1,000 employs	ale informed error.	282	,	
money for thee cufithly	·	202	318	277

The lower average days of illness per case in 1940 and 1941 is apparently due to a great increase in one and two-day absences. Both the frequency of applications and the nur τ of sick days requested show an expected seasonal variation

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INDUSTRIAL HYGIENE AND THE NAVY IN NATIONAL DEFENSE

ERNEST W. BROWN, M.D.
Captain, Medical Corps, United States Navy
HEW YORK

One of the most important concerns of the Medical Department of the United States Navy today is industrial hygiene, especially in navy yard practice. This is a situation of ever increasing moment in view of the present era of enormous expansion in naval construction, unparalleled in the history of the United States. This is bringing about a vast increase in the industrial force of the navy yards, and in all probability new problems in industrial hygiene will emerge incident to new materials and processes.

It should be remembered in this connection that the policy of the Navy Department is to allot new naval construction on an equal basis to government and commercial yards. It follows that the commercial establishments are also undergoing rapid development, with an enormous rise in industrial personnel. They will therefore be confronted with problems of industrial hygiene similar to many of those arising in navy yards.

Industrial hygiene is a field which is now undergoing rapid development. This appears to be due to certain significant trends, the most important of which has been the recent setting up of many industrial hygiene units in state or city departments of health through funds' released by the passage of the Social Security Act. These trends, in fact, particularly that just mentioned, reflect a definite renaissance of industrial hygiene as a phase of public health in the United States.

This movement is receiving increasing recognition in naval industrial circles, and industrial hygiene is now listed as a specialty of the naval medical officer along with other specialties outside the purely clinical fields, such as aviation medicine, submarine medicine and chemical warfare medicine.

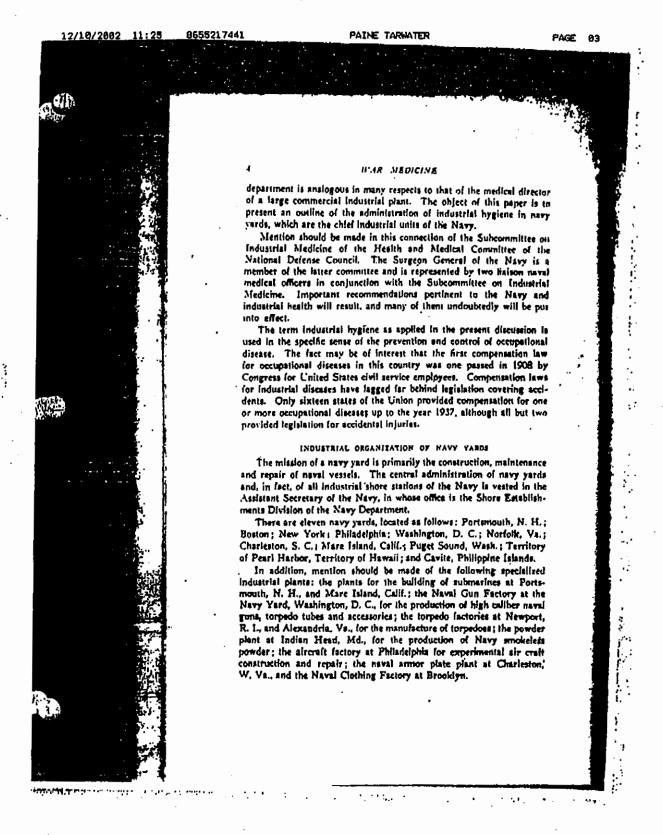
Those just mentioned, however, are concerned primarily with naval personnel. Industrial hygiene, on the other hand, is largely occupied with federal industrial personnel. It therefore follows that the status of the senior medical officer of a major navy yard in relation to the industrial

Presented at the Pitth Annual Meeting of the Air Hygiene Poundation of America, Inc., Phisburgh, Nov. 13, 1960.

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Portsmouth, N. H.; I. G.; Norfolk, Va.; id, Wash.; Territory Philippine Islands. following specialized aubmarinks at Ports-Gun Factory at the of high tailiber naval sectories at Newport, orpedden; the powder of Navy smokeless kparimental air craft plant at Charleston, yn.

Organization of the New York Navy Yard -- The New York Navy Yard may be taken as typical of a major yard. Its organization falls

under two departments, i. e., the industrial department, headed by a naval captain of the engineering branch, and an operations or military department, under the direction of a naval line captain. As a conservative estimate it may be stated that 90 per cent of the activities of a navy yard

are industrial.

Under the industrial manager there are at present twenty-three shops of different types, with a force per shop varying from 30 to 3,200 men. The total number of civil employees of this yard is now approximately 17,000. This is rapidly rising and, it is estimated, will exceed 20,000 in 1941.

EXTENT OF THE CIVILIAN INDUSTRIAL FORCE OF THE NAVY

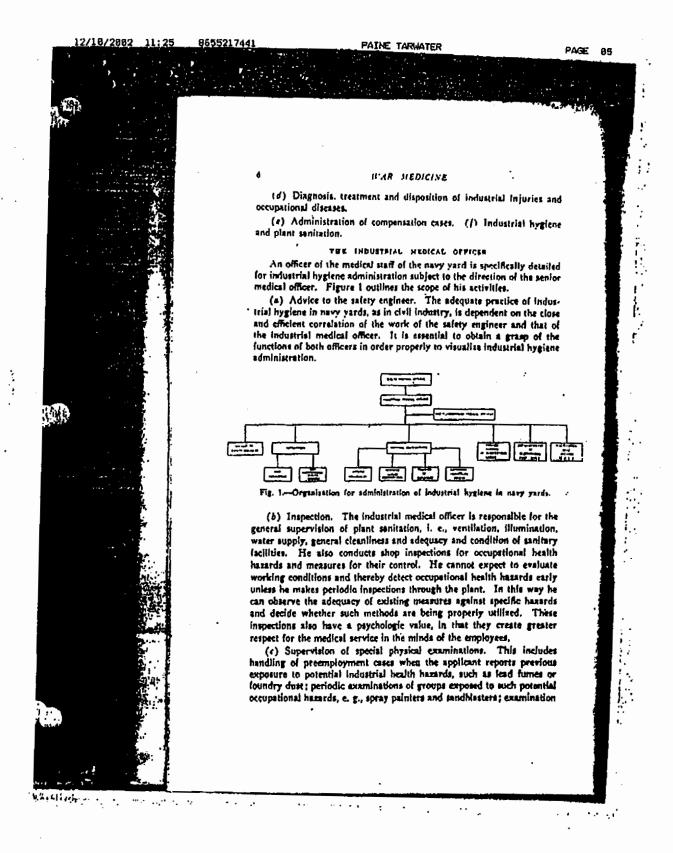
The combined industrial force of all navy yards is now approximately 130,000. In view of the pending program of naval construction it is estimated that this number will reach 150,000 in 1941. If made inclusive of all shore stations it will probably be close to 180,000.

In addition to the industrial force of navy yards, one must consider the employee volume in the commercial naval ship-building plants, such as the Newport News and Dry Dock Company, the New York Ship-building Corporation at Camden, N. J., and the Bethlehem concern at Quincy, Mass., which now employ from 12,000 to 15,000 men each. It is a conservative estimate that the combined industrial personnel of all such plants on both the east and the west coast will reach a peak of over 100,000.

ORGANIZATION OF THE MEDICAL DEPARTMENT OF A NAVY YARD

The medical staff of the New York Yard consists of ten medical officers, five dental officers, one nurse, forty-five enlisted men and two civilian elerks. The chief activities with reference to industrial personnel may be summarized as follows:

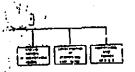
- (a) Preemployment physical examinations. All applicants for federal jobs are examined physically, although the standards for acceptance vary to some extent for different occupations.
- (b) Periodic physical examinations. These, of course, are conducted with the object of medical supervision of certain groups of employees exposed to definite potential health hazards, such as foundrymen and spray painters.
- (c) Physical examination of federal employees for retirement. This is for evaluation of the degree of disability and opinion as to disposition when total disability is alleged.



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of persons referred for transfer to other shops where there is a question of occupational disability, and clinical studies for a decision as to industrial origin of obscure disabilities. (d) Medical surveys of occupational groups.—This will be discussed later. (e) Administration of the medical sapects of claims for compensation for occupational disease pending before the United States Employees' Compensation Commission. (f) Supervision of preparation of accident and occupational disease reports for the Navy Department.

THE SAPETY ENGINEER

A civilian safety engineer is stationed at the Navy Department as the adviser to the head of the Shore Establishments Division. A naval officer is assigned to each navy yard as the safety engineer.

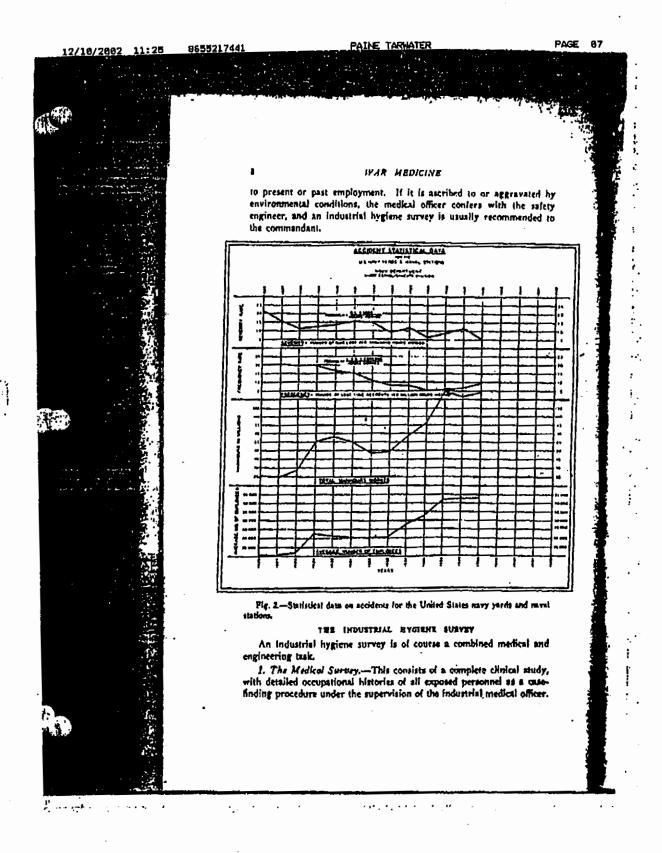
1. Accident and Unsafe Practice Control.—Safety engineering is one of the divisions of the navy yard organizations. The safety engineer conducts an investigation of all lost time accidents with a view to fixing the cause and advising measures to prevent their recurrence. The basic features of approach to the safety problem in navy yards are provision of safety devices, such as mechanical guarding, and the safety education of workmen and their supervisors.

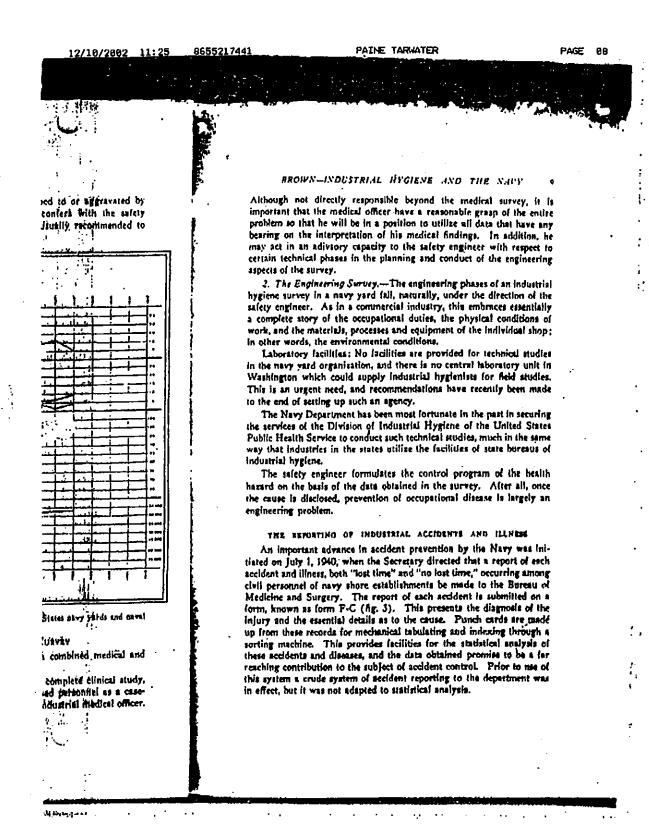
Another Important aspect is the competitive approach, which has proved effective in silmulating interest in accident prevention. The Navy Department publishes the comparative safety scores of all navy yards monthly.

Figure 2 emphasizes the advance made by the Navy Department in accident reduction, beginning with an intensive safety campaign in navy yards in 1926. The period covered is from 1926 to 1937 inclusive. The accident rate was lowered from 20 to practically 10 per year in a twelve year period; the severity rate reduced from 2.2 per year to 0.5. On the other hand, it will be noted that that total man hours worked during the period increased to 115 million from 65 million per year, the average number of employees rising from approximately 30,000 to 66,000.

2. Occupational Health Control.—The control of occupational disease in navy yords naturally lies within the sphere of both the salety engineer and the industrial medical officer. Although the safety engineer is administratively charged with this task, the medical officer is actually coordinate with him in this phase.

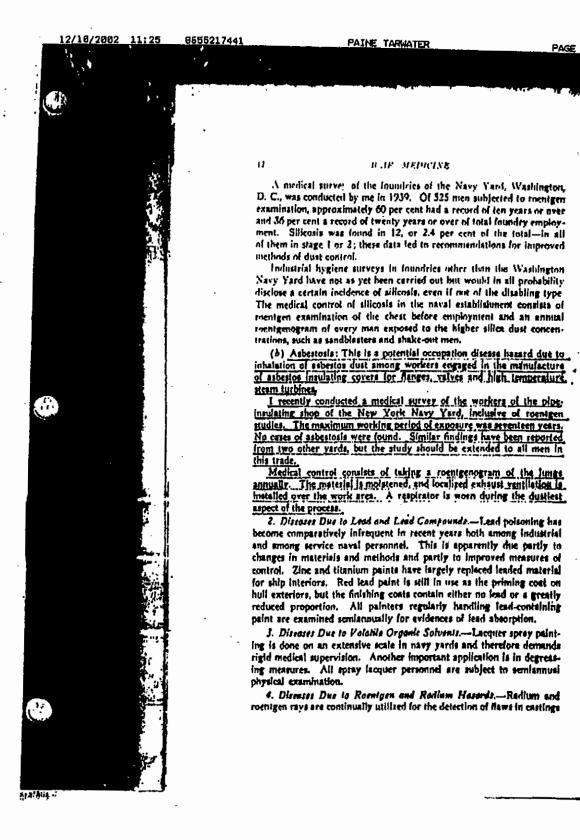
As a matter of fact, the medical officer is the key man in the prevention of industrial disease in navy yards, in that he usually discovers its existence. The diagnosis having been made, the occupational history and the preemployment examination record are excelully reviewed in order to reach a decision, if possible, whether the hazard can be traced





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N PORT THE REST	[The chief potential occupational health hazards in navy yards with		
1	ngw Lea	be considered as indicated in the accompanying table. The data are		
**************************************	Con	d chiefly on reports to the United States Employees' Compensation unitssion over a series of years. It hardly requires emphasis that	•	
	indu	istrial medical officers must be constantly on the sleet for new health		
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······ ┃╌┤╼┤╼┼╼┤		on, steel and brass foundries. The dust control problem is a major		
`	Conce	orn in these plants as in civit industry. The of the difficulties met with in combating the foundry dust prob-		
	lem i	n navy yards is the fact that silica (silicon dioxide) dust is not		
	parti	cularly irritating or obnoxious in concentrations which may ulti-		
	mate:	y lead to pulmonary damage. As a result there is a tendency to an erence on the part of the workers and even of the supervisors and		•
	exect	tives which must be overcome in order to accomplish effective and		
, sunvent	perm	ament dust control. Another reason for this attitude is the long		
distility.		d necessary for silicosis to develop in foundry workers exposed to moderate concentrations of dust, such as molders.		
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2. Navy Yard, Washington, i men subjected to roentgen tecord bi ten years or over et of total foundry employr cent of the total—in all mmandations for improved

ther than the Washington out would in all probability i not of the disabling typeestablishment consists of apployment and an annual higher silica dust concen-

tion disease hazard due to gaged in the manufacture res and high temperature

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and pipe-welded joints for high pressure steam installations. Radium has an advantage in the small size of the equipment in that it is adapted to tests in the confined machinery spaces of ships.

The question of protection from irradiation of the operating and other personnel working in the vicinity of the apparatus has received thorough study, the practice of the Bureau of Standards being generally followed. Complete blood counts of all technicians are conducted quarterly, and special preemployment examinations are prescribed.

Another potential hazard of radium is that of ingestion incident to radium painting of luminous dials, especially for fire control instruments and alternate. The control measures advised by the Public Health Service are generally in force plus certain local regulations.

5. Diseases One to Welding.—The hygienic supervision of welders is another outstanding feature of medical responsibility. Approximately 2.500 welders were on the rolls of the combined shore establishments as of Jan. 1, 1940, including 653 at New York. This number has progressively increased and will continue to rise.

The immense volume of work in confined spaces is characteristic of naval welding. A battleship of 35,000 tons displacement under construction contains approximately 500 compartments in which electric welding is mandatory; certain of these spaces are extremely small and force the welder to work in very cramped positions. These conditions complicate the question of effective preventive control of the hazards.

The chief hazards which have to be considered at present are "nitrous fume" poisoning, zinc fume fever, as it is popularly termed, and actinic opthalmia from ultraviolet irradiation of the welding arc. "Nitrous fume" poisoning, while comparatively rare, is a rerious condition. No emphasis need be placed on the fact that these injuries would be still further reduced in number if the control measures provided were properly utilized.

It may be of interest to note that in 192 cases of artific ophthalmia reported at the New York yard in the first ten months of 1940, only 30 per cent of the patients were welders, apprentice welders, helper welders and tack welders; the remaining 70 per cent were men exposed in spaces adjacent to the welding are or assisting in welding operations but not utilizing available protective goggles.

Chronic poisoning among naval welders from manganese, fisorides or silicon, which might be ascribed to inhalation of these metallic or mineral oxides in the welding furnes originating in the rod coatings, has not been reported. The possibility of such poisoning, of course, cannot be denied.

Limitation of space prevents mention of additional accupational health hazards.

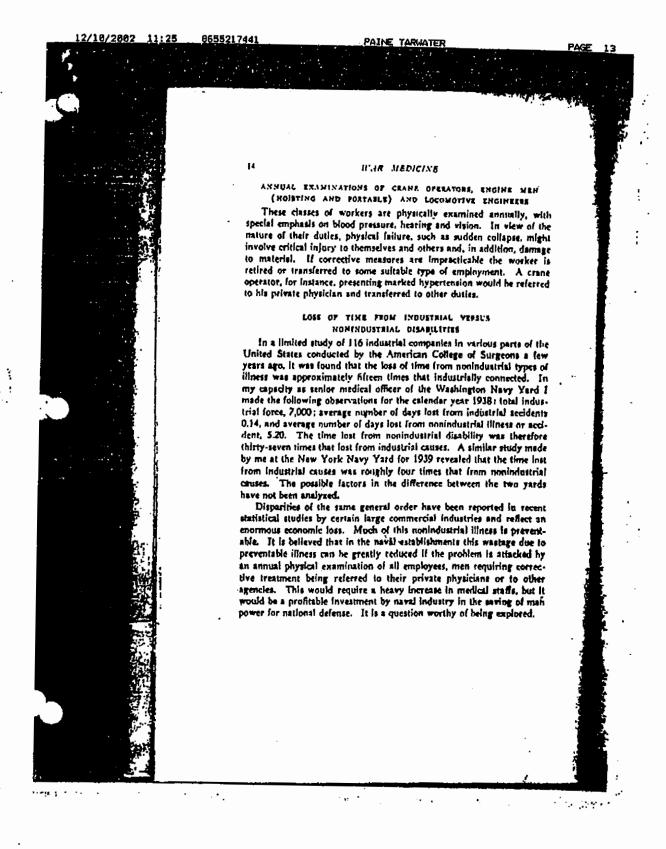
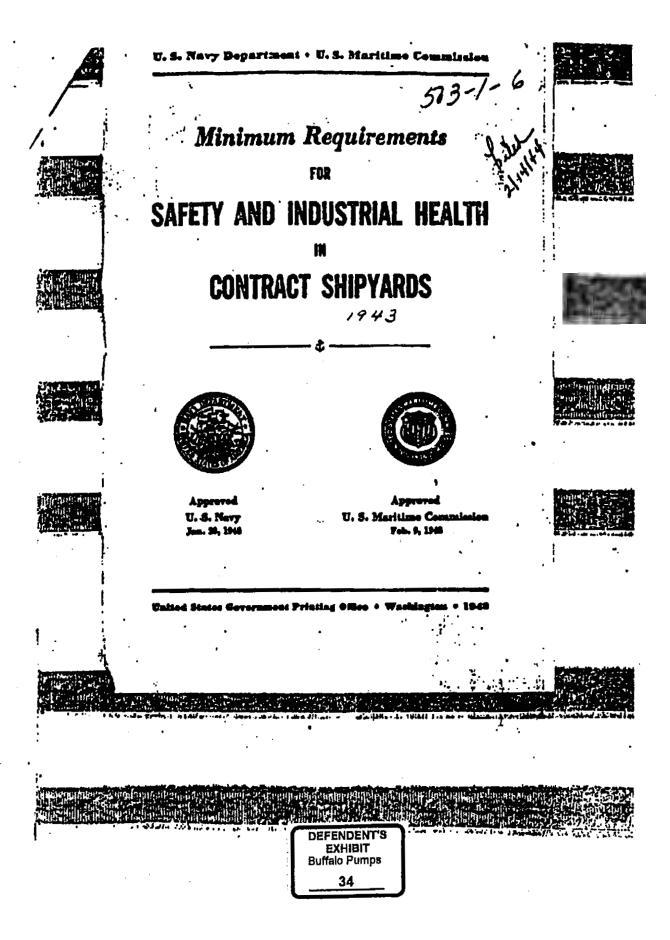
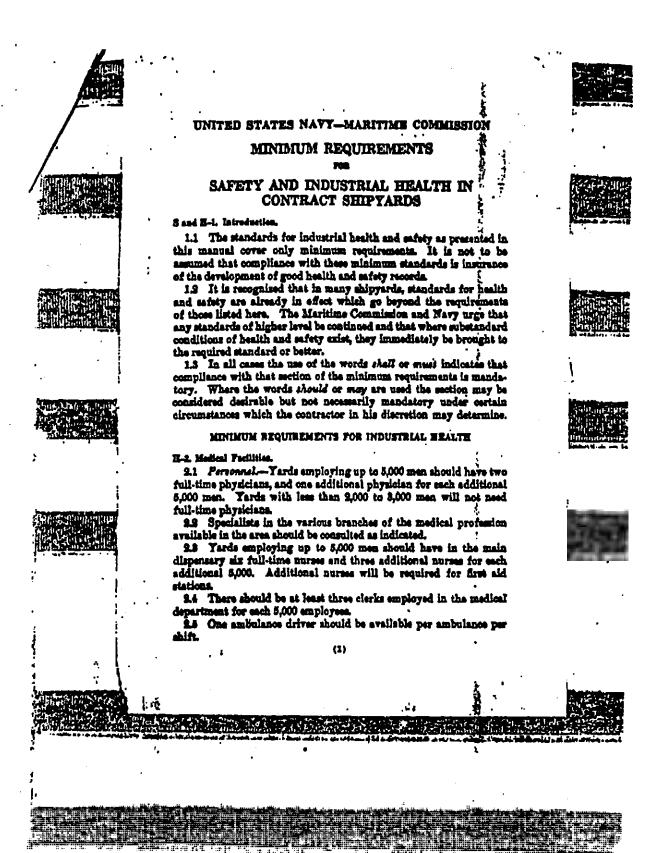
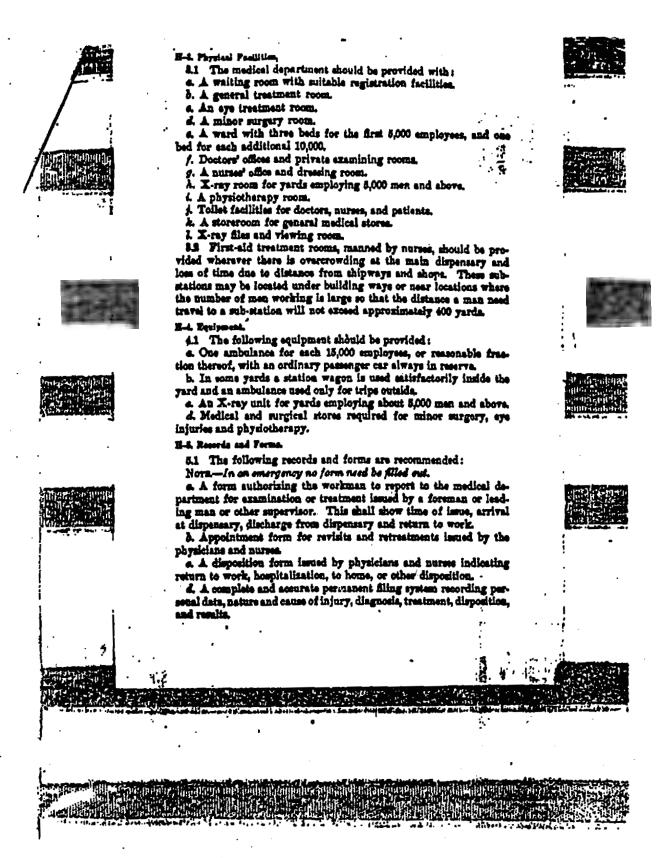


EXHIBIT H



U. S. NATE DEPARTMENT U. S. MARATIMO CONCRESSOR WARRENGTON, D. G. WASSERSON, B. G. To All Contractors Constructing Skips for United States Novy-United States Maritime Commission: As a result of the national conference on enfety and health in shipyards holding contracts with the United States Navy and Maritime Commission, conducted under the auspices of these agencies in Chicago December 7 and 8, 1942, a unanimous agreement was reached upon the minimum standards which have now been approved by the Navy Department and United States Maritime Commission and which should be put into effect in shippards holding contracts with the two agencies. These standards represent a specialized study based upon a fact-finding survey on all cousts by experts in that field. They have received the unanimous concurrence of the representatives of the medical and safety departments and of labor-management committees from shipyards on all coasts. The necessity for conserving manpower and promoting the physical welfare, health, and safety of what shortly will amount to one million workers in shipperds requires that careful observance of standards for the prevention of accidents and protection of bealth be accorded. Aside from the weight which must be given humanitarian considerstions, it is simply good common sense that as much care and attention be given to protecting the human factors in the war production program as is given machines. Under the administrative direction of the Maritime Commission, safety and industrial health consultants will be made available in all regions wherein shippards holding contracts with the Navy and the Commission are located. Each contractor is hereby given notice that the Navy Department and the Maritime Commission will expect full and complete compliance with the minimum standards which bear the approval of the Navy Department and the Maritime Commission, and each is requested to give full cooperation to the consultants on health and safety who will be charged with the coordination and supervision of the safety and health programs of the two agencies. The cumulative restriction of manpower makes speedy attention and comprehensive action in respect to the subject matter bereof of vital importance. E. S. LAND, Chelman, U.S. Maritime Commission. (1)

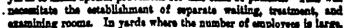




a. The necessary state and insurance company forms. f. Daily report to the safety department showing all new cases for the day, together with the nature and cause of injury, and the dispassie. g. The adoption of the standard nomenciature when made available by the Council on Industrial Health of the American Medical Association, Chicago, Illinois. S-4. Exempetions 6.1 Physical examinations to insure proper placement of employe shall be given. 6.2 Periodic check examinations shall be given men working in occupations potentially hazardous to themselves or others, as for example to crane operators, locomotive and hoisting and portable engineers. Periodic check examinations should be given men in jobs in which there may be health hazard, as for example to sand blasters, radium and X-ray workers, and paint sprayers. 6.3 Special examinations such as X-ray, seriologic and urinalyses. shall be given in the individual case as indicated and in accordance with local needs. E-7. Air Raid Processions. 7.1 The medical department shall locate, equip, and maintain such emergency first aid dresting stations as may be deemed necessary to handle air raid casualties. 7.9 A certain number of yard employees shall be trained in first aid procedures to render amistance to the medical department in handling air raid victims. 7.3 Close cooperation should be maintained with the local civilian defense officials in order that evacuation and care of air raid victims may be carried out to the best advantage. 7.4 In keeping with local army and navy regulations, steps should be taken to provide protection of dispensaries by sandbags, or otherwise, from fragments and concussion of bombs. E-4. Responsibilities of the Medical Services. 8.1 Frequent inspection of the yard by the medical staff shall be required in order that physicians may become familiar with shippard jobe and thus help intelligently in preventing accidents and occupational disease. 8.3 Close collaboration shall be maintained with the safety department especially in regard to records of accidents and absentesism. 8.3 It shall be the joint responsibility of the medical and safety departments through the supplies department to know the composition of paints, thinners, paint removers, and other chemicals used in the yard, and to see that the workers exposed are protected by the best maisty prestices.

tions of doctor and patient shall be maintained.





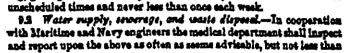
examining rooms. In yards where the number of employees is large, it may be logical to establish a separate dispensary for the handling of women patients.

E-4. Senitary Inspections.

9.1 Cafeteries and conteens.—It shall be the duty of the medical department to adapt from Army and Navy standards, in reasonable conformity with the local health department rules, and inspection scheme to include preemployment examination of food handlers, quality and

24 As in the general practice of medicine the confidential rela-

8.5 It is certain that in the near future women in large numbers are to be employed in the mechanical trades. It is necessary in ship-yards to make special provisions for this class of patients. This will



quantity of food, general cleanliness and comfort, screening, dishwashing, garbage and waste disposal. These inspections shall be made at

twice yearly.

9.3 Salt tablets.—Salt tablets shall be made available to all employees and shall be kept in covered dispensers appropriately located.

E-18. Sentimetery Protective Equipment for Shipperds.

The U.S. Bureau of Mines, 4800 Forbes Street, Pitisburgh, Penna., maintains a laboratory which tests and approves for use in industry respiratory protective equipment of all kinds. The Maritime Commission and Navy will require the use of approved equipment throughout all yards. The safety department shall be responsible for instructing men in the proper use of such equipment and for the maintenance of ample supplies.

10.1 Details of Bureau of Mines respirators with names of manufacturers, prices, and descriptions can be obtained from the Bureau or from the Maritime Commission.

10.2 The safety department shall be responsible to the management for cleaning and sterilizing all such equipment as often as may be agreed upon with the medical department. (A method for such sterilization is included in these standards; see section H-12.0)

10.8 General requirements for respirators.

a Adequate protection as defined by American Standard Safety Code for the Protection of Heads, Eyes, and Bespiratory Organs. Handbook H-84, Nov. 1, 1938. Superintendent of Documents, Washington, D. C.; price 15¢.

5. Comfort (light weight and not obstructive to vision).



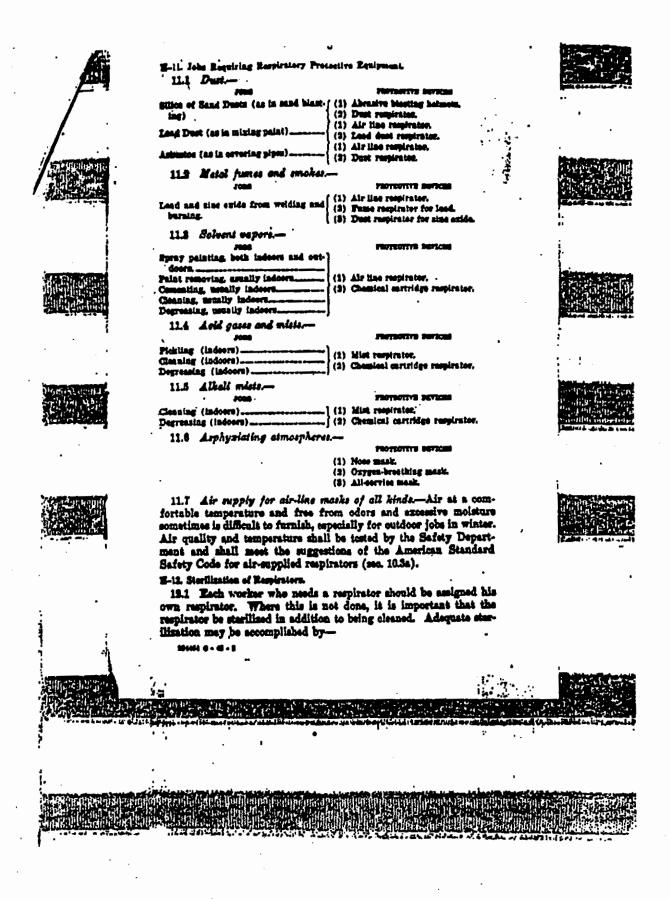




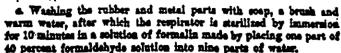












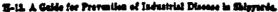
3. Washing the rubber and metal parts with soap and warm water, after which the respirator is starilized by dipping in a 3 percent; saintion of earbolic seid, a 2 percent solution of lysel, or a 70 percent; solution of denatured sloohol.

e. Subjecting the respirator to starilization by a muist atmorphere? of antiseptic gas, preferably formaldsbyde, for a period of ten minutes at room temperature.

d. After following any one of the outlined procedures, the respirator should be rinsed with water and hung up to dry. The respirator should not be used until it has been dried thoroughly.

c. The filters, felt screens, and elastic headbends should be removed, if detachable, before washing or sterilization of the respirator, unless it is evident that washing and sterilization will not harm these parts.

19.9 The National Safety Council has issued an Industrial Data-Sheet No. D-Gen. 16, "Cleaning and Sterilizing Goggles and Respiratory Equipment."



13.1 Eight common types of disease and methods for their prevention are given in the following sections. Help in applying these methods will be given by the local Safety Department and by safety and medical consultants of the Navy Department and the Maritime Commission.

18.2 Plashburne and foreign bodies in the eye .-

a. Effects on workers: "Flash" is a surface eye burn resulting from even momentary unprotected exposure to the welding arc. In this condition the eye is painful and sensitive, especially to light. An eye fish shall be treated only by the doctor or by methods he has prescribed.

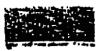
5. Foreign bodies in the eye shall be removed only under the doctor's orders or by methods he has prescribed. Like flashburns, they are preventable.

c. For safe practice:

All workers:

1. Whenever near walding ereas wear antifiash goggles which have been approved by the Safety Department.

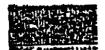
2. Weer safety goggles when grinding, chipping, builing, scretch-brushing, or forging.

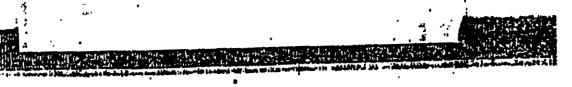
















 Wear approved satisfiesh goggles even when belimet is being worn.

4. Use portable screens to protect the eyes of fellow workers.

13.3 Lead poisoning.

a Sources: In general, any job in which dust, fume, or smoke from any substance containing lead is breathed daily.

3. For example:

ros: Welding Cutting Burning Shrinking Grinding

WHEN MATERIAL IN: Metal, coated with paint containing lead. Lead.

Shrinking Lead pigments.
Grinding
Buffing
Spray painting

e. Job can be done safely with:

Mixing paint pigments

(a) Special ventilation: Use a local exhaust hood approximately 8 inches from the job and drawing at least 900 a. f. m. into the hood with filtration of the discharge, or discharge, to a place where the contaminated sir will not be breathed, or

(b) Wearing of tume respirators, or

(c) Wearing of supplied air respirator.
 Pariodic medical examination which includes blood and urinalyses.

13.4 Solvens vapore.-

a. Sources: In general, any job in which solvent vapors are breathed. For example:

Spray painting.
Painting.
Using paint remover.
Applying coments.
Paint brush and spray gus cleaning.

d. Job can be safely done with:

1. Segregation of such work, and

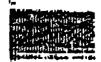
2. (a) Special ventilation as may be required.

(b) Provision of spray booths with exhaust system.



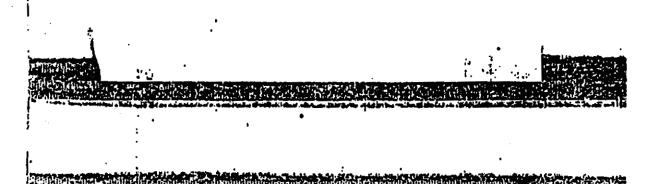














 For spray painting: Supplied air respirators or all line hoods.

(2) For other jobe: Chemical cartridge respirators.
(See H-10 on respiratory protective equipment.)

18.5 Zine fume fever (zine chille or chakes).—

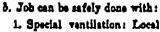
a. Sources: In general, any job in which the fumes from heated sine are breathed. For example:

ron:
Welding
Cutting
Shrinking
Pouring sine alloys

when material is:

Galvanized metal Zinc

Zine alloy Brass



 Special vantilation: Local exhaust hoses or hoods located close enough to operation at all times to remove smoke completely.

2. Wearing of special respirators.

Note.—There are no known cumulative effects from sine chills.

13.6 Fiberglas.—

a. Effects on workers: Men working with Fiberglas may develop a dermatitis or conjunctivitis which are skin and eye conditions. It is best to transfer to another job those who continue to be sensitive.

 Both experimental and practical evidence show conclusively that the inhalation of Fibergias causes no lung damage.

 The cement used with Fibergias may contain a toxic solvent such as carbon tetrachloride (CCL) which can cause severe illness or even death if the cement is used indoors with inadequate vantilation.

b. For safe practice:

 Clothing: Supply loose coveralls with collars and sleeves buttoned over chassecloth.

2. Goggles: Should be worn.

3. Shower: Should be taken rather than bath, at end of shift. Respirators are usually not necessary, but if a coment containing a toxic solvent is used, proper protection either by ventilation or by a respirator must be supplied and used.





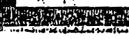




















a. Sources: In general, any job in which asbestoe dust is breathed. or example:

WHEN MATERIAL IS:

Handling. Sawing.

Asbestas

Cutting.

Asbestos mistores

7.

.Molding.

Welding rod salvage.

5. Job can be done miely with:

1. Segregation of dusty work and,

2. (a) Special ventilation: Hoods enclosing the working procass and having linear air velocities at all openings of 100 feet per minute, or

(b) Wearing of special respirators.

8. Pariodic medical examination.

12.8 Silicosis.-

a. Sources: In general, any job in which the dust of free silica (and) is breathed daily. For example:

708 Sand-blusting Sand packing of pipes Shot blasting of castings

5. Job can be done safely with:

 Isolation of dusty process and, in addition,
 Special ventilation: In the case of sand-blasting, the work should be done in the standard type of and blast room, cabinet, or machine.

3. Special respirator for dust-containing free silica.

4. Periodic examination by doctor.

18.0 Dermatitis.-

a. Sources: Excessive or improper use of cleaning agents such as gasoline. It is not at all uncommon to find dermitties caused by excessive use of common sceps such as those used in laundering. Cutting oils, certain greams, certain insulating materials used on electric cables and conduits can cause dermetitie.

5. Job can be done safely with:

1. Precentions against excessive use of the causative agent.

2. Advice of the medical department in the use of protective saires and creams.

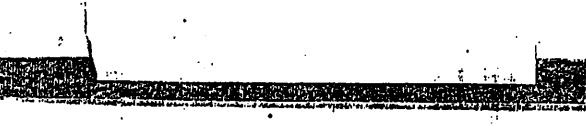














Mail Testilation Standards

14.1 Ventilation is required to control temperature and to remove air impurities, as from welding and point spraying.

143 The maintenance of proper working conditions shall be the remonsibility of the safety department, whose staff shall work in close cooperation with the welding, paint, and electrical departments. Air analyses and tests shall be made by the safety and medical consultants of the Navy Department and the Maritime Commission as may be needed.

14.3 Personnel of Department.-

a Number:

1. The size of the ventilation crew will vary with the type of ship, equipment available, etc. The head of the sefety department will be responsible for the organization of the safety department or division.

I There shall be a ventilation supervisor on each shift responsible to the head of the safety department. Under the supervisor there shall be a sufficient crew to inspect and maintain good working conditions.

 An EC-2 ship shall have at least one ventilation man aboard. Larger ships, or ships like carriers with considerable gal-vanized welding, shall have at least two ventilation men.

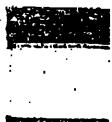
The number of ventilation men on the night shifts shall be in proportion to the construction crews.

5. The ventilation crew must have available a maintenance and repair arew of sufficient size to keep equipment on the job and operating efficiently. Long waits during which equipment is idle must be avoided.

1. The ventilation supervisor (that is, the safety engineer) shall be trained to handle the entire ventilation program in the yard. Local educational institutions, State Industrial Hygiene Units, Maritime Commission engineers, and other sources are available to give this training.

2. The ventilation supervisor shall organize classes, demonstrations, and short talks on standard procedures for rentilating specific spaces on the ships.

14.4 Type of equipment needed.—In ship construction, two types of ventilation are used-local exheust as for removal of welding. fumes at the point of origin, and general ventilation to supply fresh air to confined working spaces.





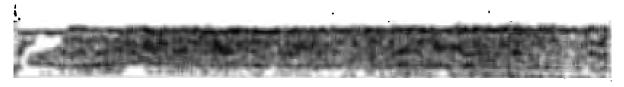














1. A common length for a local exhaust hose is forty feet. In ordering exhaust fans for use with local exhaust hoses, the following specifications should be met: Capable of drawing a minimum of 900 c. f. m. through each of 3-inch (or 6-inch) diameter flaxible hose. Fans should have provisions for attaching three or more local exhaust hoses per unit.

 In the interests of power economy, it is undesirable to move much more than 200 c. f. m. through each lotal exhaust hose.

5. General ventilation:

 It is frequently desirable to introduce air into large working spaces such as deep tanks, fore- or after-peaks. This is done in many yards by using a flaxible fabric duct, with metal elbows, and a fan of about 5,000 c. f. m. capacity.

 It is desirable sometimes to supply a quantity of fresh air into the double bottom. Here a 2,000 c. f. m. unit may be used.

 These two examples represent the two extremes of this type of work, and therefore are the two extremes in fan cises.
 Fan static pressures in each case should exceed four inches of water.

4. For general ventilation of a ship engineroom during construction, a 10,000 a. f. m. blower is recommended. On the other hand, operations in confined quarters where heat is generated (plate shrinking, for example) may use a small portable fan to circulate the air. For this purpose, small blowers of from 800 to 1,500 c. f. m. shall be provided.

e. Ventilating procedures:

 Local exhaust shall be used whenever a welding operation is being conducted in a confined space, or whenever galvanized metal is being welded. Local exhaust is always a suction process. Never blow a stream of air upon a welding are.

9. Many welders think that it is enough to hold the end of the suction hose in the same compartment with the welding operation. This is not so. In order to capture the welding fumes, the end of the hose must be within six or eight inches of the are, assuming a 200 c. f. m. volume per hose. Beyond "this distance, the suction hose is ineffective.

3. The air supply to a general ventilation fan must be fresh outside air. Recirculation of air already contaminated shall not be permitted. A minimum of 400 c. f. m. per welder shall be supplied to a given working space such as a deep tank when general ventilation is used alone.













4. In warm weather, air movements or drafts are helpful, while in cold weather a minimum of air movement is desired and local exhaust will serve best. In temperate weather, it is most satisfactory to use a combination of local exhaust and general ventilation.



14.5 Coordination of department with construction program.

a. The ventilation supervisor shall keep abreast of construction, and thus anticipate the ventilation needs.

b. The construction foremental inform the ventilation depart-

ment of ventilation needs before the needs occur.

s. Blackboards, boxes, signal lights, or similar devices shall be installed on board and used to inform the ventilation department of immediate needs.



14.6 Supplementary ventilating procedures.

a. Ventilating confined spaces, such as the fore- or after-peaks and deep tanks, is greatly simplified by the temporary removal or cutting through of certain plates.

b. For example, the fore-peak of a Liberty ship can best be ventilated by cutting a combination access and ventilation hole through the watertight bulkhead near the ship's bottom.

e. The tank top can be left off of the midship deep tanks until all welding has been completed in this space.

d. A side plate can be left or cut out of the engineroom at the bottom deck level.



MINIMUM REQUIREMENTS FOR SAFETY

S-2. Managemente Port.

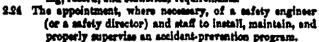
9.1 It is absolutely essential, if a successful accident-prevention program is to be installed and operated, that top plant management take an active and interested part in the work. The same supervision given any other important activity in the shippard shall be given the safety program.

2.3 The responsibility of management insofar as industrial safety is concerned shall be considered to include—

2.21 The provision of a mfs working environment.

2.22 Training of employees for safety.

2.93 Establishment of an accident record and reporting system which will definitely tie into nationally uniform reporting, record, and statistical requirements.









2.35 The issuance of instructions to all division or department heads, foremen, leaders, leadingmen and to any persons in supervisory capacity, that they are considered responsible for preventing socidents which involve employees working under their direction and requiring them to comply with all of the provisions of the socident prevention program in affect in the shippard.

3.26 An active and interested participation in safety through

(a) Review of, and executive action on, safety

records,

(b) Regular attendance at safety meetings.

(c) Action upon good or had departmental safety records through personal interviews with department heads.

(d) General letters, for bulletin board poeting, addressed to employees and discussions of good or bad yard accident record.

(e) By setting a good example. (Goggles, safety shoes, hard hats and other necessary protective equipment shall be used by any executive who exposes himself to yard operations.)

8-4. Safety Director and Staff.

3.1 A full-time safety director (title may be mfety engineer or mfety inspector, etc.) and staff shall be appointed for all shipyards. (See Section 3.25 for duties and responsibilities.) The safety director shall report to, and be responsible to, the highest ranking managerial executive or his designated representative.

3.2 The staff in the safety department in addition to the safety director, shall consist of:

3.21 An assistant safety director in yards having 3,000 employees or more except that there shall always be at least one safety engineer per shift.

3.23 One safety engineer (safety inspector) for each additional 1,500 employees. Example: If a yard has 35,000 employees there would be required a safety director and an amistant plus 21 safety inspectors.

3.23 The staff of engineers shall be distributed over the three shifts in proportion to the number of employees on each shift.

3.24 One clerk and/or stanographer for the first 5,000 employees and one clerk for each additional 7,500 employees. (It is not to be assumed that the time of safety inspectors or the safety director can be spent on clerical detail. All office functions, while adequately supervised by the safety.

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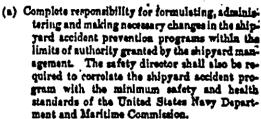






director, should be carried on by clerks so the greatest possible amount of time of the sefety director and his staff may be spent in the shippard.)

3.25 The duties and responsibilities of the safety director shall include:



(b) Submission of regular monthly, weakly or daily reports on the status of safety directly to the general manager or his designated representative.

(c) Acting in an advisory capacity on all matters pertaining to safety to the management, general manager, superintendents, foremen, quartermen, leadermen, purchasing department, engineering department, commissery department, or contractors.

(d) Maintenance of the accident record system, making all necessary reports, personal investigation of all fatal or serious accidents, investigation through his staff of all accidents, securing supervisor's accident reports, checking corrective action taken by supervisors to eliminate accident causes.

(e) Supervising, or closely cooperating with the training supervisor in the safety training of all employees. (See Section 6.26.)

(f) Correlating asfety work with medical department to insure proper selection and placement of employees.

(g) Making personal inspections and supervising inspections by staff and by special employee committees, for the purpose of discovering and correcting usuals conditions or usuals work practices BEFORE THEY CAUSE ACCIDENTS.

(h) Exchanging information with other shippards on best safety methods and consulting with United















States Navy Department and Maritime Commission Regional Safety Consultants on safety problems which cannot be solved with methods or information at hand.

 Making cartain that all federal, state or local laws, ordinances or orders bearing on industrial safety are complied with.

 Securing any necessary help or advice from the state labor departments on matters pertaining to an fety and health.

(k) Initiating scitvities that will stimulate and maintain the interest of amployees in safety.

 Acting as secretary of all safety committees and in such capacity he shall prepare an agenda for each such meeting covering the business to be discussed and, he shall prepare for the record, minutes of each such meeting.

(m) Directing the activities of his staff including the assistant safety director, so that the shippard accident prevention program will be afficiently operated. It is expected that the safety director may delegate cartain responsibilities to his staff engineers, such as that of acting as secretary of certain of the safety committees. Permission for such delegation of authority is expressly given in the interest of afficiency and for training the safety staff.

(n) Submission of the required reports on the status of safety in the shippard to the interested government agencies at the time and intervals hereinafter requested.

S-4. Accident Prevention Forme and Reports.

41 The safety director shall cause to be designed and put into use at least the following forms and records:

4.11 Supervisor's report of accident .-

(a) Giving all vital data on case plus statements as to unsafe act and/or unsafe condition, reason unsafe act or condition was permitted to exist or cocur, and the immediate corrective action taken or recommended. (See Form 1.)

(a) Form used by safety staff to record recommendations made during inspection. Used for follow-up. Made in triplicate; one to leader-















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man of quarterman on job, one to general manager, or other designated executive, one to asfety department files after use to check performance. (See Form 2.)

13 United States Navy Department and Maritime Commission monthly injury summary,—

(a) To be submitted monthly to United States Navy-Maritime Commission. (See Form 3 attached.) To include over-all breakdown of predominant accidents, types and causes, socident frequency, total number of fatal cases, total of lost-time cases and the time lost, etc. This form to be used also for report to management of shippard. To be submitted in triplicate as required on form. (See Form 3.)

required on form. (See Form 8.)
(b) The following formula shall be used in determining accident frequency rates for shippards:

1. Accident Frequency=
Number Disabling Injuries×1,000,000
Total Man-Hours Worked for Period

Covered

2. A disabling injury shall be considered to be any injury which results in a man being unable to report for work on the next regular day or shift after the accident, or one which calls for a standard time charge being made regardless of whether time is setually lost. If time is lost due to the injury, subsequent to the initial return to work, then the injury shall be accounted as disabling.

4.14 Minutes of safety committee meetings .-

(a) Minutes of meetings should show date and time of meeting, names of those present, action on unfinished business, brief description of new business discussed and action taken or ordered by the committee on each item. The discussion should always include the predominating socident hazards of the yard and the means suggested to control them.

 (b) Various committee forms will be made available to shippards on request.

4.17 These forms and any others pertaining to industrial safety or health shall be filed and made available to authorized









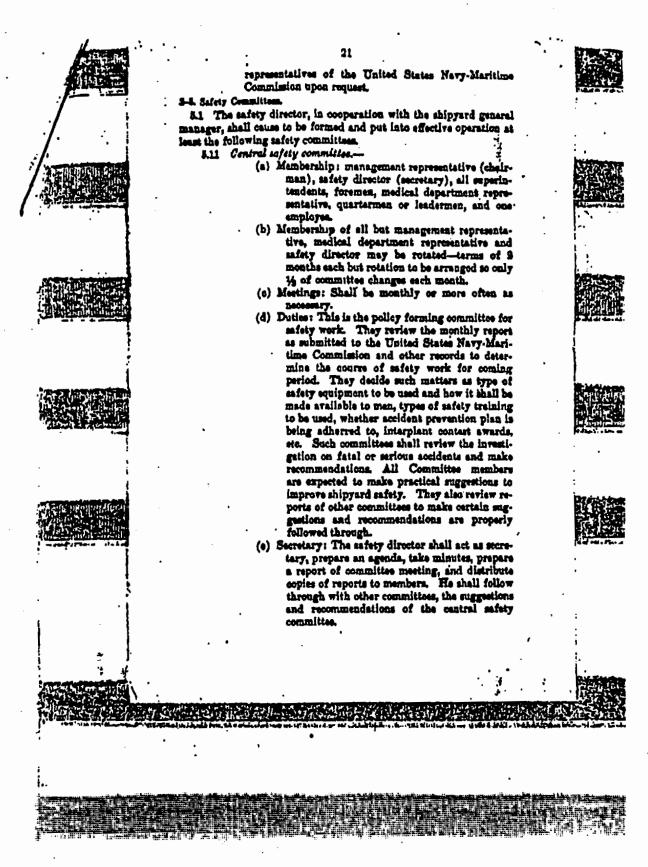






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8.19 Supervisors' safety committee .--

(a) Membership: Management representative, safety director, medical department representative, superintendents, foremen, quartermen, and leadermen. This is a rotating committee; retation should be arranged so all of the supervisory staff serve in their respective periods, i. a., superintendents change each four months, foremen each two months, quartermen and leadermen each month. In no case should an entire group change at one time. Where a department or unit of a department has an unusually poor record then the responsible supervisory staff—superintendent, foremen, quartermen or leadermen should be retained on the committee until their record is at least equal to the ship-yard average.

(b) Meetings: Shall be monthly or samimonthly or more frequently, as necessary.

(c) Duties: The primary purpose of this committee is the stimulation and maintenance of interest and the education of its members in accident prevention. The shippard accident record shall be reviewed, the predominant types of accidents and the predominant causes of these accidents shall be discussed. Suggestions and recommendations to improve the records are solicited from each member. At least one timely subject must be discussed at each mesting; i. e., such as eye injuries and their prevention, electric shocks, hand tool accidents, etc. Methods of avoiding accidents due to these operations should be presented by the committee members.

(d) The committee shall review reports of all fatal and serious accidents and suggest preventive action. It shall review reports of inspection committees and check on the quality of the suggested corrective action for unaste conditions or practices reported.

.(e) The committee shall carry out the suggestions and recommendations of the central committee.

(f) Outside speakers such as safety engineers, insurance men, State Department of Labor men or men from other shipyards, may be used from



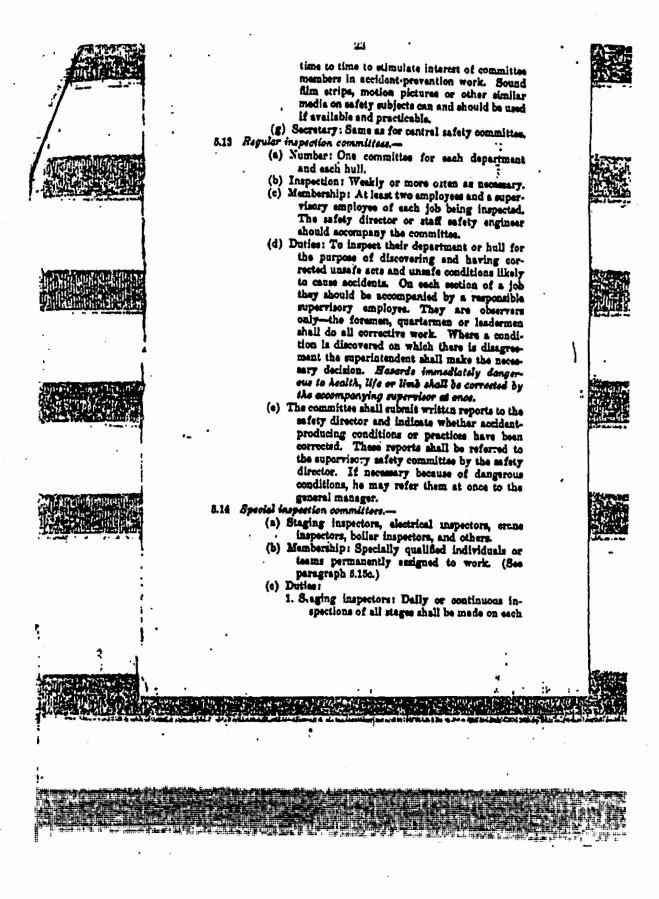




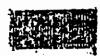


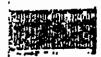












hull—or other locations where stages are used. Report shall be made of all defeats to the responsible supervisor for immediate correction. Copy of the daily report shall be submitted to the safety director.

 Crane inspectors: Weekly inspections shall be made of all cranes and rigging. Reports shall be submitted to proper department heads for correction of unrafe conditions or practices. Copies of reports on defects shall be made to the safety director.

8. Other inspectors: As above and at indicated frequency.

5.15 Safety committees-General,-

(a) Committees in addition to those specified in these standards, may be formed and operated if desired.

(b) Representatives on all committees, when of a supervisory status, should be appointed by the shippard general manager and held responsible by him for active and interested participation in the work of the committee. Employee representation may be secured in the same manner, or by appointment of employee committees, union shop stewards or by election of union members or by any other feasible means.

(c) The services of production employees having related duties may be taken advantage of on inspection committees. Stage arectors or repair man may serve as permanent and continuous staging inspectors, a man or man from the ventilation crew may be utilized for checking on ventilation practices. The reports of state or insurance inspectors will be considered adequate on boilers, air compressors and receivers or on other pressure vessels.

S-4. Employee Sefety Training.

6.1 The time for the safety training of an employee to start is at the inception of his employment. After a physical examination, which should be made to make certain that the employee is physically capable of performing safely the work he is requesting, the man shall have explained to him the safety policy of the company by a representative of the safety department. This may be done individually, in general groups or in craft groups and the instruction may











be supplemented by printed instructions in the form of rule books or instruction cards.

6.9 Employees shall have in their possession, and be instructed in the proper use of, all necessary personal protective equipment before being started on any job.

6.5 General safety rule books, craft safety rule books or safety instruction cards should be supplied employees. Such books should be concise but complete enough to furnish written record of all important safety rules. No rule shall be included which well not be strictly enforced. The assistance of United States Navy-Maritime Commission safety engineers will be given in the preparation of such rule books if desired.

6.4 All employees shall be instructed in their specific duties by their immediate supervisor and they shall be made familiar with the hazards of the job and instructed carefully in how to avoid them. It shall further be the duty of the supervisor to constantly check all employees so unsafe working practices may be corrected before accidents occur.

6.5 Safaty instruction shall be correlated with all apprentice and craft training schools. Safety instruction in such schools or training courses shall include an explanation and demonstration of the need for the safety equipment or safe practices specified and the strict enforcement of all safety requirements in the classes. The instructors shall, by their own example, impress upon the learners the impression of the safety requirements.

importance of the safety requirements.

6.6 Safety bulletin boards shall be located as each hull and shop, and at such other locations where they may be desirable, on which safety posters, letters or bulletins from the shippard management or safety department and other safety material may be posted.

6.61 The bulletin boards shall be located where the majority of the employees as a particular location will see them.

6.63 The bulletin boards shall be well constructed, have a locked glass cover and shall be lighted at night.

6.63 Safety posters and other material on bulletin boards shall be changed at least semimonthly or more often. (Posters will be made available by the United States Mavy-Maritime Commission for the use of shipyards. However, posters may be selected by the shipyard safety department from any source.)

6.7 Where shippard house organs (magazines or newspapers) are established, the safety director shall arrange to have a reesonable proportion of the space devoted to safety (articles, items and cartoon cuts relating to safety will be made available by United States Navy-Maritime Commission safety consultants.)



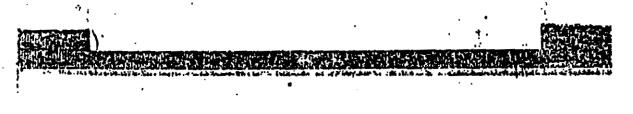






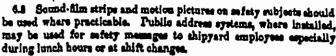












8-7, Salety Supply Store.

7.1 A safety supply store shall be established in each shippard where safety shoes, safety hats, protective impact goggles and filter-lans welding goggles shall be made available to shippard workers. Shoes may be sold at or near cost to employees, but safety hats and impact and filter-lens goggles shall be issued to each employee but shall remain the property of the company to be returned when the employee ends his employment. Equipment such as work clothes, gloves, welders helmets, may also be stocked and sold to employees if desired.

7.11 Goggles may be stocked in the central tool room or first-aid department but should be fitted as described in paragraph 7.9 following.

7.2 The attendant of the safety store should be skilled in fitting safety shoes, and if goggles are also issued, he should be trained in proper fitting and sarvicing of goggles.

S-L. Goggies.

8.1 Impact-resisting goggles of a type suitable for the particular job and also of a type meeting the requirements of the United States Bureau of Standards, shall be worn by every employee exposed to the hazard of eye injuries. Practically every employee in the shippard, with the exception of those working inside offices at all times are either directly exposed to eye injury from the work they do, or indirectly through working near operations which are likely to produce flying objects. (See paragraph H-10.3a, page 4.)

8.2 Except where temporary lack of goggles make it impossible, each employee shall have his own pair of goggles. If it is necessary to reissue goggles to different employees, the goggles must be sterilized after each use.

8.3 Goggles supplied employees should be carefully fitted to their face to prevent irritation and to prevent the entrance of foreign objects around the edges. (See paragraph 7.2.)

8.4 All employees working in proximity to are welding operations shall be required to wear anti "flash" goggles, of at least No. 9-6 shade (or equivalent), of a type meeting the requirements of the United States Bureau of Standards. (See section 9.1 on welding for miditional eye protection for welders.)

8.5 Welding screens constructed of wood, metal or other suitable material shall be used to protect the eyes of workers in proximity to electrical welding operations, whenever their use is practicable.



















9.1 All welders shall be made familiar with the hazards of their work and instructed in safe methods of performing the various types of jobs to which they are assigned.

. 9.2 Parsonal protection equipment used by welders shall include:

- 9.21 Welders' protective hood provided with the proper shade of filter type lens for protection against the harmful rays of the arc and a clear cover glass to protect the filter lens.
 - (a) The shades or their equivalent recommended are:
 Up to 30 amperes—No. 6-7 Shade.
 30 to 75 amperes—No. 8 Shade.
 75 to 200 amperes—No. 10 Shade.
 200 to 400 amperes—No. 12 Shade.
 Over 400 amperes—No. 14 Shade.
 - (b) Shades may also be selected from the following table:



- (e) The welder's hood should be inspected at least weekly to detect possible light leaks, cracked protective glass, or badly fouled or missing cover glasses. Any defects discovered shall be corrected at once.
- 9.22 Protective leather welders' jacket, long-sleeved wool shirt with buttoned collar and leather welders' glooves and safety hat.
 - (a) It has been found satisfactory in the hot summer mouths to substitute fiameproofed cotton shirts. If this is done, the fiameproofing, which must must be reapplied after each washing, should be done under the direction of the shipyard. This entails that laundering also be done by the company. Commercial !aundries are rapidly undertaking this type of work.
- #33 Hardened and filter lens protective goggles with sideshields to be worn under the hood for protection against harm-







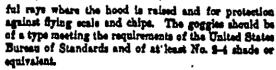


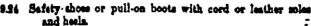


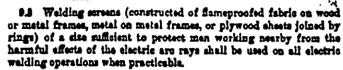












9.31 A type found very successful by one large company can be economically constructed of \(\frac{\psi}{2}'' \) to \(\frac{\psi}{2}'' \) plywood. Two please about 18" x 30" are joined at two points along one edge with 2" x \(\frac{\psi}{2}'' \) rings. The large size of the rings allows the two please to lap sufficiently to make a lightproof joint, while the light weight of the assembled screen makes man more prone to use them.

9.4 Welding leads shall be inspected at least once each shift, and those found defective shall be repaired or replaced.

9.5 All welding leads should be coiled back to centrally located stations after the completion of each shift or job.

9.6 Welding rod tips should not be thrown on decks or stages but should be retained by the welder and turned in at the end of the day for salvage.

9.7 Each electric welder shall make an inspection of the area below him, and of the opposite sides of bulkheads on which he is working, to make certain that there is no danger of falling or penetrating sparks causing a fire. He and his helper must know the location of fire extinguishing equipment and how to use it. It is recommended that a fire extinguisher be available in the immediate area.

9.8 The safety of women welders presents several special problems which should be carefully considered while women are being trained and during their first several weeks on the job.

9.81 Women will at first be subject to excessive fatigue because they are unaccustomed to shippard work. In their enthusiasm they are likely to overdo and will, under such conditions, be more prone to accidents and at the least, absences may follow. They should, until they become accustomed to the work, be carefully watched by supervisors and if signs of fatigue are evident they should temporarily be given lighter work.

















Work clothing for women is still in the development stage. In general, however, the following should be observed:

(a) Safety shoes or pull-on boots with cord or leather soles and beels.

- (b) Long underwear, union suit type (wool for winter) khaki trousers and shirt, or coverall type of overall with a drop seat and welders' leather uniform.
- (c) It is desirable that the outer clothing, unless of wool, be flameproofed.

(d) Losther gloves.

Whenever possible, mechanical means of handling material should be utilised in preference to manual handling.

10.1 Equipment for burners shall be the same as that for welders except that the leather clothing and welders' believe need not be worn. Filter type lens protective glasses with side shields. No. 3-8 shades or their equivalent should be worn. Flamsproofed clothing is desirable.

10.9 All individual oxygen and acetylene and other gas lines shall be turned off at the manifold at lunch hour and at quitting time or if the burner must have the immediate vicinity of his work during the regular shift.

10.3 All hose should be coiled up to the manifold when shifts are

changed or whan jobs are completed.

10.4 The practice of dusting the clothes by blowing oxygen on them or using anygen for ventilating or cooling purposes has resulted in several fatalities and shall be obsolutely forbidden. Oxygen shall be used only in connection with burning or walding operations.

10.5 Each burner shall make an inspection of the area below him, and of the opposite sides of bulkheads on which he is working, to make certain that there is no danger of falling sparks causing a fire. He and his helper should know the location of fire-extinguishing equipment and how to use it. It is recommended that a fire extinguisher be available in the immediate area.

10.6 Burners' uniforms (oversile) shall be laundered at least weekly except that if oil or grease is spilled on the clothing, it shall be changed at once. It is desirable that arrangements to made by the company to have uniforms (overalls) laundered and flameproofed.

10.7 Defective burning equipment such as torch, hose or cylinder pressure regulators (where cylinders are used), shall be repaired mmediately.

10.8 All oxygen and acetylene (gas) lines shall be inspected at least once each shift and those found defective shall be repaired or replaced.

10.9 Standard color coding for oxygen and acetylene pipe lines shall be observed for oxygen and acetylene. (Since it may be impos-

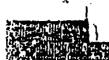


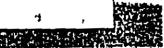














sible to secure colored hose during the war, identification may be made by any practicable means so long as every burner and burner's halper or any other person who has occasion to use oxygen-acetylene (gua) equipment is thoroughly familiar with it.)

S-IL Cranes (Whirleys, Hammerhands, Bridge, etc.)

'11.1 The safe loads as specified for cranes on single lift shall not be exceeded.

a. For the guidance of crane operators, weights of all sections over 5 tons shall be plainly marked on the section in figures at least 12 inches high.

11.3 On double lifts, cranss shall not be loaded to more than 75% of their combined rated capacities.

11.3 All crane operators shall be given a thorough physical examination upon employment and at at least yearly intervals thereafter. Particular attention should be given to the eye examination.

11.4. Crane inspectors. (See section S. 5.14.)

11.5 All whirtey and hammerhead cranes shall be provided with humper guards of %" wire rope or equivalent set from 32 to 36 inches from the ground, and fastened in the form of a half loop to all four whose covers at the leading and trailing ends of the grane.

11.6 All traveling cranes regardless of the type shall be equipped with a clearly audible automatically operated signal which will indicate that the crane is in motion. A siren or electric horn pitched to a tone above or below the general noise level of operations is preferable to a gong or bell.

11.7 The crans operator shall take signals only from the designated hook tenders or riggers and no others. Hook tenders shall be identified by special hats or arm bands.

11.8 All loads shall be lifted or lowered under power.

11.9 Employees shall not be permitted to pass between the leading and trailing trucks of whirley cranes ar ANT TIME.

a. Wheel covers shall be provided which will protect all wheels of whirley, gentry, hammerhead and bridge cranes to a distance of 1/2 inch from the crane tracks.

11.10 Employees shall not remain under, or pass under crans loads.

11.11 Trolley lines for cranes shall be protected against accidental contact by men or material, by wood or other suitable sheathing, or if the trolley lines are elevated they shall have a vertical clearance of at least 19 feet above the ground.

a. Bumper guards for trolley ends of bridge cranes should be provided to prevent the holsting cables from swinging into the trolley















11.13 The crans operator shall be required to immediately notify a designated department head of any defects he notices in the crans or its equipment.

11.13 No person other than the crane operator, a trainee, the supervisor in charge of cranes, the crane inspector, repairmen on crane repair jobs or safety department men shall be permitted in crane cabs. No more than three persons shall be in the cab at any time.

a. Whanever possible, crans operators should be relieved on the ground and not in the crans cab.

11.14 Except under emergency conditions and then only with the approval of the safety department, men shall not ride loads. Man shall never be permitted to ride empty hooks or slings.

11.16 A clearance of at least 2 feet and preferably more shall be maintained between the crane and any stationary object or materials. Where axisting structures make this clearance impossible, an exception to this rule may be granted by the United States Navy-Maritime Commission Safety Consultant after an inspection.

11.16 Strong-backs or spreaders should be used on all lifts where there is danger of the load buckling or where the spread is so wide alings or clamps may alip. Steel strong-backs are preferable to wood.

11.17 The hook tenders shall familiarize themselves with the weights of the various plates, shapes and sections handled, so chain or cable allogs of the proper size will be used on lifts.

11.18 All chain and cable slings and strongbacks should be clearly marked, by color coding, to indicate the maximum safe load for which they are to be used.

11.19 All electric cranes should be equipped with limit switches to

prevent double blocking.

11.20 Storage racks shall be provided for all chain and cable slings at points convenient to the operations so they may be safely stored when not in use. All chain and cable slings shall be inspected before each use by the hook tander and if found defective, shall be sent to the proper department for repair. Such inspections shall be in addition to, not substitutes for, the regular inspections by the safety department,

3-12. Plant Housekeeping.

19.1 Housekeeping shall be maintained at a high standard in all parts of the shipperd at all times. The following rules shall (or should, as indicated) be put into effect:

a. Wide, well-defined roads, aisles, and passages shall be laid out in the yard and shope and they shall be kept clear of obstructions and shall be kept clean and free from debris. The width of aisles and passages in some of the older yards may be limited because of exist-

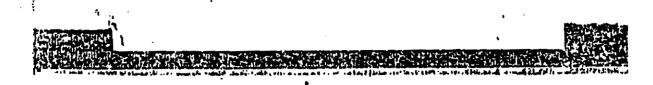


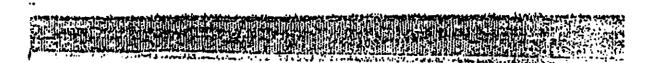




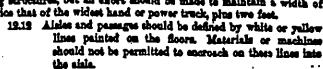


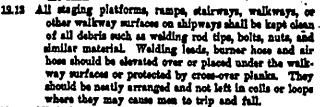




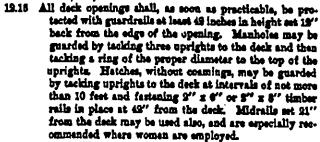


ing structures, but an effort should be made to maintain a width of





19.14 All dock areas on hulls shall be kept free of debris and construction material shall be neatly piled so as not to present a hazard to employees. (See par. S. 12.13 on hose, etc.)



(a) Where they are projecting stud bolts around the manholes or tank tops, they should be protected with either metal strips or wood covering to prevent alips and falls or enegging of clothing of workers

12.16 All snow and ice shall be cleaned from stagings and platforms (by turning the planks), and from decks, before men on regular production are permitted to week on

19.17 Free access shall be maintained at all times to all exists and to all fire-alarm boxes or fire-extinguishing equip-

19.18 All oils, paints, thinners, solvents, waste, rags, or other flammable substances shall be stored and used strictly













In accordance with the requirements of the National Fire Protection Association standards.

18.19 All staging lumber, or other lumber, when dismentied gial here all nails or spikes removed or bent over.

Plates and shapes shall be stored either in substantial metal or heavy timber racks or stored flat on a substantial timber or concrete foundation that will prevent shifting.

shifting.

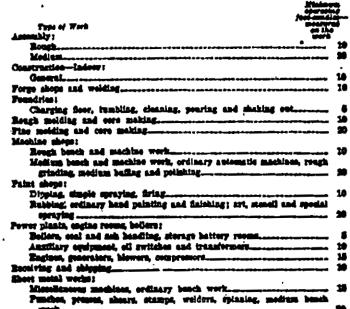
(a) Plates and shapes shall be stored so there is at least an 8-foot clearance from the center line of railroad tracks.

19.91 Angle brackets and similar small pieces shall be stored in recks.

S-12. Lighting.

18.1 A level of illumination should be maintained for the various type of jobs in all shops, at least as high as that recommended by the standards of the Illuminating Engineering Society.

Minimum Standards of Illumination for certain industrial interiors as recommended by the Illuminating Engineering Society are as follows:

















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	Steel and tren manufacturing: Stinet, blooming, about bur, shelp and stabling mile.	•
,	Beller room, powerhouse, frendry and furnion rooms	
ż	Cold strip, pipa, rail, red, tube, universal plate and wire drawing	
	Rough beach and machine work	*** **********************************
	Meetum bench and machine work	
	Carpester and pattern shop	The Contraction
	Blore and stack rooms:	Maria Maria Sala Sala Sala Sala Sala Sala Sala Sa
/ F	Hough bulky material	
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	Westworking: Bough sawing and bruch work	
'tuaramer's	Miring, planing, rough earding, medium machine and beach wort, giv-	
	ing, reserving, cooperation	
PIOP MARK	13.2 All lights should be provided with reflectors suitable for the type of work being done and meeting the requirements of wartime	CALC.
***************************************	dim-out regulations. A regular schedule of cleaning and mainte-	
	nance should be instituted that will keep the lighting units at their	
;	original efficiency.	,
. :	S-14. Hard Tools. 14.1 All tool rooms issuing hand tools such as hammers, sledges.	. , ,
	chisels, sped wrenches, center punches, portable air-driven tools, port-	on him in the fall of
THE COLUMN	able electric tools and other tools should be inspected daily by a safety	
	 engineer to make certain that only tools in good condition are being issued. Tools in poor condition shall not be insued. 	- netheralization
	14.9 Workers' personal tool kits should be inspected at monthly	
	intervals so defective tools may be discovered and repaired.	
	5-13. Ecoding Material (Manual).	
•	18.1 All employees should be instructed in the proper method of	Market and the second and the second
Control Control	Hfting. No limit can be set as to the maximum weight to be lifted by one man, but it should be made clear to all employees that they	阿里斯斯斯
科斯斯	should secure help if the load is too heavy or too bulky for one man to	
ALIEN STORES	handle essily. Mechanical equipment should always be used when it	Indiana Carte Control
i 1	is available and its use is practicable. 18.11 Posters illustrating the proper method of lifting should	
:	be displayed frequently and men observed lifting incor-	
•	rectly should be reinstructed by their supervisor.	
į	S-16. Hacking Guerling.	
•	18.1 All belts, pulleys, geers, chains, sprockets or other dangerous moving parts of machines shall be completely enclosed with guards	,
1	mount here or metanties men on combineral and countries	
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constructed of angle-iron brackets covered with heavy sheet matal or if-inch wire mech. Vertical or inclined belts shall be guarded to a height of 8 feet above the floor. Horisontal belts over 8 feet above the floor may be guarded only on the under side. Gears, chains and sprockets should be guarded no matter where located.

18.11 Since metal may not be available for guards at present, substantially constructed wood guards will be acceptable.

16.19 In all cases where state requirements are more stringent than those given above, the state rulings must be followed.

16.2 All machines shall be guarded at the point of operation so amployees will not be injured while operating the machine.

16.91 The standards of guarding for the various machines as recommended by the Insurance Rating Bureau should be followed except where state requirements are more stringent when the latter will take precedence.

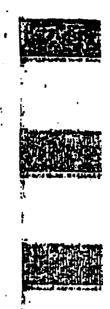
S-17, Staging and Ladders.

17.1 United States Navy—Maritime Standards of Construction for ahlpyard staging is in the process of development and will replace the present recommended practice when published.

17.2 All staging, scaffolding, platforms and walkways shall be constructed in accordance with the requirements of the California State Industrial Commission except where existing state codes are more stringent in which case the latter shall take precedence.

17.3 All ladders should conform to the American Standard Safety Code on ladders.

















Certificate of True Copy

n the Maritime Administration, U.S. Department of Transportation

IN WITNESS WHEREOF, I have becoming set my hand, and causes the seal of the Maritime Administration to be allized, on the

day and year below written.

Secretary Maritime Administration

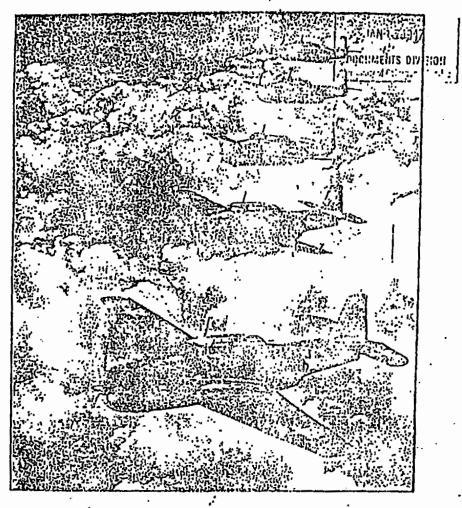
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DATE: 12 HILDS TO THE RENEE C. ROBERTS, N.P.



EXHIBIT I

SAFEIT KEVIEWY-



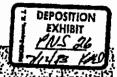
NAVEXOS P-52



Vol. 4, No. 1 Jan. 1947

J-H EXHIBIT 12(c)(1)





DEFENDENT'S EXHIBIT Bulfalo Pumps

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Mary Marine National Reservoir Control of the Contr

FOURIDSY PUST

one of the elements in offective control of silicusis in foundry operations is the maintrance of the highest standards of housekeeping. Une phase of the housekeeping program should include positudic romewal, our a scheduled basis, of the dost which has sattled on everheld abstructions, kirders, conduits, catualts, and other fixed objects where dust in the general atomphere may cettle and come to rest. Several of the shore establishments, realizing the importance of good house-reping conditions in keeping down concentrations of dust in the general foundry atmosphere, make use of industrial vacuum-cleaning systems for the periodic removal of dust which has settled on everhead structural members and equipment.

The importance of developing and maintaining such a housekeeping program is explusized in the following report submitted recently by the Boston Hayal Shipyard:

Apersumnel working in the foundry have complained of the material which is deposited overhead and elsewhere in the foundry and drops down when the building vibrates; a laboratory analysis of a sample of this deposit follows:

"In that the inhalation of heavy noted dusts is considered a con-tributing factor to metal-fune fever, the need for protective gassures is obvious and again urged."

ABRESTOS DUST

EXPERIZE to asbestos duet is a health hasard which cannot be overlooked in maintaining an effective occupational-hygiene program. Adoquate localized ventilation to maintain dust concentrations held the safe threshold limits bust be utilized wherever possible, and, if circumstances warrant these should be supplemented by general-room ventilation. Activities engaged in

the handling of ashoutes installation and pine expering should thorsughly investigate the environmental conditions under which these appearings are performed, taking the necessary dust counts and checking existing ventilating facilities to insure that the hand is being affectively and continuously controlled. In this instances where suchanical exhaust ventilation must be supplemented by the wanting of personal protective equipment, personal expused to such himself exhaunt which have not formulated the navy but mask, conforming to Buchtys Ad Int. aras should be larnished the havy hit mask, conforuing to Bushies Ad Int. Specification, Herks (for Protection of Respiratory Organs from Toxic Forces and Dust), 4sted 16 Beptember 1946, 37MJ, Type C, Class 1, Filter-Pad Rasks.

The following report from the Haval Shippard Portamouth, Now Hampshire, records the rasults of an investiga-tion conducted at that activity:

"There were two investigations of occupational-health exposures during the mouth of October.

I (a) Conditions in the Ambestos Insulation and Pipe-Gover Section of Hids. 174 were investigated and it was found that the dust count in this section was upward of 5 m./cu.ft.

(b) Pecommendations were made as fullows:

1. That the asbestos covering process be confined to so small a section of the shop as possible.

2. That pruper ventilation be

secured.

J. That appropriate respirators J. That appropriate ... be wern by the workers. 4. That instruction he sivan workers in the use of respirators.

FLAHERMORCHO OF TEXTURE

THE National Jureau of Binndards 72-contly annunced "Circular C-455, Flamaproofing of Textiles," which sats forth must recent results of research to davelap treatments which ratues the flamability of textiles and rate them rearonably resistant to effects of vater. It size gives a new method for determining the relative flamability of untreated textiles. Dequarts for this publication should be sent to the Safety Branch, Office of Industrial Relations, Euliding K-1005, Navy Popartment, Veshington 25, D. C.

Slip Opinion in the Matter of Reaser v. Allis Chambers Corp., CV 08-1296-SVW (C.D. Cal. June 23, 2008)

EXHIBIT D

UNITED STATES DISTRICT COURT CENTRAL DISTRICT OF CALIFORNIA ROBERT REASER, et al. CV 08-1296-SVW (SSx) Plaintiffs, ORDER DENYING PLAINTIFFS' MOTION FOR REMAND [18] ν. ALLIS CHAMBERS CORPORATION, et Defendants.

I. Statement of Facts

Plaintiffs Robert and Christine Reaser brought this action against General Electric Company ("GE"), Viad Corporation ("Viad")¹, and other Defendants in Los Angeles Superior Court on January 25, 2008. (Motion for Remand, at 1 ("Motion").) Plaintiffs allege that Robert Reaser ("Reaser") developed malignant mesothelioma as a result of exposure from Defendants' asbestos or asbestos-containing products aboard United States naval ships. (Complaint, at ¶ 3 ("Complaint").) Reaser served in the U.S. Navy from 1951 to 1964, during which he was allegedly exposed to asbestos while working on the U.S.S Shea, U.S.S. Boston, U.S.S. Providence, and the U.S.S. Wilkinson. (Defendant Viad Notice of Removal, at 3.) Plaintiffs allege that Defendants violated their state law duties to warn Reaser about the dangers of asbestos

Defendants Viad and GE were the only defendants who removed the case and filed oppositions to the Motion for Remand.

Case 2:08-cv-01296-SVW-SS Document 38 Filed 06/23/2008 Page 2 of 14

exposure from equipment found on naval vessels. (Motion, at 1.)

Viad, later joined by GE, removed the case to federal court based on the federal officer removal statute, which provides federal jurisdiction over claims against "any officer (or any person acting under that officer) of the United States or of any agency thereof . . . for any act under color of such office . . ." 28 U.S.C. § 1442(a)(1). This statute does not require all Defendants to consent to removal. See Durham v. Lockheed Martin Corp., 445 F.3d 1247, 1253 (9th Cir. 2006) ("Whereas all defendants must consent to removal under section 1441 . . . a federal office or agency defendant can unilaterally remove a case under section 1442.")

Plaintiffs, clearly anticipating that one or more Defendants would attempt to remove the case to federal court, included a disclaimer in the Complaint which attempts to waive any cause of action arising from exposure to asbestos dust that occurred in a federal enclave, expressly excluding from the disclaimer U.S. Navy vessels. Plaintiffs also disclaim any cause of action resulting from exposure to asbestos dust caused by any acts or omissions of Defendants committed at the direction of a U.S. officer. (Complaint, at ¶ 4.) On April 14, 2008, Plaintiffs filed a motion for remand to state court on the ground that this Court lacks subject matter jurisdiction. (Motion, at 2.)

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26 II. Analysis

Three main issues must be addressed in examining Plaintiffs'
Motion. The first is whether the standard of review includes a
requirement that Defendants meet a "special burden" in showing that
federal officer jurisdiction is proper. The second is whether
Defendants meet the elements of federal officer jurisdiction. The
third is whether Plaintiffs' disclaimer should be determinative as to
the question of remand.

A. Standard of Review

A party seeking removal under § 1442(a)(1) must demonstrate that

(a) it is a person within the meaning of the statute; (b) it can

assert a "colorable federal defense"; and (c) there is a causal nexus

between its actions, taken pursuant to a federal officer's

directions, and the plaintiff's claims. <u>Durham</u>, 445 F.3d at 1251.

Defendants Viad and GE assert that they must prove only by a

preponderance of the evidence that removal to federal court is

proper, as typically required. (<u>See</u> Defendant Viad Opposition Motion,

at 3.)

Plaintiffs argue, however, that Defendants have a "special burden" in showing that federal officer jurisdiction is proper.

(Motion, at 3.) Plaintiffs assert that the special burden requires Defendants to present concrete, verifiable evidence regarding satisfaction of federal officer jurisdiction, particularly with respect to satisfying the existence of a colorable federal defense. This burden would create a higher standard for Defendants at this stage of the litigation process. Citing to Williams v. General Electric Co., Plaintiffs allege that because § 1442(a)(1) is

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"predicated on the protection of federal activity and an anachronistic mistrust of state courts' ability to protect and enforce federal interests and immunities from suit, private actors seeking to benefit from its provisions bear a special burden in establishing the official nature of their activities." (Motion, at 3) (quoting Williams v. General Electric Co., 418 F. Supp. 2d 610, 614 (M.D. PA. 2005)). Furthermore, Plaintiffs rely on <u>Hilbert v.</u> McDonnell Douglas Corp. in arguing for a heightened burden in satisfying the three prongs of § 1442(a)(1). 529 F. Supp. 2d 187 (D. Mass. 2008). In Hilbert, the defendants claimed that the military, through its contracts, exercised its discretion in such a way as to prevent them from warning the plaintiff about the dangers of asbestos, similar to the present assertions by Viad and GE. <u>Id.</u> at The court required that the defendants submit actual citations 199. to regulations or contracts evidencing the government's alleged control over asbestos warnings. Because the defendants did not produce such evidence, the court held that this "sort of speculation is not remotely adequate" to satisfy federal officer jurisdiction and remanded the case. Id. at 202-203.

The Ninth Circuit, however, has rejected the notion that defendants must meet a special burden in order to satisfy the three prongs of federal officer jurisdiction. In <u>Durham</u>, the Ninth Circuit noted that, while removal under § 1441 is to be strictly construed, the federal officer removal statute is to receive a generous interpretation. According to the Ninth Circuit, "the Supreme Court has held that the right of removal is absolute for conduct performed under color of federal office, and has insisted that the policy

favoring removal should not be frustrated by a narrow, grudging interpretation of § 1442(a)(1)." <u>Durham</u>, 445 F.3d at 1252 (citing Arizona v. Manypenny, 451 U.S. 232, 242 (1981)). Therefore, when federal officers and their agents are seeking a federal forum, the Court is to interpret § 1442 broadly in favor of removal. Id. In following the Supreme Court's broad interpretation of § 1442 and rejecting the need for a special burden, the Ninth Circuit has maintained that Defendants must simply make an adequate showing that the requirements of federal office jurisdiction are met to support removal to federal court. Id. at 1252-1253. Therefore, to qualify for removal, Defendants must be a person under the statute, raise a colorable féderal defense, and present a basis for a causal connection between the charged conduct and the asserted government authority. Willingham v. Morgan, 395 U.S. 402, 409 (1969) (citing Maryland v. Soper (No. 1), 270 U.S. 9, 33 (1926)). Defendants need not prove their federal defense or a causal nexus to justify removal.

B. Three Prongs of Removal under § 1442(a)(1)

1. Person

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As corporations, Defendants Viad and GE meet the preliminary requirement that the party seeking removal is a person within the meaning of § 1442(a)(1). See Fung v. Abex Corp., 816 F. Supp. 569, 572 (N.D. Cal. 1992). There is no dispute between the parties as to this prong.

2. Colorable Federal Defense

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Defendants seek removal under the government or military contractor defense, which protects a government contractor from liability for acts done by him while complying with government specifications during execution of performance under a contract with the United States. McKay v. Rockwell Intern. Corp., 704 F.2d 444, 448 (9th Cir. 1983). The Supreme Court recognized the contractor defense in Boyle v. United Technologies Corp., where it held that liability for design defects in military equipment could not be imposed on a private government contractor under state law where: (1) the United States approved reasonably precise specifications; (2) the equipment conformed to those specifications; and (3) the supplier warned the United States about the dangers in the use of the equipment that were known to the supplier, but not to the United States. Boyle v. United Technologies Corp., 487 U.S. 500, 512 (1988). This defense has been extended to failure to warn cases; however, it is inapplicable in the absence of evidence that the defendants' decision to not provide a warning was "in compliance with reasonably precise specifications imposed on it by the United States." Butler v. Ingalls Shipbuilding, Inc., 89 F.3d 582, 586 (9th Cir. 1996). Where there is no conflict between requirements imposed under a federal contract and a state law duty to warn, the Court should defer to state law. Id. /// ///

a. Reasonably Precise Specifications

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To illustrate that reasonably precise specifications set forth by the Navy exist and that these specifications conflict with the state law duty to warn, Defendants rely on the declarations of Dr. Cushing and Admiral Lehman. Dr. Cushing, President of C.R. Cushing & co., Inc., Naval Architects, Marine Engineers and Transportation Consultants, notes that the U.S. government was intimately involved in the manufacture of any contractors' equipment used in U.S. vessels, "as the equipment manufactured for those vessels was designed and built to meet precise and exacting specifications of the U.S. Navy." (Cushing Dec., at 4.) Furthermore, Dr. Cushing asserts that "[w] hether certain equipment used aboard U.S. Naval vessels should have warnings, and the content and format of any such warning, was determined solely by the U.S. Navy." (Id. at 5.) Admiral Lehman, a retired Rear Admiral of the U.S. Navy, states that "equipment suppliers were prohibited from providing any warnings on or to accompany equipment supplied to the Navy without the consent and approval of the Navy." (Lehman Dec., at 5.) Moreover, Admiral Lehman claims that certain types of warnings were simply not approved by the Navy, such as any warnings associated with hazards from asbestos. (Íd.) Plaintiffs argue that these declarations do not refer to actual contracts or any personal knowledge of contractual obligations owed by Defendants, but rather are mere speculations about what the Navy would have permitted. (Plaintiffs' Reply, at 6.) Essentially, Plaintiffs assert that Defendants have not adequately shown that the Navy set forth reasonably precise specifications, such that Defendants fail to raise a colorable federal defense.2

² Plaintiffs further argue that Admiral Lehman and Dr. Cushing lack personal knowledge and that their declarations lack foundation and

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Plaintiffs' argument relates to their assertion that Defendants must prove their case in order to satisfy the three prongs for removal under § 1442. (Motion, at 3.) Because the Supreme Court and the Ninth Circuit has declined to require that any such burden be placed on defendants in federal officer removal cases, the argument that actual contracts are required to illustrate reasonably precise specifications at this stage of the litigation process necessarily See Willingham, 395 U.S. at 407 (noting that to be colorable, fails. the defense does not need to be clearly sustainable, as the purpose of § 1442 is to secure that the validity of the defense will be tried in federal court). Defendants need not establish the validity of their federal defense in order to justify removal. Rather, they must only raise a colorable federal defense. The declarations made by Admiral Lehman and Dr. Cushing describe general naval policies and shipbuilding practices, and illustrate the lack of discretion given to government contractors in supplying equipment to naval vessels. As Plaintiffs point out, the declarations do not reference any specific contractual provisions that prohibit Defendants from placing warnings on naval equipment about the dangers of asbestos exposure.

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are speculative. These arguments lack merit because Admiral Lehman and Dr. Cushing's declarations are based on years of experience and training in regard to the design and operation of U.S. Navy vessels. Both declarants state that they are personally familiar with the degree of supervision and control of the Navy over the actions of its contractors. The declarations describe typical Navy specifications and offer explanations as to why warnings about asbestos would not have been permitted. (See Lehman Dec., at 2-3; Cushing Dec., at 2, Additionally, Plaintiffs claim the declarations are inadmissible per the "best evidence rule." Fed. R. Evid. 1002. This argument also lacks merit because Admiral Lehman and Dr. Cushing are not trying to "prove the content of a writing," but rather they are relying on their independent knowledge and familiarity regarding Navy specifications in making their assertions. Id.

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The absence of specific prohibitions, however, does not render these declarations useless; rather, the declarations provide Defendants with a basis for asserting a colorable federal defense, which is all that is needed at the removal stage. A central district court similarly determined that Admiral Lehman's declaration created an inference that military contractors did not provide a warning concerning the dangers of asbestos because the Navy did not permit any such warning. Oberstar v. CBS Corp., No. CV 08-118 PA, at 5 (C.D. Cal. Feb. 11, 2008) (citing Nesbiet v. General Electric Co., 399 F. Supp. 2d 205, 208 (S.D.N.Y. 2005)). Once again, the court noted that defendants must only show a colorable federal defense at this stage of the litigation process, not one that will ultimately prevail. <u>Id.</u> Additionally, a Northern District of California court has specifically rejected the argument that a defendant must produce actual contractual documentation in order to demonstrate that it worked under reasonably precise specifications. See Ballenger v. Agco Corp., 2007 WL 1813821, at *3 (N.D. Cal. June 22, 2007) (stating that to require past contracts would frustrate the purpose of § In this action, based on the declarations of Admiral Lehman and Dr. Cushing, it is possible to find that the Navy set forth reasonably precise specifications regarding the use of warnings, such that Defendants have a basis for asserting a colorable federal defense.

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b. Conformity to Reasonably Precise Specifications

As to the second element of the government contractor defense, Defendants must show that the products supplied to the U.S. Navy

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conformed to the reasonably precise specifications set forth by the Navy. Essentially, Defendants must show that the Navy received what it sought. Based on the declarations by Admiral Lehman and Dr. Cushing, it can be inferred that any deviation from the Navy's specifications would have resulted in rejection of the equipment.

(See Cushing Dec., at 4-5; Lehman Dec., at 4.) Thus, if Plaintiff Reaser had been exposed to asbestos on naval vessels where Defendants had supplied asbestos-containing equipment, it is likely that this equipment conformed to the detailed specifications set forth by the Navy. Had this equipment not complied with the Navy's specifications regarding design, installation, and warnings, it is a fair inference that the equipment would not have been placed on the ships. (Lehman Dec., at 4-5.)

c. Warnings by Defendants

Finally, the third element of the military contractor defense requires that Defendants did not fail to warn the Navy of any dangers associated with asbestos that were known by Defendants but not the government. As the Supreme Court in <u>Boyle</u> noted, "[t]he third condition is necessary because, in its absence, the displacement of state tort law would create some incentive for the manufacturer to withhold knowledge of risks, since conveying that knowledge might disrupt the Contract but withholding it would produce no liability." <u>Boyle</u>, 487 U.S. at 512. Dr. Lawrence Betts, a retired Navy captain, states in his declaration that the Navy's knowledge regarding the dangers of asbestos and its health effects represented the state of the art. (Betts Dec., at 18.) Furthermore, he notes that "[d]uring

the period from the early 1920s to the late 1960s, there was nothing about the hazards associated with the use of asbestos-containing products . . . on United States Navy ships known by a manufacturer . . . that was not known by the United States and the United States Navy." (Id.) Based on this evidence, it seems possible to find that the information possessed by the Navy exceeded any information that could have been provided by Defendants, such that the third element is sufficiently satisfied for raising a defense. Therefore, it appears that Defendants have raised a colorable federal defense.

3. Causal Nexus

The final prong necessary to satisfy federal officer removal requires Defendants to demonstrate that the Navy controlled the warnings Defendants could place on its equipment and that this control prevented Defendants from fulfilling its alleged state law duty to warn of the dangers associated with asbestos exposure. Essentially, there must be a causal nexus between the claims against Defendants and the acts they performed under color of federal office. Ballenger, 2007 WL 1813821at *3-4. Similar to the second prong, Defendants must simply show the existence of a likely causal connection, not prove such a connection. See <u>Jefferson County v.</u> Acker, 527 U.S. 423, 432 (1999) ("Just as requiring a clearly sustainable defense rather than a colorable defense would defeat the purpose of the removal statute . . . so would demanding an airtight case on the merits in order to show the required causal connection.") Again, as shown above by the declarations of Lehman, Cushing, and Betts, the Navy had direct control over all aspects of the equipment

supplied to its ships. It can therefore be inferred that the reason why Defendants did not place warnings on their equipment was because such warnings were precluded by the Navy's detailed specifications.

(See Lehman Dec., at 4.) Plaintiffs allege that Defendants failed to warn of asbestos dangers, yet this alleged failure to warn,

Defendants assert, resulted from the Navy's prohibitions and/or control over any such warning. Therefore, Defendants adequately show a causal nexus between Plaintiffs' claims and Defendants' actions.

Because Defendants have adequately asserted a colorable federal defense and a causal nexus between Plaintiffs' claims and Defendants' acts performed under the direction of the Navy, it appears that Defendants satisfy the three prongs of § 1442(a)(1).

C. Disclaimer

Plaintiffs additionally argue that removal is improper because of disclaimers appearing in the Complaint. Plaintiffs include two disclaimers in the Complaint. The first disclaimer does not operate to disclaim any cause of action subject to federal officer removal. (Complaint, at ¶ 4.) The second disclaimer, however, specifically disclaims "any cause of action or recovery for any injuries resulting from exposure to asbestos dust caused by any acts or omissions of a party Defendant committed at the direction of an officer of the United States Government." (Id.) However, because removal pursuant to the federal officer removal statute is premised on the existence of a colorable federal defense, rather than the manner in which a plaintiff's complaint is constructed, courts have found that neither a plaintiff's disclaimer nor its characterization of his claims is

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determinative. See Oberstar, No. CV 08-118 PA at 3. See also Durham, 445 F.3d at 1253 ("[R]emovals under section 1441 are subject to the well-pleaded complaint rule, while those under section 1442 are not."); Ballenger, 2007 WL 1813821 at *2 ("Under the federal office removal statute, suits against federal officers may be removed despite the nonfederal cast of the complaint.") The Court takes a similar view. Plaintiffs' second disclaimer effectively mirrors Defendants' federal contractor defense and so in no way prevents litigation of the applicability of that defense in state court, which is precisely what the federal officer removal statute seeks to avoid. To grant a plaintiff's motion for remand based on such a disclaimer would, therefore, render the federal officer removal statute completely ineffectual in the face of an "artfully constructed" <u>Öberstar</u>, No. CV 08-118 at 3. The Court cannot allow complaint. Plaintiffs to evade federal officer removal in such a fashion.

Plaintiffs rely on <u>Westbrook v. Asbestos Defendants</u>, where the court, when faced with similar facts and evidence, found the plaintiffs' disclaimer to be determinative and remanded the case back to state court. 2001 WL 902642 at *3 (N.D. Cal. July 31, 2001).

However, <u>Westbrook</u> is distinguishable from the present case in that the plaintiffs in <u>Westbrook</u> disclaimed "any claims arising out of work done on United States Navy ships." <u>Id.</u> at *2. In other words, the plaintiffs in <u>Westbrook</u> specifically waived any causes of action stemming from asbestos exposure on naval vessels; instead premising their claims on injuries arising from work done on private ships.

Id. As a result, unlike in the present case, the parties in <u>Westbrook</u> had no need to litigate any issue resembling the federal

contractor defense in order to determine the applicability of the plaintiffs' disclaimer. Therefore, remand in that case did not undermine the purposes of § 1442(a)(1), as remand here would, and so was appropriâte. III. Conclusion Based on the foregoing reasons, Plaintiffs Robert and Christine Reaser's Motion for Remand is DENIED. IT SO ORDERED. DATED: <u> June 23, 2008</u> STEPHEN V. WILSON UNITED STATES DISTRICT JUDGE

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IN THE THIRD JUDICIAL DISTRICT COURT IN AND FOR

SALT LAKE COUNTY, STATE OF UTAH

HOWARD WADE AND DEANNA LYNN WADE,
Plaintiffs,

v.

Case No. 210907011

INDUSTRIAL SUPPLY COMPANY, INC. et al.,
Defendants.

PLEASE NOTE: Defendant Viad Corp. f/k/a The Dial Corporation ("Viad") sued erroneously herein as successor-in-interest to Griscom Russell Co. ("Griscom-Russell") filed the attached Notice of Removal with the United States District Court for the District of Utah, Central Division on February 14, 2022 pursuant to 28 U.S.C. § 1442.

DATED <u>14th</u> day of February, 2022.

Respectfully submitted,

CHRISTENSEN & JENSEN, P.C.

/s/ Rebecca L. Hill

Rebecca L. Hill

CERTIFICATE OF SERVICE

The undersigned does certify that on February 14, 2022, the foregoing *Defendant Viad Corp*. 's *Notice of Removal* was electronically filed, as required by the United States District Court for the District of Utah, using the Court's CM/ECF filing system, which will provide notice and a copy of this document to the following as a e-filer:

Richard I. Nemeroff, THE NEMERFOOF LAW FIRM 5532 Lillehammer Lane, Suite 100 Park City, UT 84098 Attorneys for the Plaintiffs

/s/ Rebecca L. Hill

Rebecca L. Hill